

Report Concerning Space Data System Standards

OVERVIEW OF SPACE COMMUNICATIONS PROTOCOLS

INFORMATIONAL REPORT
CCSDS 130.0-G-4

GREEN BOOK April 2023



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FOREWORD

This document is a CCSDS Report that contains an overview of the space communications protocols recommended by CCSDS. A space link is a communications link between a spacecraft and its associated ground system or between two spacecraft. A space communications protocol is a communications protocol designed to be used over a space link, or in a network that contains one or multiple space links.

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1 INTRODUCTION

1.1 PURPOSE AND SCOPE

The purpose of this Report is to provide an architectural overview of the space communications protocols recommended by CCSDS and to show how these protocols are used in space mission data systems. The focus is on the recommendations within the Space Link Services area of CCSDS, while protocols from other areas are covered to a lesser extent.

A space link is a communications link between a spacecraft and its associated ground system or between two spacecraft. A space communications protocol is a communications protocol designed to be used over a space link, or in a network that contains one or multiple space links.

This Report presents only a top-level overview of the space communications protocols and does not contain the specification or rationale of each protocol. The specification of a space communications protocol developed by CCSDS is contained in a CCSDS Blue Book, and its rationale is described in a CCSDS Green Book that accompanies the Blue Book.

1.2 DOCUMENT STRUCTURE

This document is divided into four numbered sections and an annex:

- a) section 1 presents the purpose and scope of this Report and lists the definitions and references used throughout the Report;
- b) section 2 provides a brief introduction to the space communications protocols;
- c) section 3 presents major features of the space communications protocols;
- d) section 4 shows some examples of how space communications protocols are used in space data systems;
- e) annex A lists acronyms and abbreviations used within this document.

1.3 **DEFINITIONS**

1.3.1 DEFINITIONS FROM OSI BASIC REFERENCE MODEL

Most of the CCSDS space communications protocols are defined using the style established by the Open Systems Interconnection (OSI) Basic Reference Model (reference [2]). This model provides a common framework for the development of standards in the field of systems interconnection. It defines concepts and terms associated with a layered architecture and introduces seven specific layers. The concepts and terms defined in this model are extensively used in the Blue Books that define CCSDS space communications protocols. If

the reader is not familiar with this model, an excellent introduction can be found in a textbook on computer networks such as reference [3].

The following terms used in this Report are defined in reference [2]:

- a) Application Layer;
- b) Data Link Layer;
- c) layer;
- d) Network Layer;
- e) Physical Layer;
- f) protocol data unit;
- g) service;
- h) Transport Layer.

1.3.2 TERMS DEFINED IN THIS REPORT

For the purposes of this Report, the following definitions also apply.

forwarding: The act of transferring data from its source towards its destination, which may be in space or on the ground.

octet: An 8-bit word.

Physical Channel: A stream of bits transferred over a space link (see below) in a single direction.

routing: The process of selecting paths from origins to destinations in a network.

space link: A communications link between a spacecraft and its associated ground system or between two spacecraft. A space link consists of one or more Physical Channels in one or both directions.

space communications protocol: A communications protocol designed to be used over a space link (see above), or in a network that contains one or multiple space links.

1.4 REFERENCES

The following publications are referenced in this document. At the time of publication, the editions indicated were valid. All publications are subject to revision, and users of this document are encouraged to investigate the possibility of applying the most recent editions of the publications indicated below. The CCSDS Secretariat maintains a register of currently valid CCSDS publications.

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2 INTRODUCTION TO SPACE COMMUNICATIONS PROTOCOLS

2.1 HISTORY OF SPACE COMMUNICATIONS PROTOCOLS

Traditionally, telemetry transmitted from the spacecraft was formatted with a Time Division Multiplexing (TDM) scheme in which data items were multiplexed into a continuous stream of fixed-length frames based on a predefined multiplexing rule. To design and implement a data system for spacecraft, each project was forced to develop a custom system used by that project alone, with the exception of the ground tracking network, because of the lack of established standards in this field.

The advent of microprocessor-based spacecraft instruments and subsystems, however, enabled telemetry systems to become more flexible and have greater throughput so that data processed by onboard software could be transmitted efficiently.

In the early 1980s, CCSDS developed an international standard for a Packet Telemetry protocol capable of sending processed telemetry efficiently using a variable-length data unit called the Source Packet. Source Packets generated by various instruments and subsystems on a spacecraft are transmitted to the ground in a stream of continuous, fixed-length Transfer Frames. This standard has been used by many space projects enabling them to share onboard and ground data processing equipment.

Based on a similar concept, another international standard on Telecommand was developed by CCSDS, shortly after Packet Telemetry, for sending commands to a spacecraft with a data unit known as the TC Packet. TC Packets destined for various instruments and subsystems on a spacecraft are transmitted from the ground in a stream of sporadic, variable-length Transfer Frames.

In the late 1980s, CCSDS extended the above standards to meet the requirements of the Advanced Orbiting Systems (AOS), such as the International Space Station, and came up with a third standard known as AOS. The AOS standard added to the Packet Telemetry standard services for transmitting various types of online data (such as audio and video data), and it may be used on both space-to-ground and ground-to-space links. The AOS uses the same packet structure as the Packet Telemetry standard, but the frame format is slightly different.

These three standards (Packet Telemetry, Telecommand, and AOS) were later restructured by CCSDS in order to define the protocols in a more structured and unified way, and the following standards replaced the original standards:

- a) Space Packet Protocol (SPP) (reference [4]);
- b) TM, TC, and AOS Space Data Link Protocols (references [5], [6], and [7], respectively);
- c) TM and TC Synchronization and Channel Coding (references [8] and [9], respectively).

As an international standard for the Radio Frequency (RF) signal between a spacecraft and a ground station, CCSDS developed a standard called Radio Frequency and Modulation Systems (reference [10]). This standard specifies the characteristics of the RF signal used to carry Packets and Frames.

In the 1990s, CCSDS developed another set of protocols collectively known as Space Communications Protocol Specifications (SCPS), which include SCPS Network Protocol (SCPS-NP) (reference [11]), SCPS Security Protocol (SCPS-SP) (reference [12]), SCPS Transport Protocol (SCPS-TP) (reference [13]), and SCPS File Protocol (SCPS-FP) (reference [14]). The SCPS protocols are generally based on Internet protocols, but modifications and extensions to the Internet protocols are incorporated in the design of the SCPS protocols to meet the specific needs of space missions. CCSDS has retired all of the SCPS protocols with the exception of SCPS-TP.

In response to the needs of space missions to transfer files to and from an onboard mass memory, CCSDS has developed a protocol called the CCSDS File Delivery Protocol (CFDP) (reference [15]). This protocol provides the capability to transfer files reliably and efficiently over an unreliable protocol (for example, the Space Packet Protocol).

