



**CCSDS**

The Consultative Committee for Space Data Systems

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**Research and Development for  
Space Data System Standards**

**CCSDS SPACE LINK  
PROTOCOLS OVER ETSI  
DVB-S2X STANDARD**

**EXPERIMENTAL SPECIFICATION**

**CCSDS 131.31-O-1**

Note:  
This current  
issue includes  
all updates through  
Technical Corrigendum 1,  
dated April 2022

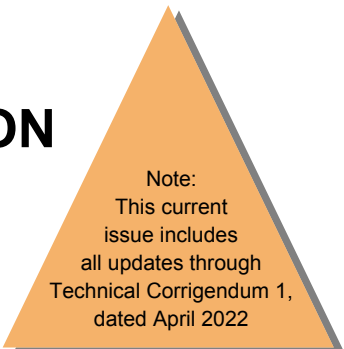
**ORANGE BOOK**  
**September 2021**

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## AUTHORITY

Issue:	Orange Book, Issue 1
Date:	September 2021
Location:	Washington, DC, USA

This document has been approved for publication by the Consultative Committee for Space Data Systems (CCSDS). The procedure for review and authorization of CCSDS documents is detailed in *Organization and Processes for the Consultative Committee for Space Data Systems* (CCSDS A02.1-Y-4).

This document is published and maintained by:

CCSDS Secretariat  
National Aeronautics and Space Administration  
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## FOREWORD

Through the process of normal evolution, it is expected that expansion, deletion, or modification of this document may occur. This Recommended Standard is therefore subject to CCSDS document management and change control procedures, which are defined in the *Organization and Processes for the Consultative Committee for Space Data Systems* (CCSDS A02.1-Y-4). Current versions of CCSDS documents are maintained at the CCSDS Web site:

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## **PREFACE**

This document is a CCSDS Experimental Specification. Its Experimental status indicates that it is part of a research or development effort based on prospective requirements, and as such it is not considered a Standards Track document. Experimental Specifications are intended to demonstrate technical feasibility in anticipation of a ‘hard’ requirement that has not yet emerged. Experimental work may be rapidly transferred onto the Standards Track should a hard requirement emerge in the future.

**DOCUMENT CONTROL**

<b>Document</b>	<b>Title</b>	<b>Date</b>	<b>Status</b>
CCSDS 131.31-O-1	CCSDS Space Link Protocols over ETSI DVB-S2X Standard, Experimental Specification, Issue 1	September 2021	Original issue
CCSDS 131.31-O-1 Cor. 1	Technical Corrigendum 1	April 2022	– replaces CADU with SMTF; – adds applicability of CCSDS 401.0; – editorially updates references to current issues.

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# 1 INTRODUCTION

## 1.1 BACKGROUND

The high demand in TV broadcasting has pushed the European Telecommunications Standards Institute (ETSI) to define a satellite communication standard called Digital Video Broadcasting—Satellite—Second Generation (DVB-S2) (reference [1]) and DVB-S2 Extensions (DVB-S2X) (reference [2]). This standard is suited to high-data-rate transmissions and proposes variable or adaptive coding and modulation with high power and bandwidth efficiencies. All along this document, we design the overall standard ETSI 302 307 (references [1] and [2]) by DVB-S2(X).

## 1.2 PURPOSE

The purpose of this document is to define a recommended interface between CCSDS Space Link Protocols (references [5], [6], and [9]) and the DVB-S2(X) telecom standard (references [1] and [2]), and to recommend options of the DVB-S2(X) standard suited to high data rate transmission applications, such as Earth Exploration Satellite Services (EESS) payload telemetry, ground-to-space, and space-to-space applications (e.g., Space Research Services, inter-satellite links), as long as compliance to CCSDS recommendations for radio frequency modulations in reference [11] is ensured.

## 1.3 SCOPE

The DVB-S2(X) standard (references [1]) proposes advanced modulation techniques (up to 32APSK in reference [1], up to 256APSK in reference [2]) and a wide range of coding rates with near-Shannon coding schemes (LDPC codes). This high number of modulation and coding schemes allows a wide range of possibilities to satisfy specific mission constraints.

Moreover, to maximize the system throughput, it appears possible to adapt the transmitted waveform (and the useful data rate) to the variable conditions of the link. The DVB-S2(X) standard can actually implement Variable Coding and Modulation (VCM) mode, which adapts the transmission scheme to the channel conditions following a predetermined schedule (for example, following a dynamic link budget). When a channel is available to provide feedback (e.g., via a command link), the transmission scheme can be dynamically adjusted using the Adaptive Coding and Modulation (ACM) mode.

The use of the DVB-S2(X) standard for telemetry makes possible the use of generic Very High Scale Integrated Circuits (VHSIC) Hardware Description Language (VHDL) Intellectual Property (IP) modules for developments. The use of a widely implemented standard simplifies finding transmitting or receiving equipment to check compatibility. Finally, for the ground part, some telecom DVB-S2(X) receivers or application-specific integrated circuits developed for the telecom market could be reused. In particular, a Time-Slicing mode was introduced in order to allow very low-cost mass market receivers. This mode can be reused for telemetry applications in order to reuse these very low-cost receivers.

This Experimental Specification is an adaptation profile describing how to use the DVB-S2(X) standard to transmit CCSDS Transfer Frames. The interface between CCSDS and DVB-S2(X) is based on the Attached Synchronization Marker (ASM) and Synch-Marked Transfer Frame (SMTF) already introduced in reference [4].

This Experimental Specification is an extension of the Recommended Standard (reference [3]). When using this Experimental Specification, the Recommended Standard (reference [3]) is consequently superseded by this Experimental Specification.

DVB-S2(X) is used in this adaptation profile as a complete and self-sufficient standard, and definitions and specifications taken from DVB-S2(X) are applicable only in the context of this Experimental Specification. However, individual DVB-S2(X) functions or components (e.g., VCM/ACM, 8PSK, and higher-order modulations) might be reused, redefined, and/or respecified by CCSDS in other Recommended or Experimental Standards.

#### 1.4 APPLICABILITY

This Experimental Specification applies to cross-support situations for near EESS and Space Research Service (SRS). It includes comprehensive specification of the data formats and procedures for inter-Agency cross support. It is neither a specification of, nor a design for, real systems that may be implemented for existing or future missions.

This Experimental Specification is applicable to those missions for which cross support based on capabilities described in this document is anticipated. Where mandatory capabilities are clearly indicated in sections of this Experimental Specification, it is mandatory to implement them when this document is used as a basis for cross support. Where options are allowed or implied, implementation of these options is subject to specific bilateral cross-support agreements between the Agencies involved.

#### 1.5 DOCUMENT STRUCTURE

Section 1 presents the background, purpose, scope, applicability, and rationale of this Experimental Specification and lists the conventions, definitions, and references used throughout the document.

Section 2 provides an overview of the system architecture.

Section 3 specifies the SMTF stream generation. This section is relevant for DVB-S2 and DVB-S2X uses.

Section 4 specifies the DVB-S2 transmission of the SMTF stream. This section is only relevant for DVB-S2 use.

Section 5 specifies the DVB-S2X transmission of the SMTF stream. This section is only relevant for DVB-S2X use.

Section 6 specifies the optional DVB-S2(X) Time-Slicing mode. This section is relevant for DVB-S2 and DVB-S2X uses (when the Time-Slicing mode option is used).

Section 7 specifies managed parameters.

Annex A provides the service definition.

Annex B discusses security, Space Assigned Numbers Authority (SANA), and patent considerations.

Annex C lists acronyms and abbreviations used within this document.

Annex D lists MODCODs available in the DVB-S2 standard.

Annex E lists MODCODs available in the DVB-S2X standard.

Annex F presents an analysis of frequency regulation in EESS X-band and Ka-band when applied to this Experimental Specification.

Annex G provides results of simulations over AWGN and nonlinear channels.

Annex H provides results of measurements performed over an AWGN channel.

## **1.6 NOMENCLATURE**

### **1.6.1 NORMATIVE TEXT**

The following conventions apply for the normative specifications in this Experimental Specification:

- a) the words ‘shall’ and ‘must’ imply a binding and verifiable specification;
- b) the word ‘should’ implies an optional, but desirable, specification;
- c) the word ‘may’ implies an optional specification;
- d) the words ‘is’, ‘are’, and ‘will’ imply statements of fact.

NOTE – These conventions do not imply constraints on diction in text that is clearly informative in nature.

### **1.6.2 INFORMATIVE TEXT**

In the normative sections of this document, informative text is set off from the normative specifications, either in notes or under one of the following subsection headings:

- Overview;

- Background;
- Rationale;
- Discussion.

## **1.7 DEFINITIONS AND CONVENTIONS**

### **1.7.1 DEFINITIONS**

#### **1.7.1.1 Definitions from the Open System Interconnection (OSI) Basic Reference Model**

This Experimental Specification makes use of a number of terms defined in reference [7]. The use of those terms in this document is to be understood in a generic sense, that is, in the sense that those terms are generally applicable to any of a variety of technologies that provide for the exchange of information between real systems. Those terms are:

- a) Data Link Layer;
- b) Physical Layer;
- c) service;
- d) service data unit.

#### **1.7.1.2 Definitions from OSI Service Definition Conventions**

This Experimental Specification makes use of a number of terms defined in reference [8]. The use of those terms in this document is to be understood in a generic sense, that is, in the sense that those terms are generally applicable to any of a variety of technologies that provide for the exchange of information between real systems. Those terms are:

- a) indication;
- b) primitive;
- c) request;
- d) service provider;
- e) service user.

#### **1.7.1.3 Definition of SMTF**

The SMTF consists of the concatenation of an ASM and a Transfer Frame.

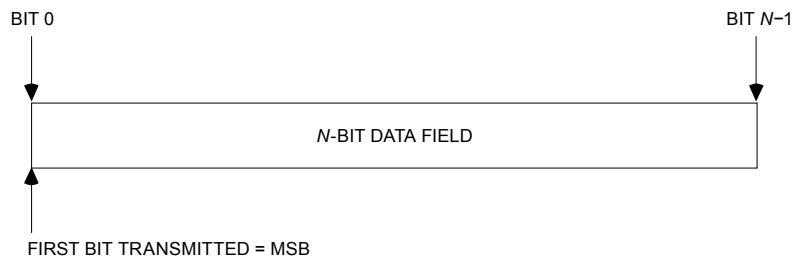
### 1.7.1.4 Definitions from ETSI DVB-S2(X) Standard

This Experimental Specification makes use of a number of terms defined in references [1] and [2]:

- a) DATAFIELD and DFL are defined in paragraph 5.1.5 of references [1] and [2].
- b) BBHEADER is defined in paragraph 5.1.6 of references [1] and [2].
- c) FECFRAME is defined in paragraph 5.3 of references [1] and [2].
- d) PLFRAME is defined in paragraph 5.5 of references [1] and [2].
- e) Dummy PLFRAME is defined in paragraph 5.5.1 of references [1] and [2].
- f) PLHEADER and MODCOD are defined in paragraph 5.5.2 of references [1] and [2].
- g) PLHEADER, MODCOD, and TSN are defined in annex M of references [1] and [2] when using the Time-Slicing mode.

### 1.7.2 CONVENTIONS

In this document, the following convention is used to identify each bit in an  $N$ -bit field. The first bit in the field to be transmitted (i.e., the most left justified when drawing a figure) is defined to be ‘Bit 0’; the following bit is defined to be ‘Bit 1’ and so on up to ‘Bit  $N-1$ ’. When the field is used to express a binary value (such as a counter), the Most Significant Bit (MSB) shall be the first transmitted bit of the field, that is, ‘Bit 0’ (see figure 1-1).



**Figure 1-1: Bit Numbering Convention**

In accordance with standard data-communications practice, data fields are often grouped into 8-bit ‘words’ that conform to the above convention. Throughout this document, such an 8-bit word is called an ‘octet’.

The numbering for octets within a data structure starts with ‘0’.

## 1.8 PATENTED TECHNOLOGIES

The CCSDS draws attention to the fact that it is claimed that compliance with this document may involve the use of patents.

The CCSDS takes no position concerning the evidence, validity, and scope of these patent rights.

The holders of these patent rights have assured the CCSDS that they are willing to negotiate licenses under reasonable and non-discriminatory terms and conditions with applicants throughout the world. In this respect, the statements of the holders of these patent rights are registered with CCSDS. Information can be obtained from the CCSDS Secretariat at the address indicated on page i. Contact information for the holders of these patent rights is provided in annex B.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights other than those identified above. The CCSDS shall not be held responsible for identifying any or all such patent rights.

## 1.9 REFERENCES

The following publications contain provisions which, through reference in this text, constitute provisions of this document. At the time of publication, the editions indicated were valid. All publications are subject to revision, and users of this document are encouraged to investigate the possibility of applying the most recent editions of the publications indicated below. The CCSDS Secretariat maintains a register of currently valid CCSDS publications.

- [1] *Digital Video Broadcasting (DVB); Second Generation Framing Structure, Channel Coding and Modulation Systems for Broadcasting, Interactive Services, News Gathering and Other Broadband Satellite Applications; Part 1: DVB-S2*. ETSI EN 302 307-1 V1.4.1 (2014-11). Sophia-Antipolis: ETSI, 2014.
- [2] *Digital Video Broadcasting (DVB); Second Generation Framing Structure, Channel Coding and Modulation Systems for Broadcasting, Interactive Services, News Gathering and Other Broadband Satellite Applications; Part 2: DVB-S2 Extensions (DVB-S2X)*. ETSI EN 302 307-2 V1.3.1 (2021-07). Sophia-Antipolis: ETSI, 2021.

NOTE – ETSI standards are available for free download at <http://www.etsi.org>.

- [3] *CCSDS Space Link Protocols over ETSI DVB-S2 Standard*. Issue 1. Recommendation for Space Data System Standards (Blue Book), CCSDS 131.3-B-1. Washington, D.C.: CCSDS, March 2013.
- [4] *TM Synchronization and Channel Coding*. Issue 3. Recommendation for Space Data System Standards (Blue Book), CCSDS 131.0-B-3. Washington, D.C.: CCSDS, September 2017.



- [5] *TM Space Data Link Protocol*. Issue 3. Recommendation for Space Data System Standards (Blue Book), CCSDS 132.0-B-3. Washington, D.C.: CCSDS, October 2021.
- [6] *AOS Space Data Link Protocol*. Issue 4. Recommendation for Space Data System Standards (Blue Book), CCSDS 732.0-B-4. Washington, D.C.: CCSDS, October 2021.
- [7] *Information Technology—Open Systems Interconnection—Basic Reference Model: The Basic Model*. 2nd ed. International Standard, ISO/IEC 7498-1:1994. Geneva: ISO, 1994.
- [8] *Information Technology—Open Systems Interconnection—Basic Reference Model—Conventions for the Definition of OSI Services*. International Standard, ISO/IEC 10731:1994. Geneva: ISO, 1994.
- [9] *Unified Space Data Link Protocol*. Issue 2. Recommendation for Space Data System Standards (Blue Book), CCSDS 732.1-B-2. Washington, D.C.: CCSDS, October 2021.
- [10] *User Guidelines for the Second Generation System for Broadcasting, Interactive Services, News Gathering and Other Broadband Satellite Applications (DVB-S2)*. ETSI TR 102 376 V1.1.1 (2005-02). Sophia-Antipolis: ETSI, 2005.
- [11] *Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft*. Issue 32. Recommendations for Space Data System Standards (Blue Book), CCSDS 401.0-B-32. Washington, D.C.: CCSDS, October 2021.

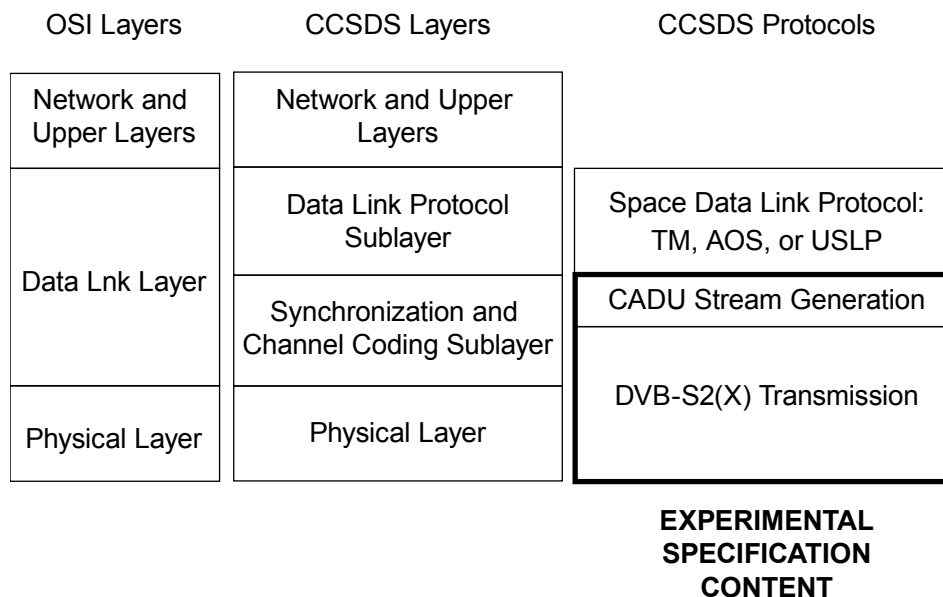
## 2 OVERVIEW

### 2.1 ARCHITECTURE

Figure 2-1 illustrates the relationship of this Experimental Specification to the Open Systems Interconnection reference model (reference [7]). Two sublayers of the Data Link Layer are defined for CCSDS space link protocols. The TM, AOS, and Unified Space Data Link Protocols specified in references [5], [6], and [9], respectively, correspond to the Data Link Protocol Sublayer and provide functions for transferring data using the protocol data unit called the Transfer Frame. The Synchronization and Channel Coding Sublayer provides methods of synchronization and channel coding for transferring Transfer Frames over a space link, while the Physical Layer provides the RF and modulation methods for transferring a stream of bits over a space link in a single direction.

This Experimental Specification covers the functions of both the Synchronization and Channel Coding Sublayer and the Physical Layer, the latter for what concerns the modulation schemes. CCSDS 401.0-B (reference [11]) covers additional features of the Physical Layer, like frequency bands and polarizations, that are not described or referenced here.

Cor. 1



**Figure 2-1: Relationship with OSI Layers**

### 2.2 SUMMARY OF FUNCTIONS

#### 2.2.1 GENERAL

This Experimental Specification provides the following functions for transferring Transfer Frames via a stream of bits over a space link:

- a) pseudo-randomizing;
- b) error correction coding and modulation;

- c) Transfer Frame synchronization;
- d) Transfer Frame validation.

### **2.2.2 PSEUDO-RANDOMIZING**

Pseudo-randomizing is specified in the DVB-S2(X) standard. No other pseudo-randomizing of Transfer Frames is required.

### **2.2.3 ERROR CORRECTION CODING AND MODULATION**

Error correction coding and modulation are specified in the DVB-S2(X) standard. No other error correction coding of Transfer Frames is required.

### **2.2.4 TRANSFER FRAME SYNCHRONIZATION**

This Experimental Specification specifies an ASM for synchronizing Transfer Frames at the receiver.

### **2.2.5 TRANSFER FRAME VALIDATION**

After decoding is performed, the upper layers at the receiving end also need to know whether or not each decoded Transfer Frame can be used as a valid data unit; that is, an indication of the quality of the received frame is needed. This function is called Frame Validation. In this Experimental Specification, the Frame Error Control Field defined in references [5], [6], and [9] is used for Transfer Frame Validation at the receiver.

## **2.3 INTERNAL ORGANIZATION**

### **2.3.1 SENDING END**

#### **2.3.1.1 General**

Figure 2-2 illustrates the frame structures and stream formats at different stages of processing for the sending end.

#### **2.3.1.2 SMTF Stream Generation**

This Experimental Specification specifies a method to generate a data stream including CCSDS Transfer Frames received from the layer above by embedding each CCSDS Transfer Frame into a SMTF. This method also allows CCSDS Transfer Frame synchronization at the receiver by using an ASM.

### 2.3.1.3 DVB-S2(X) Transmission

This Experimental Specification specifies the DVB-S2(X) options to transmit the SMTF stream.

DVB-S2(X) functions are not detailed here, and the reader must refer to reference [1] or [2].

Some important characteristics of the DVB-S2(X) transmission, as used in this Experimental Specification, are summarized here:

- DVB-S2(X) transmission is frame oriented: a continuous binary stream to be transmitted is sliced into blocks of Data Field Length (DFL) bits, with DFL depending on the coding rate and the FECFRAME size; Physical Layer frames (PLFRAMEs) are then transmitted continuously on the RF link.
- For a given channel symbol rate, the input (SMTF stream) data rate depends on the modulation, the coding rate, the FECFRAME size, the pilot insertion status and the Time-Slicing mode status; the input data rate can be derived from the channel symbol rate using tables in annexes D and E.
- A combination of a modulation and a coding rate is called a MODCOD as per DVB-S2(X) terminology.

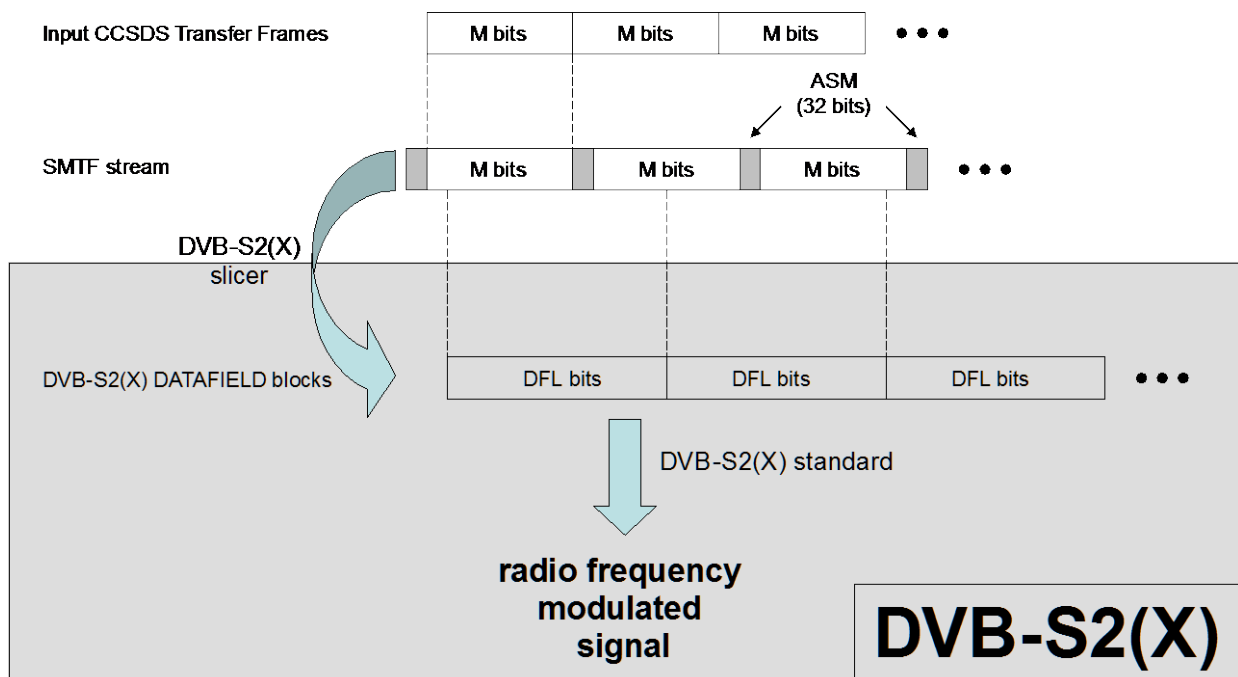


Figure 2-2: Stream Format while Transmitting CCSDS Transfer Frames Using DVB-S2(X)

### 2.3.2 RECEIVING END

At the receiving end:

- the DVB-S2(X) demodulator accepts a radio frequency modulated signal and delivers a SMTF stream;
- Transfer Frame synchronization allows recovery of CCSDS Transfer Frames in the SMTF stream for delivery of those frames to the Data Link Protocol Sublayer.