In July 1998, following the successes with relay experiments for Mars spacecraft, NASA began investigating the design for a standard protocol that can provide 'Internet-like' services to spacecraft that may be in deep-space and/or only intermittently-connected to Earth. A team of researchers was formed that included Dr. Vint Cerf, co-author of the TCP/IP protocols. Today, Delay Tolerant Networking (DTN) (references [55] and [56]) provides a general-purpose Network/Transport-Layer service that is logically similar to what TCP/IP provides for the terrestrial Internet, but suitable for use in the space environment. In addition to the basic store-and-forward internetworking service, DTN also provides efficient reliability, security, in-order delivery, duplicate suppression, class of service (prioritization), remote management, a 'DVR-like' streaming service, rate buffering, and data accounting, all over possibly asymmetric and time-disjoint paths. Multiple applications, including file transfer, messaging (e.g., for mission operations), and streaming audio/video, can all be implemented on top of DTN and leverage its services to reduce risk, cost, and complexity.

CCSDS has other specifications that individually implement some aspects of the network and transport-layer services that DTN provides, but none of them provide the flexibility or automated data transfer that DTN does.

In the area of data compression, CCSDS has developed standards for general-purpose lossless data compression (reference [16]), compressors specialized for compression of two-dimensional image data (reference [17]), and three-dimensional (multispectral/hyperspectral) images (references [49] and [61]), either to increase the science return or to reduce the requirement for onboard memory, station contact time, and data archival volume. The first standard provides lossless compression, guaranteeing full reconstruction of the original data without incurring any distortion in the process. The other standards can be used to provide lossless or lossy/near-lossless compression, in which case, quantization or other

approximations used in the compression process may result in the inability to reproduce the original data set without some distortion, but in return for higher compression ratios.

Recently CCSDS has developed a protocol called Proximity-1 Space Link Protocol (references [18], [19], [20], and [32]), to be used over proximity space links. Proximity space links are defined to be short range, bi-directional, fixed or mobile radio links, generally used to communicate among fixed probes, landers, rovers, orbiting constellations, and orbiting relays. This protocol defines a data link protocol (reference [18]), coding and synchronization methods (reference [19]), and RF and modulation characteristics (reference [20]).

In addition, CCSDS in 2018 released the Unified Space Data Link Protocol (USLP) (reference [57]). This protocol has been designed to meet the requirements of space missions for efficient transfer of space application data of various types and characteristics over space-to-ground, ground-to-space, or space-to-space communications links. It is envisioned that USLP will be used as the data link layer protocol for all future robotic and crewed space missions.

Security is of great concern to many space missions. CCSDS has published several documents, including *The Application of CCSDS Protocols to Secure Systems* (reference [37]), *Security Architecture for Space Data Systems* (reference [53]), *CCSDS Cryptographic Algorithms* (reference [54]), the Space Data Link Security (SDLS) protocol, and the *SDLS Extended Procedures* (references [43] and [58]), to provide guidance to missions that wish to use the CCSDS space communications protocols for spacecraft control and data handling but also require a level of security or data protection.

2.2 PROTOCOL LAYERS

2.2.1 SUMMARY

A communications protocol is usually associated with one of the seven layers defined in the OSI Basic Reference Model (reference [2]). Although some space communications protocols do not fit well with the OSI seven-layer model, this Report uses this model for categorizing the space communications protocols.

The space communications protocols are defined for the following five layers of the ISO model:

- a) Physical Layer;
- b) Data Link Layer;
- c) Network Layer;
- d) Transport Layer;
- e) Application Layer.

As in most terrestrial networks, protocols of the Session and Presentation Layers of the OSI model are rarely used over space links.

Figure 2-1 shows the space communications protocols categorized into upper layers (Network, Transport, and Application) as well as the Physical and Data Link Layers.

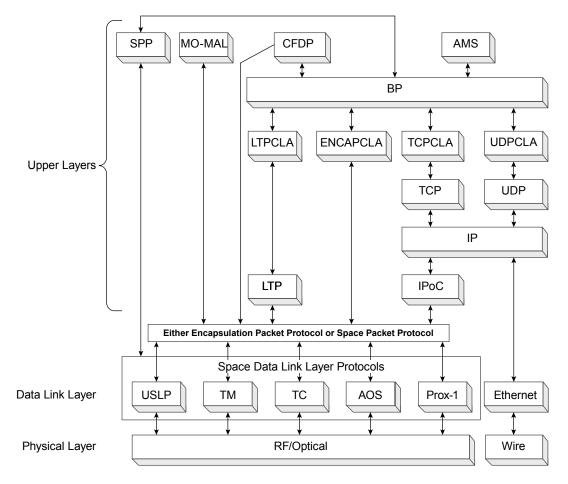


Figure 2-1: Space Communications Protocols Reference Model

CCSDS does not formally define Application Program Interfaces (APIs) for the space communications protocols, but most CCSDS standards provide abstract service definitions in the form of primitives following the conventions established by ISO (see reference [21]). A primitive is an abstract representation of the services provided by the protocol layer, but it does not depend on any implementation technology. This abstract specification may be used as a reference for developing an API.

In the following subsections, the protocols shown in figure 2-1 are briefly introduced. Major features of these protocols will be explained in section 3.

2.2.2 PHYSICAL LAYER

CCSDS has an omnibus standard for the Physical Layer called the Radio Frequency and Modulation Systems (reference [10]), to be used for space links between spacecraft and ground stations. The Proximity-1 Space Link Protocol also contains recommendations for the Physical Layer of proximity space links (reference [20]).

2.2.3 DATA LINK LAYER

CCSDS defines two Sublayers in the Data Link Layer of the OSI Model: the Data Link Protocol Sublayer and the Synchronization and Channel Coding Sublayer. The Data Link Protocol Sublayer specifies methods of transferring data units provided by the higher layer over a point-to-point space link using data units known as Transfer Frames. The Synchronization and Channel Coding Sublayer specifies methods of synchronization and channel coding for transferring Transfer Frames over a space link.

CCSDS has developed several protocols for the Data Link Protocol Sublayer of the Data Link Layer:

- a) TM Space Data Link Protocol (reference [5]);
- b) TC Space Data Link Protocol (reference [6]);
- c) AOS Space Data Link Protocol (reference [7]);
- d) Proximity-1 Space Link Protocol—Data Link Layer (reference [18]);
- e) USLP (reference [57]).

The above protocols provide the capability to send data over a single space link. TM, TC, AOS, and USLP have provisions for inserting secured user data into a frame using the SDLS Protocol (reference [43]) and the associated SDLS Extended Procedures (reference [58]). However, there have been no security requirements to date established for Proximity-1. The SDLS protocol can provide security services, such as authentication and confidentiality, for TM Transfer Frames, AOS Transfer Frames, TC Transfer Frames, or USLP Transfer Frames. It should be noted that the use of the SDLS function within these protocols is optional. The SDLS Extended Procedures provides Key and Security Associations management services needed to operate an SDLS secured space link.