Cor. 1  
Cor. 1

### 3 SMTF STREAM GENERATION

#### 3.1 OVERVIEW

CCSDS Transfer Frame synchronization is necessary at the receiver. Consequently, an ASM is introduced before transmission. Error correction coding and pseudo-randomization are performed at the DVB-S2(X) transmission level.

This section is relevant for DVB-S2 and DVB-S2X uses.

#### 3.2 INPUT CCSDS TRANSFER FRAMES

**3.2.1** Input Transfer Frames shall be either TM Transfer Frames as specified in reference [5], or AOS Transfer Frames as specified in reference [6], or USLP Transfer Frame as specified in reference [9].

**3.2.2** The Transfer Frames' lengths shall vary between the following minimum and maximum values: 223 octets (1784 bits) and 65536 octets (524288 bits).

**3.2.3** Error correction coding and pseudo-randomization shall be performed **only** at the DVB-S2(X) transmission level.

#### 3.3 SYNCH-MARKED TRANSFER FRAME

**3.3.1** For each Transfer Frame, the system shall construct a SMTF containing the ASM and the Transfer Frame.

**3.3.2** The ASM shall be the 32-bit (4-octet) marker with value 1ACFFC1D in hex defined in reference [4].

NOTE – The SMTF stream consists of a stream of fixed-length Transfer Frames with each Transfer Frame immediately preceded by an ASM. The ASM attached to a Transfer Frame immediately follows the end of the previous Transfer Frame.

## 4 DVB-S2 TRANSMISSION

### 4.1 OVERVIEW

In this section, main characteristics of the DVB-S2 transmission of the SMTF stream are specified. Definitions and vocabulary of the DVB-S2 standard (reference [1]) are used.

This section is only relevant for DVB-S2 use.

### 4.2 DVB-S2 MODE ADAPTATION FORMAT

**4.2.1** The RF signal shall conform to the DVB-S2 standard (reference [1]).

**4.2.2** The SMTF stream shall be transmitted using the single input continuous Generic Stream (GS) mode adaptation format of the DVB-S2 standard (paragraph 5.1 of reference [1]).

#### NOTES

- 1 No particular alignment between the Transfer Frames of the SMTF stream and the DVB-S2 DATAFIELD is needed.
- 2 Following table 3 of reference [1], the first 3 bits of the BBHEADER are consequently '011' ('01': generic continuous stream, '1': single input stream).

**4.2.3** The DVB-S2 slicer shall allocate a number of input bits equal to the maximum DVB-S2 DATAFIELD capacity.

#### NOTES

- 1 In other words, padding (paragraph 5.2.1 of reference [1]) is not used.
- 2 This maximum DATAFIELD capacity is equal to  $K_{\text{bch}} - 80$  bits and depends on the considered coding rate and FECFRAME size.
- 3 In the DVB-S2 standard, the channel symbol rate does not change during a transmission; consequently, the required input (SMTF stream) data rate changes whenever the MODCOD changes during a transmission.
- 4 When the current MODCOD is modified during transmission using VCM or ACM, the DVB-S2 slicer applies the change without discarding or truncating or impairing the SMTF stream, according to the DVB-S2 standard.

### 4.3 AVERAGE SIGNAL ENERGY

The average channel symbol energy  $E$  shall be equal to 1 as defined in paragraphs 5.4.3 and 5.4.4 of reference [1].

#### **4.4 SHAPING FILTERING**

The power spectral density mask in annex A of reference [1] shall not be applied to the transmitted signal in this Experimental Specification.



## 5 DVB-S2X TRANSMISSION

### 5.1 OVERVIEW

In this section, main characteristics of the DVB-S2X transmission of the SMTF stream are specified. Definitions and vocabulary of the DVB-S2X standard (reference [2]) are used.

This section is only relevant for DVB-S2X use.

### 5.2 DVB-S2X MODE ADAPTATION FORMAT

**5.2.1** The RF signal shall conform to the DVB-S2X standard (reference [2]).

**5.2.2** The SMTF stream shall be transmitted using the single input continuous GS mode adaptation format of the DVB-S2X standard (paragraph 5.1 of reference [2]).

**5.2.3** The DVB-S2X slicer shall allocate a number of input bits equal to the maximum DVB-S2X DATAFIELD capacity.

NOTE – The notes in 4.2 are also relevant in this subsection but replacing DVB-S2 by DVB-S2X.

### 5.3 AVERAGE SIGNAL ENERGY

The average channel symbol energy  $E$  shall be equal to 1 (as defined in reference [1] paragraph 5.4.3 for 16APSK and paragraph 5.4.4 for 32APSK) for all constellations.

### 5.4 SHAPING FILTERING

The power spectral density mask in annex A of reference [2] shall not be applied to the transmitted signal in this Experimental Specification.

### 5.5 DVB-S2X EXCLUDED OPTIONS

**5.5.1** The Very-Low Signal-to-Noise Ratio (VL-SNR) mode of the DVB-S2X standard (in particular VL-SNR MODCOD, see table 18a in reference [2]) shall not be used in this Experimental Specification.

**5.5.2** The Super-Framing Structure of the DVB-S2X standard (annex E in reference [2]) shall not be used in this Experimental Specification.

## **6 OPTIONAL DVB-S2X TIME-SLICING MODE**

### **6.1 OVERVIEW**

The optional Time-Slicing mode is described in annex M of references [1] and [2]. This mode, when applied to this Experimental Specification, is of interest to reuse very low-cost receivers from the telecom mass-market.

### **6.2 TIME SLICING NUMBERING**

The Time-Slice Number (TSN) shall be a counter modulo NUMBER\_OF\_TS continuously incremented at each new transmitted Time-Slice (i.e., each new transmitted PLFRAME).

## 7 MANAGED PARAMETERS

### 7.1 OVERVIEW

Some parameters associated with coding, synchronization, and modulation are handled by management rather than by inline communications protocol. The managed parameters are generally those which tend to be static for long periods of time, and whose change generally signifies a major reconfiguration of the modulation, synchronization, and channel coding systems associated with a particular mission, that is, parameters that are fixed within a mission phase. However, as mentioned in annex A, the coding and modulation scheme defined in this book also supports parameters that can be changed from one time interval to the next, within a sequence of time intervals in a mission phase. These two types are referenced in this section respectively as Permanent Managed Parameters and Variable Managed Parameters.

Through the use of a management system, management conveys the required information to the coding, synchronization, and modulation systems.

In this section, the managed parameters used by coding, synchronization, and modulation systems are listed. These parameters are defined in an abstract sense and are not intended to imply any particular implementation of a management system.

### 7.2 PERMANENT MANAGED PARAMETERS

#### 7.2.1 GENERAL

**7.2.1.1** All the managed parameters specified in this section shall be fixed for all Transfer Frames on a Physical Channel during a given Mission Phase.

**7.2.1.2** The Frame Error Control Field defined in reference [5], [6], or [9] shall be present.

NOTE – The Frame Error Control Field is used for Frame Validation as mentioned in 2.2.5.

#### 7.2.2 MANAGED PARAMETERS FOR TRANSFER FRAME SYNCHRONIZATION

The managed parameters for Transfer Frame Synchronization shall be those specified in table 7-1.

**Table 7-1: Permanent Managed Parameters for Transfer Frame Synchronization**

Managed Parameter	Allowed Values
Transfer Frame Length (octets)	Integer: 223 to 65536 octets.

### 7.2.3 MANAGED PARAMETERS FOR DVB-S2(X) TRANSMISSION

7.2.3.1 The managed parameters for DVB-S2(X) transmission shall be those specified in table 7-2.

7.2.3.2 If DVB-S2 value is selected, the managed parameters are specified in table 7-3.

7.2.3.3 If DVB-S2X value is selected, the managed parameters are specified in table 7-4.

**Table 7-2: Permanent Managed Parameters for DVB-S2(X) Transmission**

Managed Parameter	Allowed Values
DVB-S2(X) (ETSI EN 302 307) option	DVB-S2 or DVB-S2X.

### 7.2.4 MANAGED PARAMETERS FOR DVB-S2 TRANSMISSION

The managed parameters for DVB-S2 transmission shall be those specified in table 7-3.

NOTE – MODCOD, FECFRAME size, and pilot insertion status are variable managed parameters and are indicated with an asterisk in table 7-3.

**Table 7-3: Permanent Managed Parameters for DVB-S2 Transmission**

<b>Managed Parameter</b>	<b>Allowed Values</b>
Transmission mode	CCM, VCM, or ACM.
Baseband pulse shaping roll-off factor	0.2, 0.25, or 0.35.
Dummy PLFRAME utilization	YES or NO.
Scrambling code number n	Integer: 0 to 262141 (see paragraph 5.5.4 of reference [1]).
Number of MODCODs* supported during a given mission phase	Integer: 1 to 29 (for MODCOD coding, see table 12 paragraph 5.5.2.2 of reference [1]).
List of MODCODs* supported during a given mission phase	List of integers (dimension = 'Number of MODCOD supported during a given mission phase'). Each integer of the list is in the range 0 to 28 and corresponds to a supported MODCOD. (For MODCOD coding, see table 12 paragraph 5.5.2.2 of reference [1].)
Supported FECFRAME size*	Short, Normal, or both.
Supported pilot insertion status*	ON, OFF, or both.

NOTE – The list of supported MODCOD is visible in annex D.

### 7.2.5 MANAGED PARAMETERS FOR DVB-S2X TRANSMISSION

The managed parameters for DVB-S2X transmission shall be those specified in table 7-4.

NOTE – MODCOD, FECFRAME size, and pilot insertion status are variable managed parameters and are indicated with an asterisk in table 7-4.

**Table 7-4: Permanent Managed Parameters for DVB-S2X Transmission**

<b>Managed Parameter</b>	<b>Allowed Values</b>
Transmission mode	CCM, VCM, or ACM.
Baseband pulse shaping roll-off factor	0.05, 0.1, 0.15, 0.2, 0.25, or 0.35.
Dummy PLFRAME utilization	YES or NO.
Scrambling code number n	Integer: 0 to 262141 (see paragraph 5.5.4 of reference [1]).
Number of MODCODs* supported during a given mission phase	Integer (for MODCOD coding, see paragraph 5.5.2.2 of reference [2]).
List of MODCODs* supported during a given mission phase	List of integers (dimension = 'Number of MODCODs supported during a given mission phase'). Allowed integers are provided in table 17a, paragraph 5.5.2.2 of reference [2], excluding 129 and 131.
Supported FECFRAME size*	Short, Normal, or both.
Supported pilot insertion status*	ON, OFF, or both.

NOTE – The list of supported MODCODs is provided in annex E.

## 7.2.6 MANAGED PARAMETERS FOR OPTIONAL DVB-S2(X) TIME-SLICING MODE

The managed parameters for optional DVB-S2(X) Time-Slicing mode shall be those specified in table 7-5.

**Table 7-5: Permanent Managed Parameters for Optional DVB-S2(X) Time-Slicing Mode**

<b>Managed Parameter</b>	<b>Allowed Values</b>
DVB-S2(X) Time-Slicing mode activation status	ON or OFF.
NUMBER_OF_TS	Integer: 1 to 256.

### 7.3 VARIABLE MANAGED PARAMETERS

#### 7.3.1 MANAGED PARAMETERS FOR DVB-S2 TRANSMISSION

The managed parameters specified in table 7-6 shall be fixed on a Physical Channel within one interval of a given Mission Phase.

**Table 7-6: Variable Managed Parameters for DVB-S2 Transmission**

Managed Parameter	Allowed Values
Current MODCOD	Integer: 0 to 28 (for MODCOD coding, see table 12 paragraph 5.5.2.2 of reference [1]).
Current FECFRAME size	Short or Normal.
Current pilot insertion status	ON or OFF.

NOTE – These variable managed parameters are indicated in the PLHEADER of the transmitted signal; it is consequently not needed to provide them to the receiver working in VCM/ACM mode.

#### 7.3.2 MANAGED PARAMETERS FOR DVB-S2X TRANSMISSION

The managed parameters specified in table 7-7 shall be fixed on a Physical Channel within one interval of a given Mission Phase.

**Table 7-7: Variable Managed Parameters for DVB-S2X Transmission**

Managed Parameter	Allowed Values
Current MODCOD	Integer (for MODCOD coding, see table 17a, paragraph 5.5.2.2 of reference [2], excluding 129 and 131).
Current FECFRAME size	Short or Normal.
Current pilot insertion status	ON or OFF.

NOTE – These variable managed parameters are indicated in the PLHEADER of the transmitted signal; it is consequently not needed to provide them to the receiver working in VCM/ACM mode.

## ANNEX A

### SERVICE DEFINITION

#### (NORMATIVE)

#### A1 OVERVIEW

##### A1.1 BACKGROUND

This annex provides service definition in the form of primitives, which present an abstract model of the logical exchange of data and control information between the service provider and the service user. The definitions of primitives are independent of specific implementation approaches.

The parameters of the primitives are specified in an abstract sense and specify the information to be made available to the user of the primitives. The way in which a specific implementation makes this information available is not constrained by this specification. In addition to the parameters specified in this annex, an implementation can provide other parameters to the service user (e.g., parameters for controlling the service, monitoring performance, facilitating diagnosis).

#### A2 OVERVIEW OF THE SERVICE

**A2.1** The present ‘CCSDS Space Link Protocols over ETSI DVB-S2(X)’ Experimental Specification provides unidirectional (one way) transfer of a sequence of fixed-length TM or AOS Transfer Frames at constant frame rate over a Physical Channel across a space link, with selectable error detection/correction.

**A2.2** The value of the constant frame rate can be changed from one time interval to the next, within a sequence of time intervals in a mission phase. There can be multiple time intervals within a mission phase. This annex does not specify the method for synchronizing the data exchange between the service user and the service provider when there is a change of frame rate: the synchronization is considered to be part of system management and is out of the scope of this annex.

**A2.3** Only one user can use this service on a Physical Channel, and Transfer Frames from different users are not multiplexed together within one Physical Channel.



## **A3 SERVICE PARAMETERS**

### **A3.1 FRAME**

**A3.1.1** The Frame parameter is the service data unit of this service and shall be either a TM Transfer Frame defined in reference [5], or an AOS Transfer Frame defined in reference [6], or a USLP Transfer Frame defined in reference [9].

**A3.1.2** The length of any Transfer Frame transferred on a Physical Channel is established by management.

### **A3.2 QUALITY INDICATOR**

The Quality Indicator parameter shall be used to notify the user at the receiving end of the service that there is an uncorrectable error in the received Transfer Frame.

### **A3.3 SEQUENCE INDICATOR**

The Sequence Indicator parameter shall be used to notify the user at the receiving end of the service that one or more Transfer Frames of the Physical Channel have been lost as the result of a loss of frame synchronization.

## **A4 SERVICE PRIMITIVES**

### **A4.1 GENERAL**

**A4.1.1** The service primitives associated with this service are:

- a) ChannelAccess.request;
- b) ChannelAccess.indication.

**A4.1.2** The ChannelAccess.request primitive shall be passed from the service user at the sending end to the service provider to request that a Frame be transferred through the Physical Channel to the user at the receiving end.

**A4.1.3** The ChannelAccess.indication shall be passed from the service provider to the service user at the receiving end to deliver a Frame.

### **A4.2 ChannelAccess.request**

#### **A4.2.1 Function**

The ChannelAccess.request primitive is the service request primitive for this service.

#### **A4.2.2 Semantics**

The ChannelAccess.request primitive shall provide a parameter as follows:

ChannelAccess.request      (Frame)

#### **A4.2.3 When Generated**

The ChannelAccess.request primitive shall be passed to the service provider to request it to process and send the Frame.

#### **A4.2.4 Effect on Receipt**

Receipt of the ChannelAccess.request primitive shall cause the service provider to perform the functions described in 2.2 and transfer the resulting channel symbols.

### **A4.3 ChannelAccess.indication**

#### **A4.3.1 Function**

The ChannelAccess.indication primitive is the service indication primitive for this service.

#### **A4.3.2 Semantics**

The ChannelAccess.indication primitive shall provide parameters as follows:

ChannelAccess.indication      (Frame,  
Quality Indicator,  
Sequence Indicator)

#### **A4.3.3 When Generated**

The ChannelAccess.indication primitive shall be passed from the service provider to the service user at the receiving end to deliver a Frame.

#### **A4.3.4 Effect on Receipt**

The effect on receipt of the ChannelAccess.indication primitive by the service user is undefined.

## ANNEX B

### SECURITY, SANA, AND PATENT CONSIDERATIONS

#### (INFORMATIVE)

#### B1 SECURITY CONSIDERATIONS

##### B1.1 SECURITY BACKGROUND

It is assumed that security is provided by encryption, authentication methods, and access control to be performed at higher layers (application and/or transport layers and/or data link layer). Mission and service providers are expected to select from recommended security methods, suitable to the specific application profile. Specification of these security methods and other security provisions is outside the scope of this Experimental Specification. The modulation, synchronization, and coding layers have the objective of delivering data with the minimum possible amount of residual errors. There is an extremely low probability of undetected errors that may escape the scrutiny performed during reception with the recommended DVB-S2(X) standard. If some extra performances are expected in terms of probability of undetected errors, the CRC code of the CCSDS Transfer Frame must be used with the data in order to insure that residual errors are detected and the frame flagged. These errors may affect the encryption process in unpredictable ways, possibly affecting the decryption stage and producing data loss, but will not compromise the security of the data.

##### B1.2 SECURITY CONCERNS WITH RESPECT TO THE CCSDS DOCUMENT

Security concerns in the areas of data privacy, authentication, access to resources control, availability of resources, and auditing are to be addressed in higher layers and are not related to this Experimental Specification. The modulation, synchronization, and coding layers do not affect the proper functioning of methods used to achieve such protection at higher layers, except for undetected errors, as explained above.

Concerning the data integrity, the physical integrity of data bits is protected from channel errors by the modulation, synchronization, and coding systems specified in the DVB-S2(X) Standard. In case of congestion or disruption of the link, the modulation, synchronization, and coding layers described in this Experimental Specification based on DVB-S2(X) provide methods for frame resynchronization.

##### B1.3 POTENTIAL THREATS AND ATTACK SCENARIOS

An eavesdropper can receive and decode the codewords insofar as the proposed standard is publicly available and widely used in the Digital Video and Data Broadcasting community, but will not be able to get to the user data if proper encryption is performed at a higher layer.

An interferer could affect the performance of the demodulator, degrading then the receive signal-to-noise ratio by an in-band signal (pure carrier, modulated bursts, radar, or ‘chirp’ signals, etc.) and cause data losses. Another type of interferer could send some properly modulated and also properly encoded data and could then produce a congestion of the receiver with unwanted data, but such data would be rejected by authentication if implemented at a higher layer. Such interference or jamming must be dealt with at the Physical Layer and through proper spectrum regulatory entities and/or anti-jamming modulation techniques, which are outside the scope of the present Experimental Specification.

#### **B1.4 CONSEQUENCES OF NOT APPLYING SECURITY TO THE TECHNOLOGY**

There are no specific security measures prescribed for the demodulation, synchronization, and coding layers. Therefore, consequences of not applying security are only imputable to the lack of proper security measures in upper layers. Residual undetected errors may produce additional data loss when the link carries encrypted data.

#### **B2 SANA CONSIDERATIONS**

The recommendations of this document do not require any action from SANA.

#### **B3 PATENT CONSIDERATIONS**

Implementers of this Experimental Specification should be aware that DVB-S2(X) is covered by a set of patents for which a global license can be obtained from:

S2 Licensing  
Attention: John T. Whelan  
135 West Dares Beach Road, Suite 204  
Prince Frederick, Maryland 20678  
United States of America  
Telephone: 410-535-6554  
Facsimile: 410-535-6077  
E-mail: [jwhelan@S2licensing.com](mailto:jwhelan@S2licensing.com)

## ANNEX C

## ACRONYMS AND ABBREVIATIONS

## (INFORMATIVE)

<u>Term</u>	<u>Meaning</u>
8APSK	8-ary amplitude and phase shift keying
8PSK	8-ary phase shift keying
16APSK	16-ary amplitude and phase shift keying
32APSK	32-ary amplitude and phase shift keying
64APSK	64-ary amplitude and phase shift keying
128APSK	128-ary amplitude and phase shift keying
256APSK	256-ary amplitude and phase shift keying
ACM	adaptive coding and modulation
AOS	Advanced Orbiting Systems
ASM	attached synchronization marker
AWGN	additive white Gaussian noise
baud	bits of actual usable data
BBFRAME	baseband frame in the DVB-S2(X) standard
BBHEADER	header of BBFRAME in the DVB-S2(X) standard
BCH	Bose-Chaudhuri-Hocquenghem
BER	bit error rate
CCM	constant coding and modulation
CCSDS	Consultative Committee for Space Data Systems
CRC	cyclic redundancy check
DATAFIELD	data field in the DVB-S2(X) standard
dBK	decibel-Kelvin
dBW	decibel watt
DEAF	digital equalization & automatic filtering
DFL	data field length in the DVB-S2(X) standard
DVB	Digital Video Broadcasting project
DVB-S2	DVB system of second generation for satellite broadcasting
DVB-S2X	DVB-S2 extensions
$E_b/N_0$	bit energy to noise power spectral density ratio
EESS	Earth Exploration Satellites Systems
$E_s/N_0$	channel symbol energy to noise power spectral density ratio

Cor. 1

<u>Term</u>	<u>Meaning</u>
ETSI	European Telecommunications Standards Institute
FECFRAME	forward error correction in the DVB-S2(X) standard
FER	frame error rate
GS	generic stream
HBF	half-band filter
HDR	high data rate
HDRT	High Dynamic Range Telescope
ITU	International Telecommunications Union
LDPC	low density parity check
LPF	low pass filter
Mbaud	megabaud
MODCOD	modulation and coding identifier of the DVB-S2(X) standard
MSB	most significant bit
NA	not applicable
OSI	Open Systems Interconnection
PFD	power flux density
PLFRAME	Physical Layer frame in the DVB-S2(X) standard
PLHEADER	header of the PLFRAME in the DVB-S2(X) standard
PLSCODE	Physical Layer signalling code in the DVB-S2(X) standard
PSD	power spectral density
QPSK	quaternary phase shift keying
RF	radio frequency
SFCG	Space Frequency Coordination Group
SMTF	synch-marked transfer frame
SNR	signal power to noise power ratio
SRRC	square-root raised cosine
SRS	Space Research Service
TM	telemetry
TSN	time slice number
USLP	Unified Space Data Link Protocol
VCM	variable coding and modulation
VHDL	VHSIC Hardware Description Language
VHSIC	very high scale integrated circuits
VL-SNR	very-low signal-to-noise ratio

ANNEX D

DVB-S2 SPECTRAL EFFICIENCIES

(INFORMATIVE)

Cor. 1

The following spectral efficiencies consider the SMTF stream as the useful content to be transmitted. Consequently, the required bit rate at the input of the DVB-S2 transmitter is equal to the product of the selected spectral efficiency listed in the following table with the channel symbol rate used on the physical link.

NOTE – The table values in the case ‘without time-slicing’ are the same as the ones provided in annex D of reference [1].