CCSDS has developed three standards for the Synchronization and Channel Coding Sublayer of the Data Link Layer:

- a) TM Synchronization and Channel Coding (reference [8]);
- b) TC Synchronization and Channel Coding (reference [9]);
- c) Proximity-1 Space Link Protocol—Coding and Synchronization Layer (reference [19]);

- d) Flexible Advanced Coding and Modulation Scheme for High Rate Telemetry Applications (reference [50]), or 'SCCC';
- e) CCSDS Space Link Protocols over ETSI DVB-S2 Standard (reference [51]), or 'DVB-S2'.

TM Synchronization and Channel Coding is used with the TM or AOS Space Data Link Protocol or USLP, TC Synchronization and Channel Coding is used with the TC Space Data Link Protocol or USLP, and the Proximity-1 Space Link Protocol—Coding and Synchronization Layer is used with the Proximity-1 Space Link Protocol or USLP.

The TM, TC, and AOS Space Data Link Protocols; the Proximity-1 Space Link Protocol (Data Link Layer); and USLP are called the Space Data Link Protocols in this document.

2.2.4 BETWEEN DATA LINK AND NETWORK LAYERS

Licklider Transmission Protocol (LTP) provides optional reliability mechanisms on top of an underlying (usually data link layer) communication service.

From the point of view of protocols above LTP (e.g., Bundle Protocol), the service LTP provides is optionally reliable delivery of layer-(N+1) Protocol Data Units (PDUs) across a link. Layer-(N+1) PDUs are encapsulated within LTP blocks, which are segmented for transmission over data link protocols; typically, each LTP segment is encapsulated within a single link-layer protocol data unit, that is, an Encapsulation Packet. It should be noted that LTP segments may also be encapsulated within Space Packets. The limited size of the Space Packet does not in itself argue against the use of Space Packets to carry DTN traffic, because large bundles encapsulated within large LTP blocks will in most cases be segmented into smaller LTP segments for transmission. However, the reduced overhead of the Encapsulation Packet makes it a more bandwidth-efficient alternative to the Space Packet. For more information, see reference [56]).

CCSDS-recognized Internet datagrams (listed in reference [44]) can also be transferred by CCSDS Space Data Link Protocols over a space link, multiplexed or not-multiplexed, using the shim protocol, IP over CCSDS (reference [45]).

2.2.5 NETWORK LAYER

Space communications protocols of the Network Layer provide the function of routing or forwarding higher-layer data through the entire data system that includes both onboard and ground subnetworks.

CCSDS recognizes two standards for interfacing at the Network Layer:

- a) CCSDS-recognized Internet Protocol datagrams (listed in reference [44]);
- b) The DTN architecture's Bundle Protocol (references [55] and [56]).

Delay Tolerant Networking is an architecture that provides automated network communications much as the Internet architecture does, but it does so over networks characterized by one or more of the following:

- intermittent connectivity;
- variable delays, which may be large and irregular;
- high bit error rates;
- asymmetric and simplex links.

One core element of DTN is the Bundle Protocol (BP), which serves as the network-layer protocol in a delay-tolerant network. BP provides end-to-end network services, operating above the data transport services provided by links or networks accessed via Convergence Layer Adapters (CLAs), and forming a store-and-forward network; a BP-based network is an 'overlay' network that may span multiple networks just as the Internet is an overlay network that spans multiple subnets or local area networks. The Bundle Protocol uses the 'native' local protocols (at what is termed the 'convergence layer') for communications within a given network. The interface between the Bundle Protocol and a specific lower-layer protocol suite is known as a convergence layer adapter. Figure 2-1 shows within the protocol reference model the Bundle Protocol and several optional convergence layer adapters. On the right, two CLAs running above a transport protocol (intended to be interpreted in the context of the Internet stack) are shown. On the left, the two CLAs running over LTP and the CLA running on EPP/SPP are shown. (For more information, see reference [56].)

2.2.6 TRANSPORT LAYER

Space communications protocols of the Transport Layer provide users with end-to-end transport services.

CCSDS has developed SCPS-TP (reference [13]) for the Transport Layer.

PDUs of a Transport Layer protocol are usually transferred with a protocol of the Network Layer over a space link, but they can be transferred directly by a Space Data Link.

Transport protocols used in the Internet (such as TCP, reference [24], and UDP, reference [25]) can also be used on top of IP datagrams over CCSDS space links, reference [45]. IPSec (reference [27]) may be used with a Transport protocol of the Internet suite to provide end-to-end data protection capability.

¹ **convergence layer adapter, CLA**: Adapter that sends and receives bundles on behalf of the Bundle Protocol Adapter, that is, the Node component that offers the BP services and executes the procedures of the Bundle Protocol.

2.2.7 APPLICATION LAYER

Space communications protocols of the Application Layer provide users with end-to-end application services such as file transfer and data compression.

CCSDS has developed several protocols for the Application Layer:

- a) Asynchronous Messaging Service (AMS) (reference [46]);
- b) CFDP (reference [15]);
- c) Lossless Data Compression (reference [16]);
- d) Image Data Compression (reference [17]);
- e) Multispectral & Hyperspectral Image Compression (references [49] and [61]);
- f) Space Packet Protocol (reference [4]);
- g) Message Abstraction Layer (MAL) Space Packet Transport Binding and Binary Encoding (reference [47]).

AMS is an application layer protocol for end-to-end mission data system message transfer.

CFDP provides the functionality of the Application Layer (i.e., functions for file management). The CFDP Store-and-Forward Overlay procedures provide application-specific transfer of data across multiple link-layer hops.

Each project (or Agency) may also elect to use application-specific protocols not recommended by CCSDS to fulfill their mission requirements in the Application Layer over CCSDS space communications protocols.

PDUs of an Application Layer protocol are usually transferred with a protocol of the Transport Layer over a space link, but they can be transferred directly with a protocol of the Network Layer.

For the Space Packet Protocol, PDUs are generated and consumed by application processes that are on a spacecraft or on the ground.

CCSDS Encapsulation Packet Protocol allows encapsulation of PDUs of CCSDS-recognized protocols, as defined in a SANA registry (reference [48]) into Encapsulation Packets (one PDU per Encapsulation Packet). These packets can then be transferred over a space link using the VC/MAP Packet Service provided by CCSDS Space Data Link Protocols.

Applications protocols used in the Internet (such as FTP, reference [26]) can also be used on top of SCPS-TP, TCP, and UDP over space links.

3 MAJOR FEATURES OF SPACE COMMUNICATIONS PROTOCOLS

3.1 PHYSICAL LAYER

The CCSDS Recommended Standard for Radio Frequency and Modulation Systems (reference [10]) recommends the characteristics of the RF and modulation systems used for communications over space links between spacecraft and ground stations.

The Proximity-1 Space Link Protocol—Physical Layer (reference [20]) also contains recommendations for the Physical Layer of proximity space links.