Table D-1: DVB-S2 Spectral Efficiencies

MODCOD decimal value	Canonical MODCOD name	spectral efficiency [bits/channel symbol]							
		without time-slicing				with time-slicing			
		short frame with pilots	short frame without pilots	normal frame with pilots	normal frame without pilots	short frame with pilots	short frame without pilots	normal frame with pilots	normal frame without pilots
0	Dummy Frame	0	0	0	0	0	0	0	0
1	QPSK 1/4	0.3575	0.3653	0.4786	0.4902	0.3537	0.3614	0.4773	0.4889
2	QPSK 1/3	0.6155	0.6291	0.6408	0.6564	0.609	0.6222	0.6391	0.6546
3	QPSK 2/5	0.7446	0.7609	0.7706	0.7894	0.7366	0.7527	0.7685	0.7872
4	QPSK 1/2	0.8306	0.8488	0.9653	0.9889	0.8217	0.8396	0.9627	0.9861
5	QPSK 3/5	1.1317	1.1565	1.16	1.1883	1.1196	1.144	1.1569	1.185
6	QPSK 2/3	1.2607	1.2884	1.2908	1.3223	1.2473	1.2744	1.2873	1.3186
7	QPSK 3/4	1.3897	1.4203	1.4521	1.4875	1.3749	1.4048	1.4482	1.4834
8	QPSK 4/5	1.4757	1.5082	1.5494	1.5872	1.46	1.4918	1.5452	1.5828
9	QPSK 5/6	1.5618	1.5961	1.6153	1.6547	1.5452	1.5787	1.6109	1.6501
10	QPSK 8/9	1.6908	1.728	1.7244	1.7665	1.6728	1.7092	1.7198	1.7616
11	QPSK 9/10	NA	NA	1.746	1.7886	NA	NA	1.7413	1.7837
12	8PSK 3/5	1.692	1.7253	1.7396	1.78	1.6653	1.6975	1.7325	1.7726
13	8PSK 2/3	1.885	1.922	1.9357	1.9806	1.8551	1.891	1.9278	1.9725
14	8PSK 3/4	2.0779	2.1188	2.1775	2.2281	2.045	2.0846	2.1687	2.2189
15	8PSK 5/6	2.3351	2.3811	2.4223	2.4786	2.2982	2.3427	2.4125	2.4683
16	8PSK 8/9	2.528	2.5778	2.5859	2.646	2.488	2.5362	2.5755	2.6351
17	8PSK 9/10	NA	NA	2.6184	2.6792	NA	NA	2.6078	2.6681
18	16APSK 2/3	2.5052	2.5488	2.5746	2.6372	2.4528	2.4946	2.5608	2.6227
19	16APSK 3/4	2.7616	2.8097	2.8963	2.9667	2.7039	2.7499	2.8808	2.9504
20	16APSK 4/5	2.9326	2.9836	3.0905	3.1656	2.8712	2.9201	3.0739	3.1482
21	16APSK 5/6	3.1035	3.1575	3.2219	3.3002	3.0386	3.0903	3.2046	3.2821
22	16APSK 8/9	3.3599	3.4184	3.4395	3.5231	3.2896	3.3456	3.4211	3.5038
23	16APSK 9/10	NA	NA	3.4827	3.5673	NA	NA	3.464	3.5477
24	32APSK 3/4	3.4192	3.4931	3.6233	3.7033	3.331	3.4012	3.599	3.6779
25	32APSK 4/5	3.6308	3.7093	3.8662	3.9516	3.5372	3.6117	3.8403	3.9245
26	32APSK 5/6	3.8424	3.9255	4.0306	4.1195	3.7434	3.8222	4.0036	4.0913
27	32APSK 8/9	4.1599	4.2498	4.3029	4.3979	4.0527	4.138	4.2741	4.3677
28	32APSK 9/10	NA	NA	4.3569	4.453	NA	NA	4.3277	4.4225

## ANNEX E

## DVB-S2X SPECTRAL EFFICIENCIES

## (INFORMATIVE)

The DVB-S2X spectral efficiencies include those provided in tables D-1 and E-1.

NOTE – The table values in the case ‘without time-slicing’ are the same as those provided in annex D of reference [1].

**Table E-1: DVB-S2X Additional Spectral Efficiencies**

MODCOD (PLSCODE) decimal value	Canonical MODCOD name	Implementation MODCOD name	Spectral efficiency [bits/channel symbol]			
			without time-slicing		with time-slicing	
			with pilots	without pilots	with pilots	without pilots
132	QPSK 13/45	QPSK 13/45	0.5543	0.5678	0.5528	0.5662
134	QPSK 9/20	QPSK 9/20	0.868	0.8891	0.8656	0.8867
136	QPSK 11/20	QPSK 11/20	1.0627	1.0886	1.0598	1.0856
138	8APSK 5/9-L	2+4+2APSK 100/180	1.6098	1.6472	1.6033	1.6404
140	8APSK 26/45-L	2+4+2APSK 104/180	1.6747	1.7136	1.6679	1.7065
142	8PSK 23/36	8PSK 23/36	1.8531	1.8962	1.8456	1.8883
144	8PSK 25/36	8PSK 25/36	2.0153	2.0621	2.0072	2.0536
146	8PSK 13/18	8PSK 13/18	2.0964	2.1451	2.088	2.1363
148	16APSK 1/2-L	8+8APSK 90/180	1.9254	1.9723	1.9151	1.9614
150	16APSK 8/15-L	8+8APSK 96/180	2.0549	2.1048	2.0439	2.0933
152	16APSK 5/9-L	8+8APSK 100/180	2.1412	2.1932	2.1297	2.1812
154	16APSK 26/45	4+12APSK 26/45	2.2275	2.2816	2.2155	2.2691
156	16APSK 3/5	4+12APSK 3/5	2.3138	2.37	2.3014	2.357
158	16APSK 3/5-L	8+8APSK 18/30	2.3138	2.37	2.3014	2.357
160	16APSK 28/45	4+12APSK 28/45	2.4001	2.4584	2.3872	2.4449
162	16APSK 23/36	4+12APSK 23/36	2.4648	2.5247	2.4516	2.5109
164	16APSK 2/3-L	8+8APSK 20/30	2.5727	2.6352	2.5589	2.6208
166	16APSK 25/36	4+12APSK 25/36	2.6806	2.7457	2.6662	2.7306
168	16APSK 13/18	4+12APSK 13/18	2.7884	2.8562	2.7735	2.8405
170	16APSK 7/9	4+12APSK 140/180	3.0042	3.0772	2.9881	3.0603
172	16APSK 77/90	4+12APSK 154/180	3.3062	3.3866	3.2885	3.368
174	32APSK 2/3-L	4+12+16rbAPSK 2/3	3.2209	3.292	3.1993	3.2694
176	32APSK 32/45	4+12+16rbAPSK 25/36	3.3534	3.4274	3.331	3.404
178	32APSK 32/45	4+8+4+16APSK 128/180	3.4344	3.5102	3.4114	3.4861



EXPERIMENTAL SPECIFICATION—CCSDS SPACE LINK PROTOCOLS OVER ETSI DVB-S2X

MODCOD (PLSCODE) decimal value	Canonical MODCOD name	Implementation MODCOD name	Spectral efficiency [bits/channel symbol]			
			without time-slicing		with time-slicing	
			with pilots	without pilots	with pilots	without pilots
180	32APSK 11/15	4+8+4+16APSK 132/180	3.5424	3.6205	3.5186	3.5957
182	32APSK 7/9	4+8+4+16APSK 140/180	3.7583	3.8412	3.7331	3.8149
184	64APSK 32/45-L	16+16+16+16APSK 128/180	4.1113	4.2064	4.0783	4.1719
186	64APSK 11/15	4+12+20+28APSK 132/180	4.2405	4.3387	4.2066	4.3031
190	64APSK 7/9	8+16+20+20APSK 7/9	4.499	4.6031	4.463	4.5654
194	64APSK 4/5	8+16+20+20APSK 4/5	4.6283	4.7354	4.5912	4.6965
198	64APSK 5/6	8+16+20+20APSK 5/6	4.825	4.9366	4.7863	4.8962
200	128APSK 3/4	128APSK 135/180	5.0536	5.1703	5.0065	5.121
202	128APSK 7/9	128APSK 140/180	5.2418	5.3629	5.1929	5.3118
204	256APSK 29/45-L	256APSK 116/180	4.9568	5.0657	4.904	5.0106
206	256APSK 2/3-L	256APSK 20/30	5.1288	5.2415	5.0742	5.1845
208	256APSK 31/45-L	256APSK 124/180	5.3008	5.4173	5.2444	5.3585
210	256APSK 32/45	256APSK 128/180	5.4729	5.5932	5.4147	5.5324
212	256APSK 11/15-L	256APSK 22/30	5.6449	5.769	5.5849	5.7063
214	256APSK 3/4	256APSK 135/180	5.774	5.9009	5.7125	5.8367
216	QPSK 11/45	QPSK 11/45	0.466	0.4768	0.4608	0.4714
218	QPSK 4/15	QPSK 4/15	0.5249	0.5373	0.5189	0.531
220	QPSK 14/45	QPSK 14/45	0.5725	0.5851	0.5664	0.5787
222	QPSK 7/15	QPSK 7/15	0.8736	0.8928	0.8643	0.8831
224	QPSK 8/15	QPSK 8/15	1.0026	1.0247	0.992	1.0135
226	QPSK 32/45	QPSK 32/45	1.3467	1.3763	1.3324	1.3614
228	8PSK 7/15	8PSK 7/15	1.3062	1.3319	1.2855	1.3104
230	8PSK 8/15	8PSK 8/15	1.4991	1.5286	1.4754	1.5039
232	8PSK 26/45	8PSK 26/45	1.6277	1.6597	1.602	1.633
234	8PSK 32/45	8PSK 32/45	2.0136	2.0532	1.9817	2.0201
236	16APSK 7/15	4+12APSK 7/15	1.736	1.7662	1.6997	1.7286
238	16APSK 8/15	4+12APSK 8/15	1.9924	2.0271	1.9507	1.9839
240	16APSK 26/45	4+12APSK 26/45	2.1633	2.201	2.1181	2.1541
242	16APSK 3/5	4+12APSK 3/5	2.2488	2.2879	2.2018	2.2392
244	16APSK 32/45	4+12APSK 32/45	2.6762	2.7227	2.6202	2.6648
246	32APSK 2/3	4+12+16rbAPSK 2/3	3.1017	3.1688	3.0218	3.0854
248	32APSK 32/45	4+12+16rbAPSK 32/45	3.3133	3.385	3.2279	3.2959

## ANNEX F

### ABOUT FREQUENCY REGULATION WHEN USING THIS EXPERIMENTAL SPECIFICATION

#### (INFORMATIVE)

#### F1 OVERVIEW

The present Experimental Specification may be considered for use in the EESS X-band and in the EESS Ka-band. The objective of this annex is to provide all the technical material proving that this Experimental Specification (and in particular all possible MODCODs) can be used without violating frequency regulation rules and recommendations from ITU and SFCG, in EESS X-band and in EESS Ka-band.

#### F2 MAXIMUM POWER FLUX DENSITY ANALYSIS

##### F2.1 EESS X-BAND

The following documents are currently applicable:

- ITU Radio-Regulations, table 21-4;
- Recommendation SFCG 14-3R10, approved by SFCG in June 2016;
- ITU-R SA.1810-1 (based on Recommendation SFCG 14-3R10), approved in July 2017.

It is worth noting here that previous SFCG and ITU recommendations (SFCG 14-3R9 and ITU-R SA.1810-0) were depending on the modulation. However, it was agreed during the discussion for the last releases that the relevant criterion to quantify or prevent radio-frequency interference is the Power Flux Density (PFD), and the recommendations for modulations have consequently been removed.

The maximum allowed PFD is given by the ITU Radio-Regulations. When considering the ITU-R SA.1810-1, this maximum PFD is actually limited to some types of onboard antennas (directional) and some latitudes (above +/- 65°). For other types of antennas and latitudes, the maximum recommended PFD is lower.

A received  $E_s/N_0$  can be simply derived from these PFDs using the following formula:

$$\frac{E_s}{N_0} = \text{pfd} \cdot \frac{\lambda^2}{4\pi} \cdot \frac{G}{T} \cdot \frac{1}{k_B}$$

Applying this formula to the highest allowed PFD produces the maximum achievable received  $E_s/N_0$ . Typical values are shown in table F-1. It is observable that the achievable clear sky received  $E_s/N_0$  can reach more than 50 dB when considering a large dish ground station. Then it appears quite feasible to consider the transmission of most spectrally efficient MODCODs from the DVB-S2X standard, with required  $E_s/N_0$  on AWGN channel equal to 19 dB (see reference [10], table 1).

It can also be raised here than this required  $E_s/N_0$  around 19 dB for most spectrally efficient MODCODs with 256APSK from the DVB-S2X standard is very similar to the required  $E_s/N_0$  of 19 dB for the most spectrally efficient MODCOD with 64APSK from the CCSDS 131.2-B-1 standard (the spectral efficiency being higher for the DVB-S2X MODCODs).

**Table F-1: X-Band Characteristics for PFD Analysis**

Frequency	GHz	8.2	8.2
PFD max	dBW/(m2.4KHz)	-140	-140
PFD max	dBW/Hz	-176.0	-176.0
$\Lambda^2/4\pi$	dBm2	-39.7	-39.7
1/kb	dBW.Hz-1-.K-1	228.6	228.6
ground antenna diameter	m	5.5	13
clear sky G/T	dBK-1	30.5	38
clear sky received $E_s/N_0$	dB	43.4	50.9

## F2.2 EESS KA-BAND

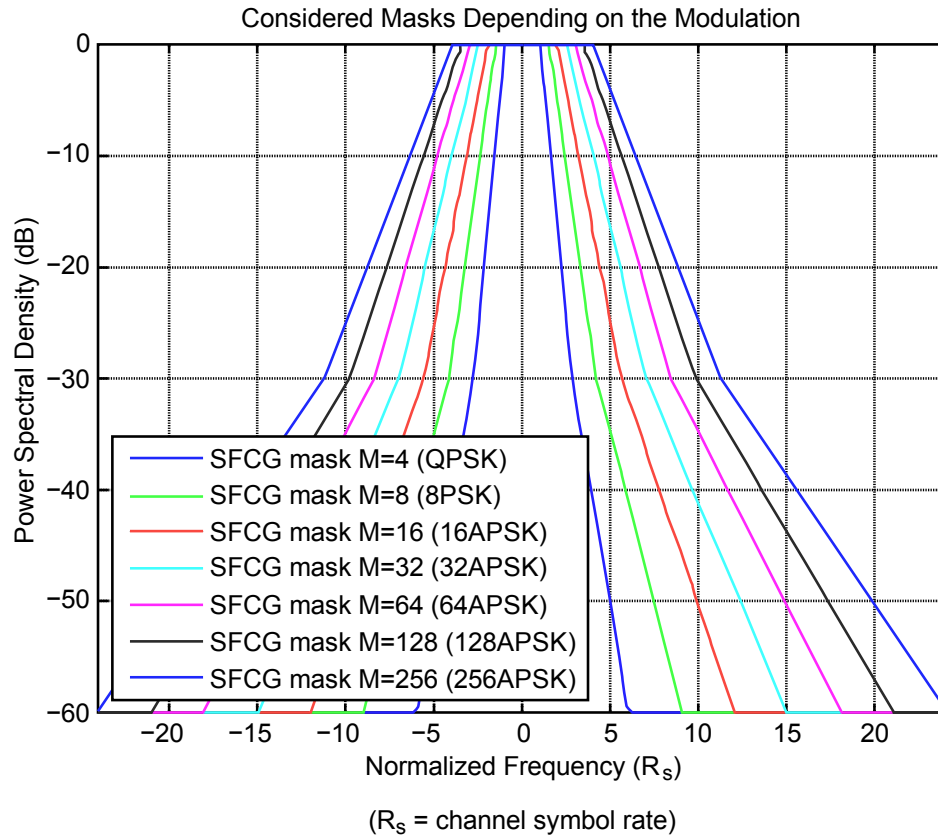
The currently applicable documents are limited to the ITU Radio-Regulations, table 21-4. A similar analysis leads to table F-2. The conclusions are similar to the case of the X-band.

**Table F-2: Ka-Band Characteristics for PFD Analysis**

Frequency	GHz	26.2
PFD max	dBW/(m2.1MHz)	-105
PFD max	dBW/Hz	-165.0
$\lambda^2/4\pi$	dBm2	-49.8
1/kb	dBW.Hz-1-.K-1	228.6
ground antenna diameter	m	6
clear sky G/T	dBK-1	36.0
clear sky received $E_s/N_0$	dB	49.8

### F3 POWER SPECTRAL DENSITY ANALYSIS

The currently applicable documents are limited to the Recommendation SFCG 21-2R4, approved by SFCG in August 2015, for both EESS X-band and Ka-band. Whereas Power Spectral Density (PSD) masks are not defined for 128APSK and 256APSK, it appears quite natural to extend the formula proposed up to 64APSK, as shown in figure F-1.



**Figure F-1: SFCG Masks for APSK Modulations**

## ANNEX G

### SIMULATIONS RESULTS OVER AWGN AND NONLINEAR CHANNELS

#### (INFORMATIVE)

#### G1 OVERVIEW

In order to establish preliminaries performances of the DVB-S2X MODCODs, simulations were run with a reduced set of MODCODs covering the amplitude of the new spectral efficiencies.

#### G2 SIMULATION TOOL

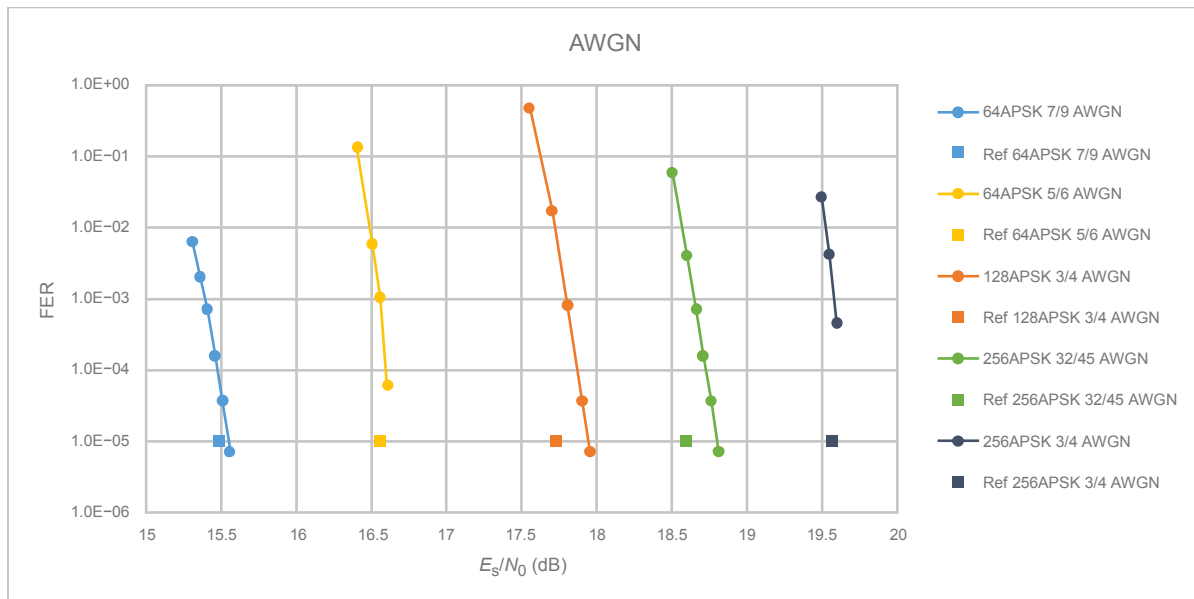
The simulator used for these results is a DVB-S2X chain adapted for HDRT simulations. It is based on a C core with a Python HMI. It allows realistic simulations with introduction of phase noise, static or dynamic interferences, amplification and filtering degradation, and real synchronization loop.

#### G3 AWGN CHANNEL

These simulations provide reference performances for comparison. A real synchronization loop is used in reception with normalized loop bandwidth between  $1e-4$  and  $4e-4$ . The theoretical performance for Frame Error Rate (FER) =  $1e-5$  provided in reference [2] is shown.

The represented MODCODs are: 64APSK 7/9, 64APSK 5/6, 128APSK 3/4, 256APSK 32/45, and 256APSK 3/4.

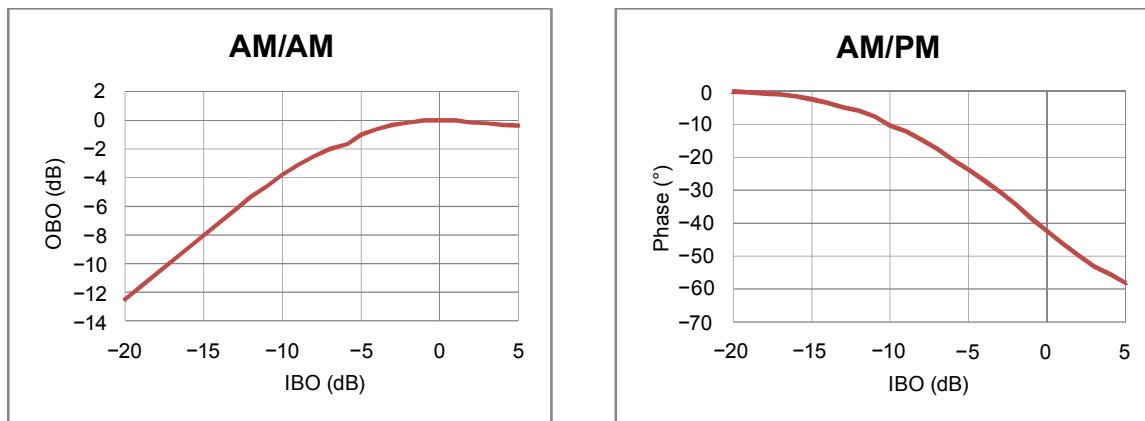
Only normal frames are used for simulation. The roll-off is 0.2.



**Figure G-1: DVB-S2X AWGN Performances for Five MODCODs**

## G4 NONLINEAR CHANNEL

### G4.1 GENERAL



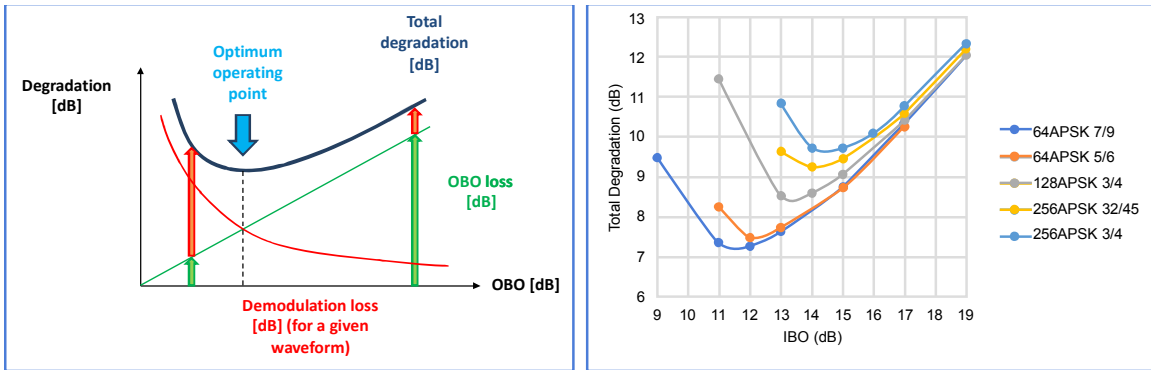
**Figure G-2: Amplifier Characterization Curves**

The same MODCODs are simulated on a nonlinear channel with optimization of the operating point.

The power amplifier is a typical travelling wave tube amplifier fully characterized by continuous wave AM/AM and AM/PM responses.

### G4.2 OPTIMIZATION OF THE OPERATING POINT

An optimum OBO minimizing the total degradation (OBO + demodulation loss) can be found for each MODCOD. Studies for CCSDS DVB-S2 have shown that optimization can be done for each modulation as the operating point slightly changes for each MODCOD. The results are provided below. No amplification precompensation with constellation predistortion is applied.