3.2 DATA LINK LAYER

3.2.1 GENERAL FEATURES OF DATA LINK PROTOCOLS

CCSDS has developed four protocols for the Data Link Protocol Sublayer of the Data Link Layer:

- a) TM Space Data Link Protocol (reference [5]);
- b) TC Space Data Link Protocol (reference [6]);
- c) AOS Space Data Link Protocol (reference [7]);
- d) USLP Data Link Layer (reference [57]);
- e) Data Link Protocol Sublayer portion of Proximity-1 Space Link Protocol (reference [18]).

These protocols (collectively known as Space Data Link Protocols) provide the capability to transfer various types of data on space links, but their principal function is to transfer variable-length data units known as packets.

Each packet format transferred by the Space Data Link Protocols must have a Packet Version Number (PVN) recognized by CCSDS. These numbers are contained in SANA (reference [28]). Packets with authorized Packet Version Numbers can be transferred by the Space Data Link Protocols directly, but CCSDS has another mechanism to transfer PDUs of CCSDS and non-CCSDS protocols using the Encapsulation Packet Protocol, defined in reference [29]. With this service, packets are transferred by the Space Data Link Protocols encapsulated in either Space Packets defined in reference [4] or Encapsulation Packets defined in reference [29].

The TM Space Data Link Protocol is usually used for (but not limited to) sending telemetry from a spacecraft to a ground station (i.e., on a return link). The TC Space Data Link Protocol is usually used for (but not limited to) sending commands from a ground station to a spacecraft (i.e., on a forward link). The AOS Space Data Link Protocol may be used on a return link alone, or on both forward and return links if there is a need for two-way higher-

speed communications (e.g., audio and video) between a spacecraft and the ground. The Proximity-1 Space Link Protocol is to be used over proximity space links, for which proximity space links are defined to be short range, bi-directional, fixed or mobile radio links, generally used to communicate among fixed probes, landers, rovers, orbiting constellations, and orbiting relays. The Unified Space Link Protocol can be used over space-to-ground, ground-to-space, or space-to-space communications links by space missions. It is envisioned that USLP may be used by future space missions in lieu of TM, TC, AOS, and Proximity-1.

The protocol data units used by the Space Data Link Protocols are called Transfer Frames. TM, AOS, and USLP use fixed-length Transfer Frames to facilitate robust synchronization procedures over a noisy link; TC, Proximity-1, and USLP use variable-length Transfer Frames to facilitate reception of variable-length messages with various latency requirements for telecommand.

A key feature of all the Space Data Link Protocols is the concept of 'Virtual Channels'. The Virtual Channel facility allows one Physical Channel (a stream of bits transferred over a space link in a single direction) to be shared among multiple higher-layer data streams, each of which may have different service requirements. A single Physical Channel may therefore be divided into several separate logical data channels, each known as a Virtual Channel (VC). Each Transfer Frame transferred over a Physical Channel belongs to one of the Virtual Channels of the Physical Channel.

All Transfer Frames with the same Master Channel ID (MCID), that is, Transfer Frame Version Number (TFVN) + Spacecraft ID (SCID) on a Physical Channel, constitute a Master Channel (MC). A Master Channel consists of one or more VCs. In most cases, a Physical Channel carries only Transfer Frames of a single MCID, and the MC will be identical with the Physical Channel. However, a Physical Channel may carry Transfer Frames with multiple MCIDs (with the same TFVN, but different SCIDs). In such a case, the Physical Channel consists of multiple MCs.

Both the TC Space Data Link Protocol and USLP have a function for retransmitting lost or corrupted data to ensure delivery of data in sequence without gaps or duplication over a space link. This function is provided by a retransmission control mechanism called the Communications Operation Procedure-1 (COP-1), which is defined in a separate document (reference [30]). (This function does not necessarily guarantee end-to-end complete delivery.) Both the Proximity-1 Space Link Protocol and USLP also have a similar function called COP-P, which is defined in the Data Link Layer Recommended Standard (reference [18]). Neither the TM Space Data Link Protocol nor the AOS Space Data Link Protocol has such a function, so retransmission must be done by a higher-layer protocol if complete delivery of data is required.

The TM and AOS Space Data Link Protocols, along with USLP, can be used together with the TM Synchronization and Channel Coding Recommended Standard (reference [8]). The TC Space Data Link Protocol, along with USLP, can be used together with the TC Synchronization and Channel Coding Recommended Standard (reference [9]). The TM, TC,

AOS Space Data Link Protocols and USLP can be used on top of the Recommended Standard for Radio Frequency and Modulation Systems (reference [10]).

Proximity-1 Space Link Protocol—Data Link Layer (reference [18]) as well as USLP can be used together with the Proximity-1 Space Link Protocol—Coding and Synchronization Sublayer (reference [19]), and on top of the Proximity-1 Space Link Protocol—Physical Layer (reference [20]).

A summary of the concept and rationale of the TM, TC, and AOS Space Data Link Protocols is contained in reference [31]. Similarly for Proximity-1 Space Link Protocol, that information is contained in reference [32], and for the USLP, in reference [59].

3.2.2 IDENTIFIERS USED BY DATA LINK PROTOCOLS

The Space Data Link Protocols provide link identifiers to identify data streams. The identifier names as well as their values reside in the Space Assigned Numbers Authority (SANA). SANA is the registrar for all protocol registries created under CCSDS. SANA replaces the retired Space Link Identifiers Blue Book. The CCSDS Global Spacecraft Identification Field: Code Assignment Control Procedures Magenta Book (reference [52]) contains the procedures governing requesting, assigning, and relinquishing CCSDS SCID field codes.

The TM, TC, AOS, and USLP Space Data Link Protocols have the following three identifiers: TFVN, SCID, and the Virtual Channel Identifier (VCID).

The TFVN is used to distinguish among different Transfer Frames. However, different Transfer Frames must not be multiplexed on a Physical Channel.

The concatenation of a TFVN and a SCID is known as an MCID, which is used for identifying a spacecraft associated with a space link.

All Transfer Frames with the same MCID on a Physical Channel constitute an MC. A Master Channel consists of one or more Virtual Channels, each of which is identified with a VCID. In most cases, a Physical Channel carries only Transfer Frames of a single MCID, and the Master Channel will be identical with the Physical Channel. However, a Physical Channel may carry Transfer Frames with multiple MCIDs (with the same TFVN). In such a case, the Physical Channel consists of multiple Master Channels. A Physical Channel is identified with a Physical Channel Name, which is set by management and not included in the header of Transfer Frames.

Both the TC Space Data Link Protocol and USLP uses an optional identifier, called the Multiplexer Access Point Identifier (MAP ID), that is used to create multiple streams of data within a Virtual Channel. All the Transfer Frames on a Virtual Channel with the same MAP ID constitute a MAP Channel. If the MAP ID is used, a Virtual Channel consists of one or multiple MAP Channels.

Figure 3-1 shows the relationship among the channels of TM, TC, AOS and USLP.