**Figure G-3: Operating Point Optimization**

**Table G-1: IBO and OBO for Each Tested MODCOD**

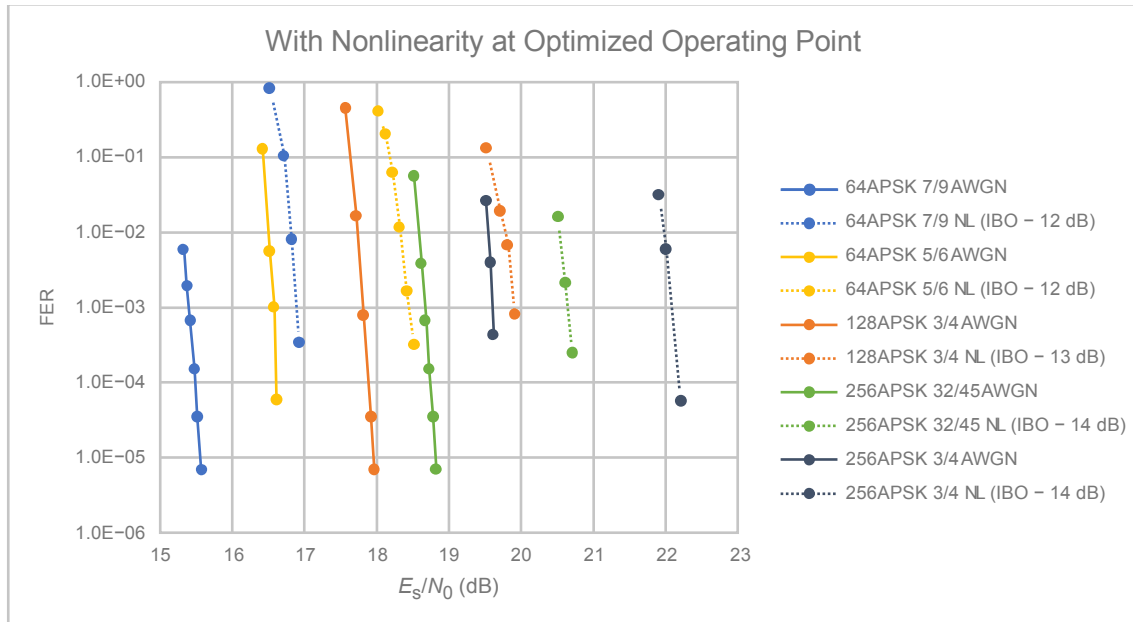
	IBO	OBO
64APSK 7/9	12	5,77
64APSK 5/6	12	5,7
128APSK 3/4	13	6,5

	IBO	OBO
256APSK 32/45	14	7,3
256APSK 3/4	14	7,3

### G4.3 SIMULATION RESULTS

Simulations are done with a real synchronization loop.

Results show a degradation lower than 2 dB for the less spectrally efficient MODCODs and around 2.5 dB for the highest efficiencies. It is worth noting that predistortion could help in reducing this degradation.



**Figure G-4: DVB-S2X Performances over a Nonlinear Channel for Five MODCODs**



## ANNEX H

## MEASUREMENT RESULTS OVER AWGN CHANNEL

## (INFORMATIVE)

## H1 OVERVIEW

As part of its communication strategy for its future space-exploration missions, JAXA has developed a DVB-S2X transmitter prototype and set up a transmission chain environment to get in-lab hardware measurements.

Measurements were performed by JAXA in the JAXA Tsukuba Space Center RF Telecommunication Laboratory. The prototype development includes only a reduced set of MODCODs: 1 coding rate (5/6) applied to 5 modulations (out of 7 available in the standard, reference [2]). The frequency band used is the EESS Ka-band (25.5–27 GHz) for a symbol rate per modulator of 600 Mbaud.

## H2 MEASUREMENT SETUP

The measurement setup is provided in figure H-1. The current prototype provides a signal at an intermediate frequency that is then upconverted to Ka-band. The receiving part is ensured by a Cortex HDR 4G+ from Safran (Zodiac Data System) with automatic normalized loop bandwidth (0.01% to 0.05%). This equipment also includes a test transmitter that can be used for autocalibration. Only an AWGN channel is considered for these preliminary hardware measurements.

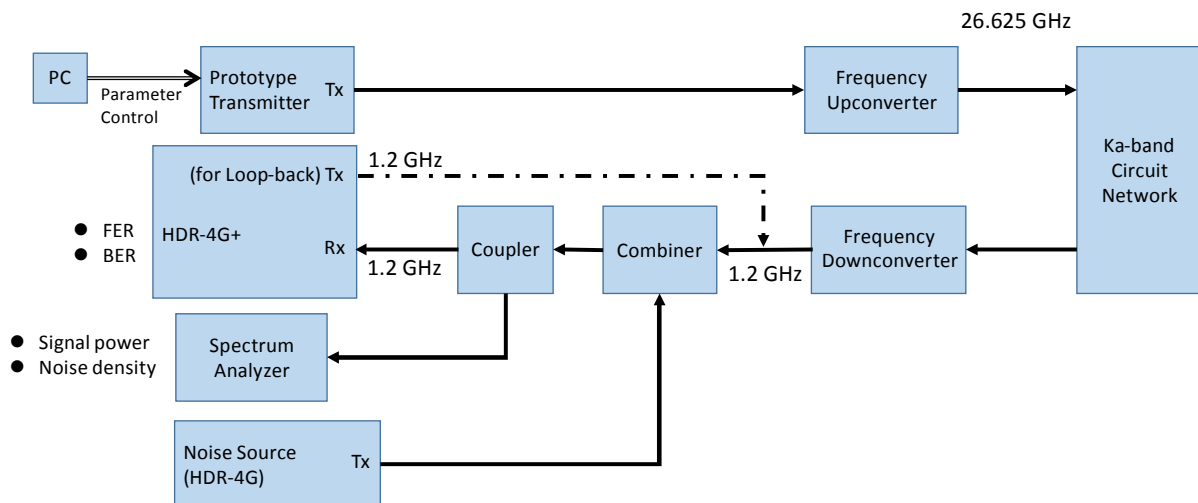


Figure H-1: Transmission Chain

The details of the test parameters are given in the table H-1.

**Table H-1: DVB-S2X Test Parameters**

Item	Parameter value	Remarks
<b>Signal information</b>		
<b>Symbol rate</b>	600 Mbaud	
<b>Pulse shaping filter roll-off factor</b>	0.15 and 0.25	Filter type: SRRC with a shape of $x/\sin(x)$ as amplitude compensation
<b>MODCOD</b>	9 (QPSK 5/6) 15 (8PSK 5/6) 21 (16APSK 5/6) 26 (32APSK 5/6) 198 (64APSK 5/6)	Compatible with variable coding and modulation (VCM) scheme
<b>FECFRAME</b>	64800 bit	BCH + LDPC, Normal frame
<b>Pilot insertion</b>	ON	
<b>Test data</b>	23-stage Pseudo random noise code	
<b>Receiver information</b>		
<b>Receiver center frequency</b>	1.2 GHz	via Ka-band (EESS: 26.625 GHz) @ Compatibility test
<b>Matched filter roll-off factor</b>	0.15 and 0.25	Filter type: SRRC
<b>Adaptive equalizer</b>	ON	Digital Equalization & Automatic Filtering (DEAF)
<b>Adjacent channel rejection filters</b>	ON	HBF, LPF

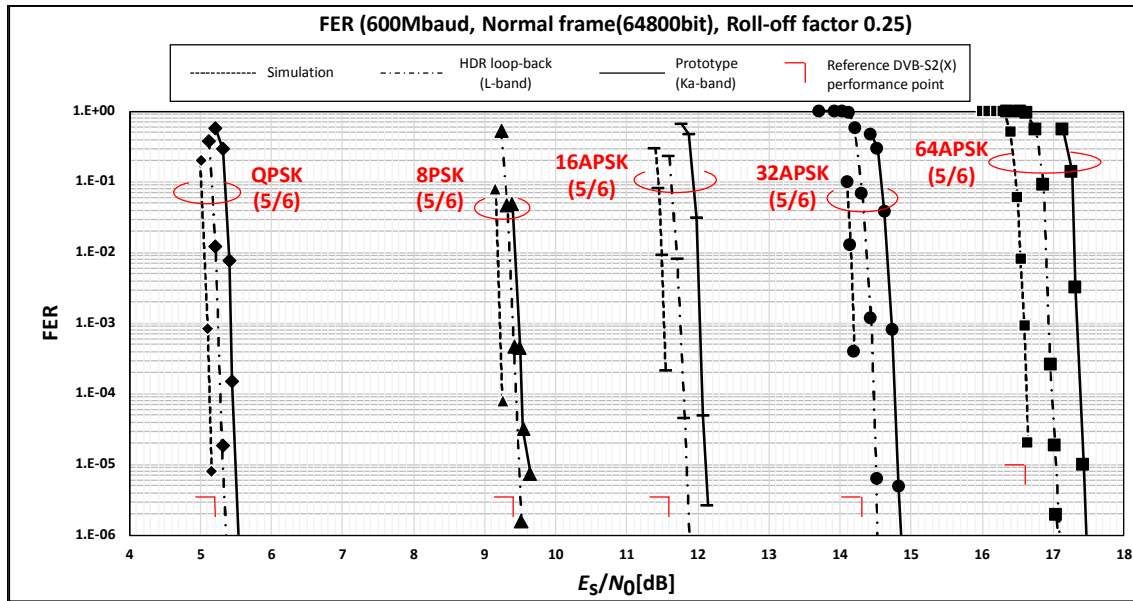
### H3 RESULTS

#### H3.1 GENERAL

A first step of receiver calibration was carried out using the test transmitter available in the Cortex 4G+. The resulting curves are included for comparison purpose and referenced as HDR loop-back. The results of software simulations for the same configurations are also included.

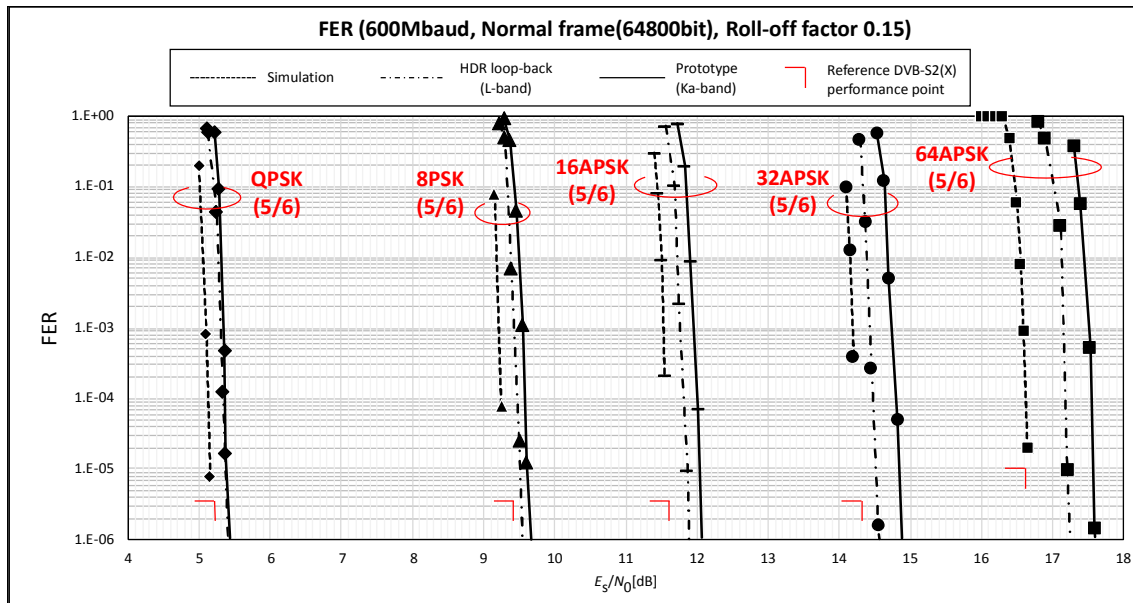
#### H3.2 FRAME ERROR RATE

The reference FER for comparison with theoretical curves is taken at  $1e-5$ . Two roll-off values are tested as indicated in table H-1 (0.15 and 0.25).