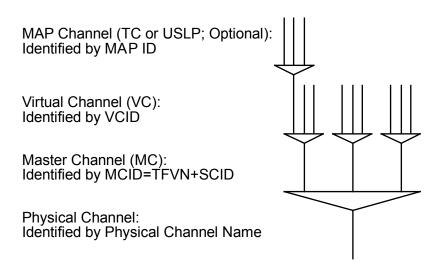


Figure 3-1: Relationships between Channels of the Space Data Link Protocols

The Proximity-1 Space Link Protocol—Data Link Layer uses a triad of multiplexing capabilities, which is incorporated for specific functionality within the link. The SCID identifies the source or destination of Transfer Frames transported in the link connection based upon the Source-or-Destination Identifier. The Physical Channel Identifier (PCID) provides up to two independently multiplexed channels. The Port ID provides the means to route user data internally (at the transceiver's output interface) to specific logical ports, such as applications or transport processes, or to physical ports, such as onboard buses or physical connections (including hardware command decoders).

Table 3-1 summarizes the identifiers of the Space Data Link Protocols. The values of these IDs are maintained by the SANA registries (reference [48]).

Table 3-1: Identifiers of Space Data Link Protocols

Identifiers	TM Space Data Link Protocol	TC Space Data Link Protocol	AOS Space Data Link Protocol	Proximity-1 Space Link Protocol—Data Link Layer	USLP
TFVN	Version 1 Synchronous Transfer Frame	Version 1 Asynchronous Transfer Frame	Version 2 AOS Synchronous Transfer Frame	Version 3 Proximity-1 Transfer Frame	Version 4 USLP Transfer Frame
SCID	0 to 1023	0 to 1023	0 to 255	0 to 1023	0 to 65535
PCID	N/A	N/A	N/A	0 to 1	N/A
VCID	0 to 7	0 to 63	0 to 63	N/A	0 to 63
MAP ID	N/A	0 to 63	N/A	N/A	0 to 15
Port Identifier	N/A	N/A	N/A	0 to 7	N/A

3.2.3 SERVICES PROVIDED BY DATA LINK PROTOCOLS

The Space Data Link Protocols provide several services to transfer a variety of data on a space link. The most important service is a service to transfer variable-length data units known as packets (i.e., protocol data units of protocols of the Network Layer). In addition to this service, the Space Data Link Protocols provide services to transfer fixed- or variablelength data units with private (non-CCSDS) formats, short fixed-length data units for reporting on real-time functions, and bit streams.

Table 3-2 shows a summary of the services provided by the TM/TC/AOS/USLP Space Data Link Protocols categorized by the types of data transferred by the services. For complete definition of these services, refer to references [5], [6], [7], and [57].

NOTE - The Proximity-1 Space Link Protocol—Data Link Layer is not included in this table because no formal service definition is given in the Recommended Standard (references [18]).

Type of Service TM Space Data TC Space Data **AOS Space Data Data Units Link Protocol** Link Protocol **Link Protocol** USLP Virtual Channel MAP Packet MAP Packet **Packets** Virtual Channel (NOTE 1) Packet Service Packet Service Service Service, VC Packet Service Fixed-length Virtual Channel (None) Virtual Channel MAP Access private data Access Service Access Service Service Variable-length MAP Access MAP Access (None) (None) private data Service Service, VC Access Service Short fixed-VC FSH Service (None) Insert Service. Insert Service. length data VC OCF Service USLP MC OCF (NOTE 2), MC FSH Service, (NOTE 3) Service VC OCF Service (NOTE 3), MC OCF Service Stream (None) (None) Bitstream Service Octet Stream Service

Table 3-2: Summary of Services Provided by Space Data Link Protocols

NOTES

Transfer

Frames

Packets directly transferred by the Space Data Link Protocols must have Packet Version Numbers authorized by CCSDS. These Packet Version Numbers are found in reference [28]. Other PDUs of CCSDS recognized protocols can be transferred using the Encapsulation Packet Protocol defined in reference [29].

VC Frame Service.

MC Frame Service

VC Frame Service.

MC Frame Service

VC Frame Service, MC Frame Service

FSH = Frame Secondary Header.

VC Frame Service.

MC Frame Service

3 OCF = Operational Control Field.

3.2.4 SYNCHRONIZATION AND CHANNEL CODING

The standards of the Synchronization and Channel Coding Sublayer provide some additional functions necessary for transferring Transfer Frames over space links. These functions are delimiting/synchronizing Transfer Frames, error-correction coding/decoding, and bit transition generation/removal. CCSDS has five standards for Synchronization and Channel Coding:

- a) A set of three TM specifications:
 - 1) TM Synchronization and Channel Coding (reference [8]),

- 2) Flexible Advanced Coding and Modulation Scheme for High Rate Telemetry Applications (reference [50]), or 'SCCC',
- 3) CCSDS Space Link Protocols over ETSI DVB-S2 Standard (reference [51]), or 'DVB-S2';
- b) TC Synchronization and Channel Coding (reference [9]);
- c) Proximity-1 Space Link Protocol—Coding and Synchronization Sublayer (reference [19]).

The three TM specifications define alternative synchronization and channel coding schemes used with the TM, AOS, or USLP Space Data Link Protocol. *TC Synchronization and Channel Coding* defines synchronization and channel coding schemes used with the TC or USLP Space Data Link Protocol. *Proximity-1 Space Link Protocol—Coding and Synchronization Sublayer* defines synchronization and channel coding schemes used with both the Proximity-1 Space Link Protocol or USLP.

Options a-2) and a-3) are recommended only for high rate downlink.

The various coding specifications offer to the Data Link Protocol sublayer two types of services at the sending end:

- a) for fixed-length frames provided periodically to the lower layer at sending end (see reference [8]);
- b) for variable-length frames provided intermittently to the lower layer at sending end (see references [9] and [19]).

While the first service is always delivering validated frames at the receiving end, the latter type of service can present two different qualities of service at the receiving end:

- a) delivering NOT validated frames at receiving end (see reference [9]); or
- b) delivering validated frames at receiving end (see reference [19]).

Table 3-3 summarizes the functions and schemes provided by the Synchronization and Channel Coding standards.

Table 3-3: Functions of Synchronization and Channel Coding Standards

Functions	TM Synchronization and Channel Coding	TC Synchronization and Channel Coding	Proximity-1 Space Link Protocol— Coding and Synchronization Layer
Error Correction Scheme + Frame Validation (see NOTE 3)	 Convolutional Coding + FECF; Reed Solomon Coding; Concatenated Coding; Turbo Coding + FECF; Low-Density Parity-Check (LDPC) Coding; SCCC + FECF; DVB-S2 + FECF 	- BCH Coding - BCH Coding + FECF	Convolutional Coding+ Attached CRC;LDPC Coding +Attached CRC;
Pseudo- Randomization (see NOTE 3)	Cyclic pseudo-noise sequence*	Cyclic pseudo-noise sequence*	Cyclic pseudo-noise sequence (used mandatorily—only for LDPC Coding)
Frame Synchronization	32-bit (or longer) Attached Sync Marker (ASM) depending on applied coding scheme	16-bit Start Sequence	24-bit ASM

NOTES

- 1 '*' in the table denotes an optional function.
- When a box of the table shows several options, only one option can be applied at a given time.
- When only an Error Correction scheme is mentioned, it means that the scheme is also capable of validating the frame, that is, declaring it erroneous or error free. In other cases, for TM/TC/AOS/USLP, a Frame Error Control Field is used for error detection, while Proximity-1 uses a Cyclic Redundancy Code (CRC) attached to the frame (but not part of the frame). The Frame Error Control Field is defined in the Recommended Standards on the TM/TC/AOS/USLP Space Data Link Protocols, and not in the Recommended Standards on Synchronization and Channel Coding.
- The cyclic pseudo-noise sequence used by TM Synchronization and Channel Coding differs from that one used for both TC Synchronization and Channel Coding and Proximity-1 Space Link Protocol—Coding and Synchronization Layer.

Summaries of concept and rationale for TM Synchronization and Channel Coding, TC Synchronization and Channel Coding, and Proximity-1 Space Link Protocol—Coding and Synchronization Layer are contained in references [33], [34], and [32], respectively.

3.3 NETWORK LAYER

3.3.1 GENERAL FEATURES OF NETWORK PROTOCOLS

Data Forwarding differs greatly from data routing, defined in reference [60] as 'the process of selecting paths from origins to destinations in a network'. Here, the concept of an endpoint is *global* over a series of open and extensible subnetworks. Whenever routing is done across multiple subnetworks, a network routing protocol is required, which is not in the purview of SPP. It is essential that when one plans to route data over an open network composed of multiple subnetworks, one uses a network protocol.

By using the Encapsulation Packet Protocol as a shim, other CCSDS-recognized Network Protocols such as BP (references [55] and [56]) and IP can be used over space links. The data units of network protocols can also be transferred using the Space Packet Protocol done in a mission-specific manner using APID(s) as set by management. Over a space link, protocol data units of the network protocols (i.e., BP, IP, or others carried through SPP or EPP) are transferred within the Space Data Link Protocols. In particular, the Space Data Link Protocols have the capability to carry several protocol data units of the Internet Protocol, multiplexed or not-multiplexed, within the Encapsulation packet. IP over CCSDS (reference [45]) specifies how CCSDS-recognized IP datagrams are transferred over the link.

3.3.2 ADDRESSING OF NETWORK PROTOCOLS

An End System Identifier, as used by IP and BP, unambiguously identifies a single end system or a group of end systems. If it is necessary to identify both the source and destination when using End System Identifiers, a pair of End System Identifiers must be used. These identifiers, which either identify or map to locations in network topology, are specified in the IP or BP PDUs, and they are used by the IP or BP routing nodes to perform routing decisions at each step along the end-to-end path.

As already mentioned, CCSDS Encapsulation Packet Protocol allows the use of other CCSDS recognized Network Protocols within their own end system identification notations.

CCSDS developed Delay Tolerant Networking (references [55] and [56]) as the means to perform interoperable internetworking in space, in either disrupted or delayed end-to-end communication environments.

3.4 TRANSPORT LAYER

CCSDS has developed SCPS-TP (reference [13]) for the Transport Layer. CFDP (reference [15]) also provides the functionality of the Transport Layer, but it provides some functions (i.e., functions for file management) of the Application Layer as well.

SCPS-TP supports end-to-end communications between applications and is designed to meet the needs of a broad range of space missions. It defines extensions to TCP and incorporates UDP by reference. It may be used on top of the Space Packet, Encapsulation Packet, or IP over CCSDS.

CFDP provides the functionality of the Application Layer (i.e., functions for file management), but it also provides functions of the Transport Layer.

Transport protocols used in the Internet (such as TCP, reference [24], and UDP, reference [25]) can also be used on top of the Encapsulation packet, or IP over CCSDS space links.

IPSec (reference [27]) can be used with the Internet Protocol suite to provide end-to-end data protection capability.

3.5 APPLICATION LAYER

CCSDS has developed several protocols for the Application Layer:

- a) AMS (reference [46]);
- b) CFDP (reference [15]);
- c) Lossless Data Compression (reference [16]);
- d) Image Data Compression (reference [17]);
- e) Low-Complexity Lossless and Near-Lossless Multispectral and Hyperspectral Image Compression (references [49]);
- f) Spectral Preprocessing Transform for Multispectral & Hyperspectral Image Compression (reference [61]);
- g) Space Packet Protocol (reference [4]).

AMS implements an interoperable protocol under which the mission modules (distinct sequential flows of application control logic, whether called processes, tasks, or threads, each one producing and consuming mission information) may be designed without explicit awareness of which other modules are currently operating or of where they are deployed.

CFDP is designed to meet the needs of space missions to transfer files. It is a file transfer protocol, but it also provides services typically found in the Transport Layer, that is, complete, in-order, and without duplicate data delivery. It can be used on top of any protocol of the Network Layer (i.e., IP over CCSDS or DTN BP), on top of the Space Packet Protocol (mission specific APID assignment to CFDP required) or Encapsulation Packet Protocol, or directly on top of the CCSDS Space Data Link Protocols if a Virtual Channel, a MAP, or a Port is assigned to CFDP. In some circumstances, it can be used on top of UDP, TCP, or SCPS-TP. Alternatively, CFDP can be used in Unacknowledged Mode (i.e., with Transport Layer functionality disabled) on top of DTN (BP/LTP, providing Transport Layer functionality at the layer underlying CFDP). A summary of concept and rationale of CFDP is contained in reference [35].

The Lossless Data Compression standard was developed to increase the science return as well as to reduce the requirement for onboard memory, station contact time, and data archival volume. This standard guarantees full reconstruction of the original data without incurring any distortion in the process. It is intended to be used together with the Space Packet Protocol or CFDP. A summary of concept and rationale of Lossless Data Compression is contained in reference [36].

The Image Data Compression standard was developed to establish a standard for a data compression algorithm applied to digital image two-dimensional spatial data from payload instruments. With this standard, quantization or other approximations used in the compression process may result in the inability to reproduce the original data set without some distortion. It is intended to be used together with the Space Packet Protocol, CFDP, or the AOS Space Data Link Protocol.

Compression standards for multispectral and hyperspectral images (references [49] and [61], respectively) exploit the three-dimensional structure of such images to provide effective compression. Reference [49] specifies a compression approach capable of providing lossless compression as well as near-lossless compression, in which case the error in the reconstruted image is guaranteed to meet a user-specified maximum allowed error. Reference [61] defines a spectral preprocessing transform to be used in conjunction with the two-dimensional image compressor specified in reference [17].

Applications protocols used in the Internet can be used over TCP (with or without the SCPS-TP extensions) or UDP, as long as the underlying links are sufficiently short (<1 sec) and continuously available. Typically, an application is written to use the reliable stream-oriented service of TCP *or* the unreliable datagram service of UDP, but not both. Some exceptions to this exist, however, in which applications are written to operate over either service.

Each project (or Agency) may elect to use application-specific protocols not recommended by CCSDS to fulfill their mission requirements in the Application Layer over CCSDS space communications protocols.

Over a space link, protocol data units of the network protocols (i.e., DTN bundles², IP datagrams) are encapsulated into Encapsulation Packets via the Encapsulation Protocol (reference [29]) and then transferred by one of the the Space Data Link Protocols.

The Space Packet Protocol was developed to transfer data (1) from a source on a spacecraft to one or multiple destinations on the ground or on other spacecraft, or (2) from a source on the ground to one or multiple destinations on one or multiple spacecraft. When protocol data units of this protocol traverse the data system of a space mission (i.e., onboard networks, onboard data handling system, ground stations, control centers), the Application Identifier (APID) that is part of each packet is used for determining the managed data path that packet

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² **bundle:** A protocol data unit of the DTN Bundle Protocol.

will take. All decisions about how packets are to be handled and forwarded, based on this APID, are set by management agreement and are not a formal part of the protocol. There should be no expectation of interoperable handling of APIDs and managed data paths in a cross support situation unless agreements have been clearly defined as to how such forwarding is to be done. Cross support is defined in reference [60], and interested readers should refer to it for further details.

The Space Packet Protocol provides the capability to transfer space application data over a managed data path that involves a ground-to-space or a space-to-space communications link. However, unlike DTN, no provisions are made in SPP for addressing the scheduled nature of connectivity between any of the end points or intermediate links. By encapsulating the PDUs of other CCSDS-recognized Network Protocols such as the DTN Bundle Protocol (references [55] and [56]) or IP, one for one into an Encapsulation Packet, these Network Protocols can be used over CCSDS space links.

The protocol data units of the Space Packet Protocol are called Space Packets, while the protocol data units of IP are called IP datagrams. SPP and IP do not provide any Quality of Service (QoS) mechanisms for reliable delivery, in-order delivery, or duplicate suppression. If these functions are required, they must be implemented by a higher-layer (e.g., transport layer) protocol.

The Space Data Link Protocols have the capability to carry several protocol data units of the Internet Protocol, multiplexed or not multiplexed, within the Encapsulation packet. IP over CCSDS (reference [45]) specifies how CCSDS-recognized IP datagrams are transferred over the link.

4 EXAMPLES OF PROTOCOL CONFIGURATIONS

4.1 GENERAL

This section shows some examples of how space communications protocols of various layers are used in space data systems.

Five examples of protocol configurations are shown in this section. There are many other combinations of protocols that can be used in space data systems, but it is not the intention of this Report to enumerate all possible combinations of protocols. The following examples are selected to illustrate the basic functionality of the space communications protocols.

For each example in this section, two diagrams are shown. The first diagram shows a stack of protocols used over a space link (i.e., a link between a spacecraft and a ground station, or between two spacecraft).

A space data system consists of one or more onboard subnetworks, one or more space links, and one or more ground subnetworks. In this section, however, a simple space data system consisting of four major elements (see figure 4-1) is used to illustrate how space communications protocols are used in an end-to-end space data system. It will be shown that some space communications protocols are used for end-to-end communications between onboard and ground-end systems, and some space communications protocols are used only for communications over the space link.

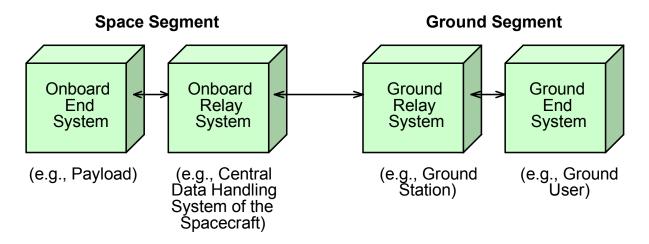


Figure 4-1: Simple Space Data System Model

The primary difference among the five examples shown in this section is the selection of the protocol used for end-to-end routing or forwarding. In a space data system, user data traverse subnetworks (i.e., one or more onboard subnetworks, one or more space links, and one or more ground subnetworks). One of the protocols used in a space data system provides the capability of routing user data from a source to a destination through these subnetworks.

This functionality is called 'end-to-end routing' in this Report (see definitions of 'routing' and 'forwarding' in 1.3.2).

SPP is used for end-to-end data forwarding in a closed subnetwork within an A-B-A configuration in Section 4.2.

The following protocols are used for end-to-end data routing in the following sections:

- a) IP over CCSDS over the Encapsulation Packet (4.3);
- b) BP that supports DTN (4.4).

NOTES

- In the following figures, 'Prox Space Data Link Protocol' denotes the Proximity-1 Space Link Protocol—Data Link Layer.
- In the following figures, the Synchronization and Channel Coding standards are omitted for simplicity reasons.
- 3 CCSDS is developing DTN (references [55] and [56]) as the means to internetwork in space.

4.2 END-TO-END DATA FORWARDING USING PACKETS DEFINED BY CCSDS

In this example, the Space Packet is used for end-to-end forwarding. The Space Packet Protocol was designed by CCSDS to meet the requirements of space missions for efficient transfer of processed data over space links. This configuration is suited to space missions that require the simple APID source or destination labeling and forwarding capabilities provided by the Space Packet Protocol.

Figure 4-2 shows an example of protocol configuration on a space link, and figure 4-3 shows an example of protocol configuration in an end-to-end space data system. At each intermediate system, some mechanism, not specified in SPP, examines the APID and forwards the data to the next node that it has been instructed to use. There is no endpoint address, and there is no specified mechanism for doing this interoperably. It is done by management and external agreement between user and service provider.

When the Space Packet Protocol is used for end-to-end forwarding, in the ground subnetwork, Space Packets are usually transferred with a Space Link Extension (SLE) Service (see references [38]–[42]). Data Forwarding differs greatly from data routing, defined in reference [60] as 'the process of selecting managed data paths from origins to destinations in a network'. Here, the concept of an endpoint is *global* over a series of open and extensible subnetworks. Whenever we route across multiple subnetworks a network routing protocol is required, which is not in the purview of SPP.

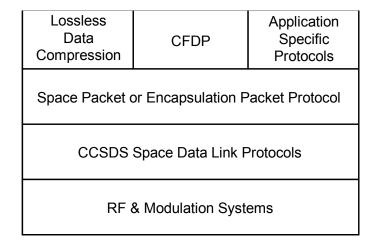


Figure 4-2: Protocol Configuration on a Space Link When Space Packet or Encapsulation Packet Protocol Is Used for End-to-End Forwarding

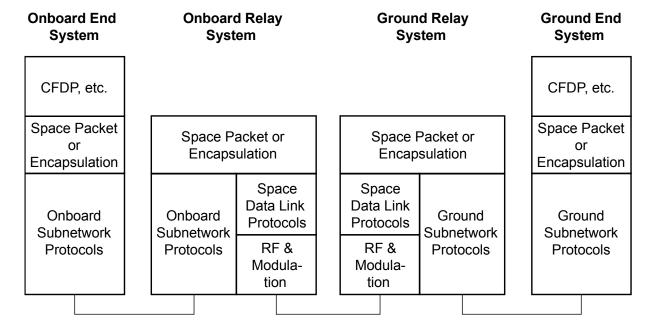


Figure 4-3: Protocol Configuration in a Space Data System When Space Packet or Encapsulation Packet Protocol Is Used for End-to-End Forwarding

4.3 IP OVER CCSDS FOR END-TO-END ROUTING

In the fourth example, one of the CCSDS recognized IP datagrams defined in SANA is used for end-to-end routing. This configuration is suited to space missions that require integration of their space segments into the Internet when end-to-end internetworking is required and when connectivity and Round Trip Light Time (RTLT) is suitable to support this approach.

Figure 4-4 shows an example of protocol configuration on a space link, and figure 4-5 shows an example of protocol configuration in an end-to-end space data system.

Protocol data units (datagrams) of IP are transferred by Space Data Link Protocols using the IP over CCSDS protocol in order for the Space Data Link Protocols to process IP datagrams efficiently.

In this example, it is assumed that the Internet is directly extended into the space segment. Most Internet end-to-end protocols and SCPS-TP can be used on top of IP. SCPS-TP can be converted to TCP/UDP at a relay system.

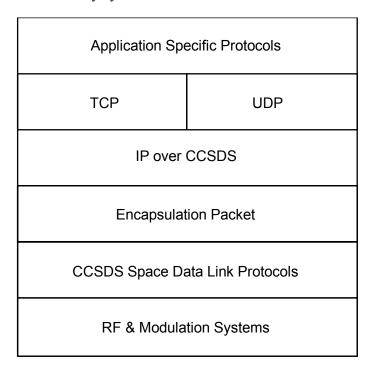


Figure 4-4: Protocol Configuration on a Space Link When IP over CCSDS Is Used for End-to-End Routing

At each intermediate system in an IP deployment, a routing mechanism, specified in the IP protocol, examines the destination address and makes a routing decision that sends the data to the next node in the route. The endpoint address is explicit, and all of the mechanisms for doing this interoperably are fully specified. The Encapsulation Packet Protocol provides the shim to insert the IP datagrams into a CCSDS space link and to extract it at the other end.

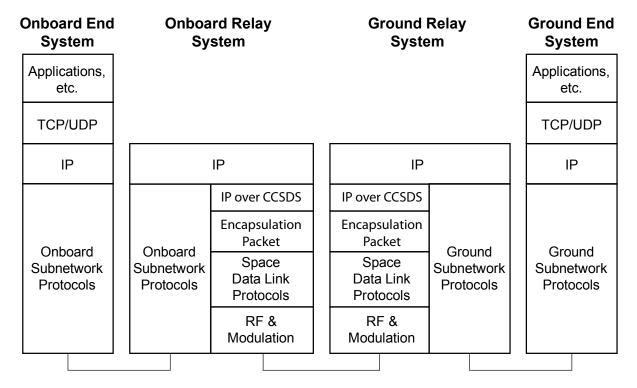


Figure 4-5: Protocol Configuration in a Space Data System When IP over CCSDS is Used for End-to-End Routing

4.4 BP FOR END-TO-END DATA ROUTING

In the final example, BP (reference [56]) is used for end-to-end data routing for the exchange of messages (bundles) that support DTN. BP provides end-to-end network services, operating above the data transport services provided by links or networks accessed via CLAs and forming a store-and-forward network.

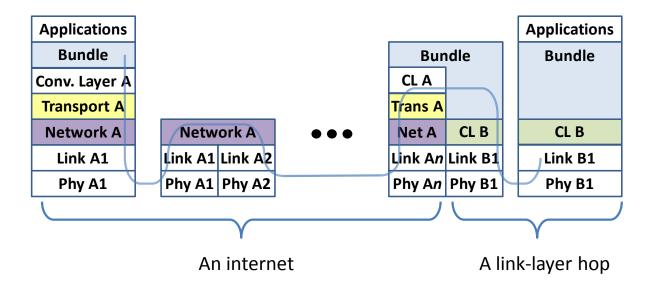


Figure 4-6: Protocol Configuration in a Space Data System When BP Is Used for End-to-End Data Routing

The Bundle Protocol uses the 'native' local protocols for communications within a given network. The interface between the Bundle Protocol and a specific lower-layer protocol suite is known as a convergence layer adapter. Figure 4-6 shows an example configuration with the Bundle Protocol and a CLA running above a transport protocol (intended to be interpreted in the context of the Internet stack) on the left, and running directly over a Data Link Layer on the right. The 'CL B' on the right could, for example, be the interface to the Licklider Transmission Protocol, with the 'Link B1' representing LTP running over one of the CCSDS Data Link Layer protocols. Alternatively, BP could be used to connect together two internets that may exist, such as an on-orbit (or lunar) network and a ground network.

ANNEX A

ACRONYMS

This annex lists the acronyms and abbreviations used in this Report.

AOS Advanced Orbiting Systems

AMS Asynchronous Messaging Service

APID Application Process Identifier

ASM Attached Synchronization Marker

BCH Bose–Chaudhuri–Hocquenghem (code)

BP Bundle Protocol

CCSDS Consultative Committee for Space Data Systems

CFDP CCSDS File Delivery Protocol

CL Convergence Layer

CLA Convergence Layer Adapter

DTN Delay Tolerant Networking

DVB-S2 Digital Video Broadcasting - Satellite - Second Generation

EPP Encapsulation Packet Protocol

FECF Frame Error Control Field

FSH Frame Secondary Header

FTP File Transfer Protocol

ID Identifier

IP Internet Protocol

LDPC Low Density Parity Check (code)

LTP Linklider Transmission Protocol

MAP Multiplexer Access Point

MC Master Channel

MCID Master Channel Identifier

N/A Not Applicable

OCF Operational Control Field

PCID Physical Channel Identifier

PDU Protocol Data Unit

PVN Packet Version Number

QoS Quality of Service

RTLT Round Trip Light Time

SANA Space Assigned Numbers Authority

SCCC Serial Concatenated Convolutional Code

SCID Spacecraft Identifier

SCPS Space Communications Protocol Standards

SCPS-FP Space Communications Protocol Standards File Protocol

SCPS-NP Space Communications Protocol Standards Network Protocol

SCPS-SP Space Communications Protocol Standards Security Protocol

SCPS-TP Space Communications Protocol Standards Transport Protocol

SDLS Space Data Link Security (Protocol)

SLE Space Link Extension

SPP Space Packet Protocol

TC Telecommand

TCP Transmission Control Protocol

TDM Time Division Multiplexing

TFVN Transfer Frame Version Number

TM Telemetry

USLP Unified Space Link Protocol

UDP User Datagram Protocol

VC Virtual Channel

VCID Virtual Channel Identifier