**Figure H-2: FER Results for 0.25 Roll-Off**

For a 0.25 roll-off, the degradation of  $E_s/N_0$  is less than 0.5 dB for a  $10^{-5}$  FER for all modulations except 64APSK, for which the degradation is less than 0.9 dB.



**Figure H-3: FER Results for 0.15 Roll-Off**

For a 0.15 roll-off, the degradation of  $E_s/N_0$  is less than 0.6 dB for a  $10^{-5}$  FER for all modulations except 64APSK, for which the degradation is less than 1 dB.

### H3.3 BIT ERROR RATE

The Bit Error Rate (BER) results show the same trend as the FER results for both roll-offs (0.25 and 0.15). In both cases, error-free was confirmed by increasing the SNR, and it was confirmed that DVB-S2X baseband frame processing was correctly implemented.

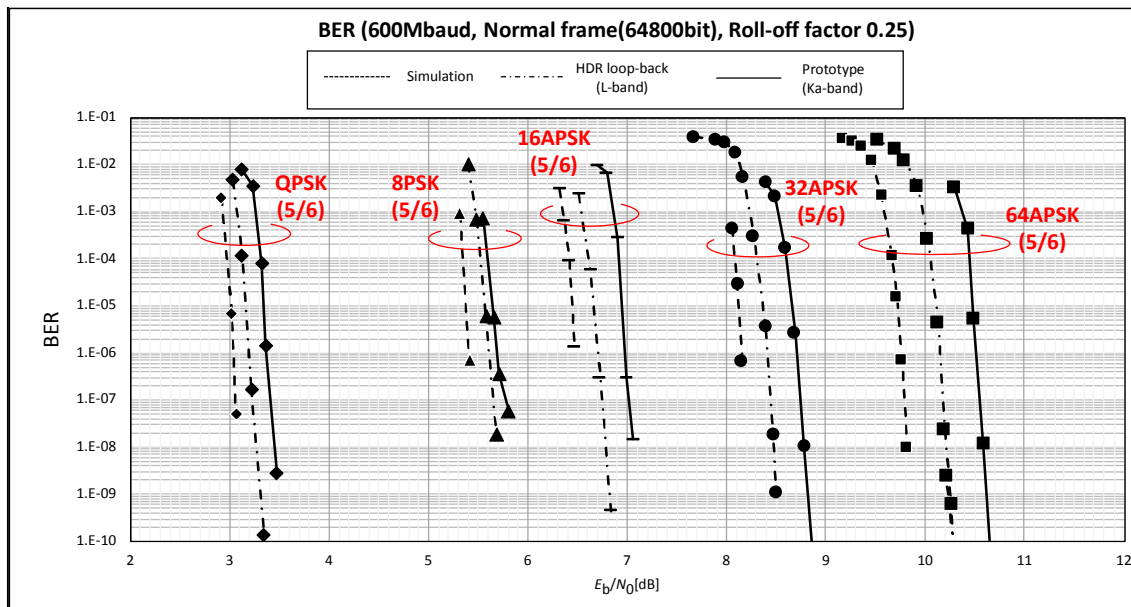


Figure H-4: BER Results for 0.25 Roll-Off

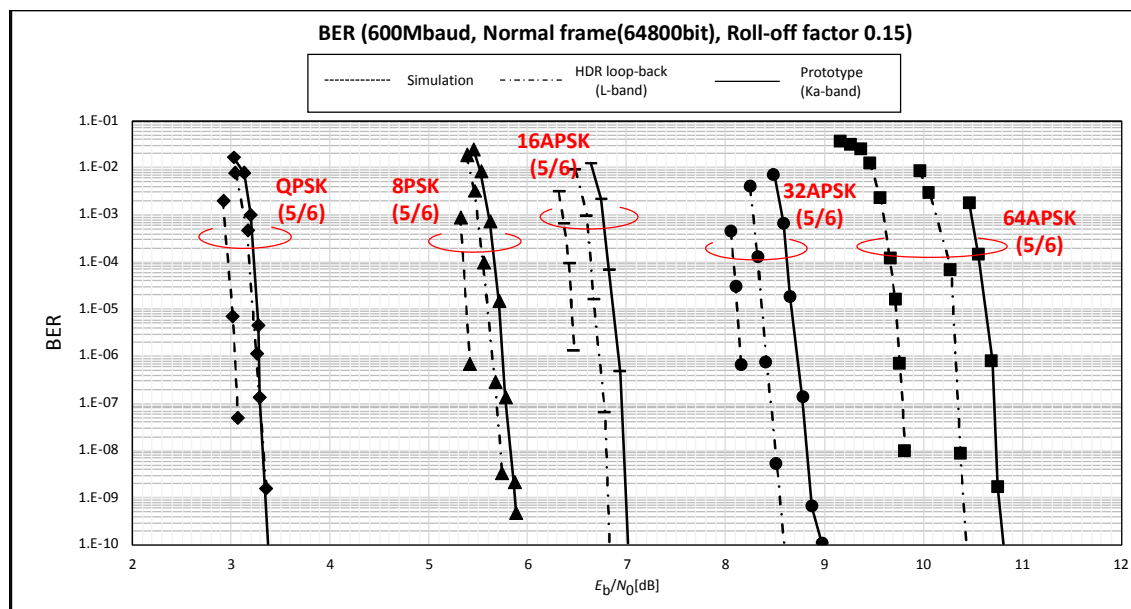


Figure H-5: BER Results for 0.15 Roll-Off

## ANNEX I

### MEASUREMENT RESULTS OVER NONLINEAR CHANNEL

#### (INFORMATIVE)

#### I1 INTRODUCTION

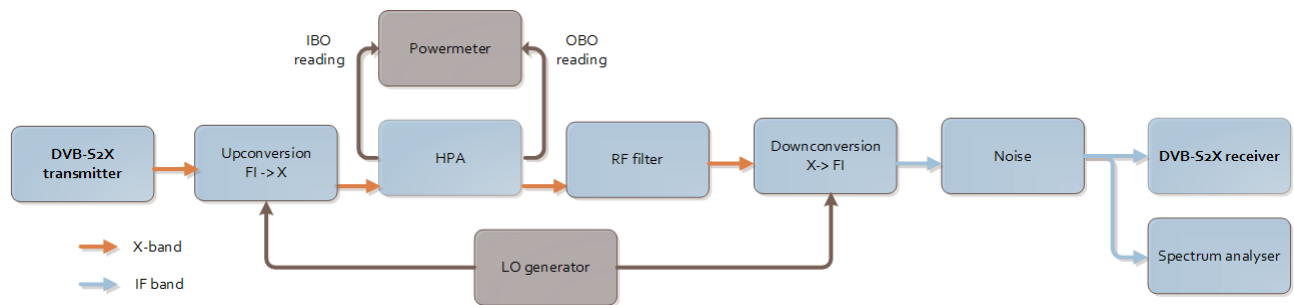
In prevision of improvement of the HDRT test bench in lab CNES, equipment supporting DVB-S2X with automated measurement process were provided and a measurement campaign run to validate the setup and provide complementary results to those provided in annex H.

#### I2 MEASUREMENT SETUP

The aimed use case for these measurements was monopolarisation X-band transmission with a symbol rate of 300 Mbaud using different sets of parameters. The accessible data rates are provided in the table I-1.

The transmitting and receiving part are ensured by a Cortex HDR 4G+ from Safran Data System and the measurements are automated.

The overall chain description is provided in figure I-1 and involves a frequency conversion (up and down) from IF 1200 MHz to EESS X-band (8.025–8.4 GHz) and a TWTA power amplifier (low level) with a RF filter at the output.



**Figure I-1: Nonlinear Transmission Chain**

These measurements focused on the highest spectral efficiencies available in the standard (see annex E), that is, the MODCODs based on 64, 128, and 256APSK. Eight out of thirteen MODCODs of highest spectral efficiencies were measured (see blue lines in the table I-1).

The shaping filter roll-off was 0.1 (0.05 not available yet). Adaptive equalization was used in reception.

**Table I-1: DVB-S2X Additional Spectral Efficiencies**

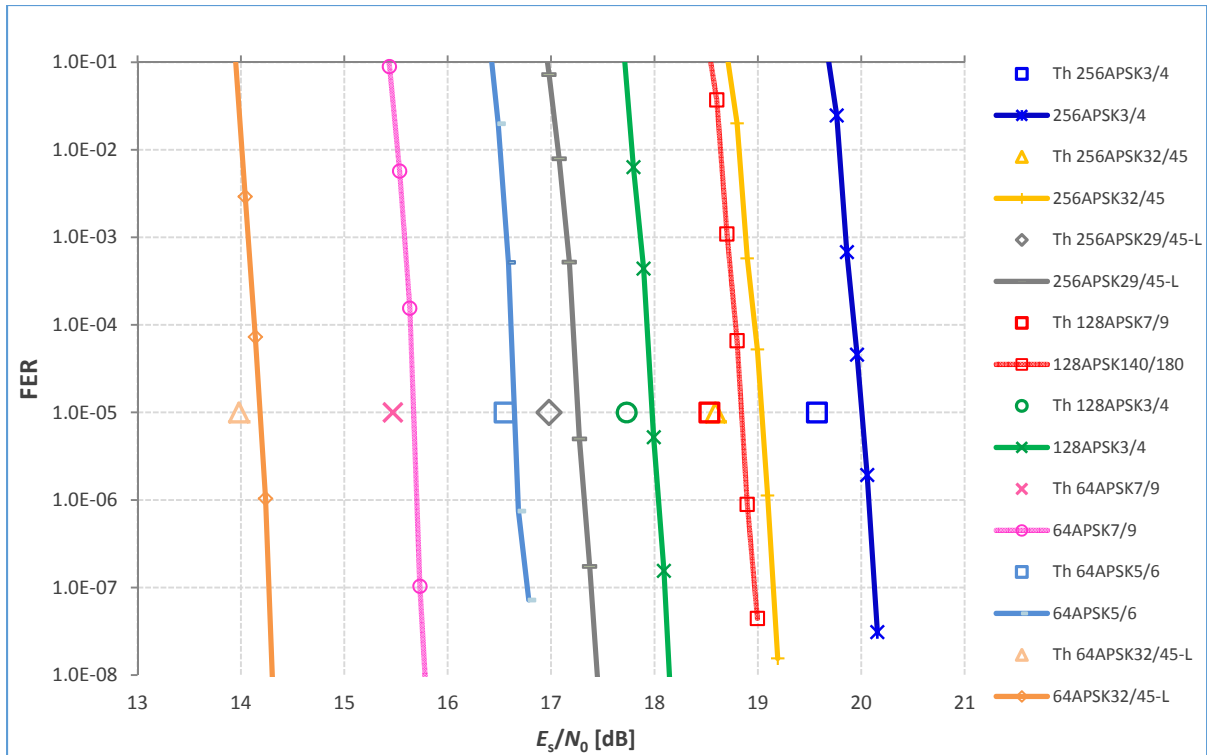
MODCOD (PLSCODE) decimal value	Canonical MODCOD name	Spectral efficiency with pilots [bits/channel symbol]	Useful data rate (Mbps)
184	64APSK 32/45-L	4.1113	1233
186	64APSK 11/15	4.2405	1272
190	64APSK 7/9	4.499	1350
194	64APSK 4/5	4.6283	1388
198	64APSK 5/6	4.825	1448
200	128APSK 3/4	5.0536	1516
202	128APSK 7/9	5.2418	1573
204	256APSK 29/45-L	4.9568	1487
206	256APSK 2/3-L	5.1288	1539
208	256APSK 31/45-L	5.3008	1590
210	256APSK 32/45	5.4729	1642
212	256APSK 11/15-L	5.6449	1693
214	256APSK 3/4	5.774	1732

## I3 RESULTS

### I3.1 GENERAL

A first step of AWGN measurements was achieved in order to validate the transmitter and receiver performances. The second step was the optimization of the operating points in nonlinear channel for each MODCOD. Then the FER curves were measured at the different optimized operating points.

The reference FER for comparison with theoretical curves is taken at  $1e-5$ .

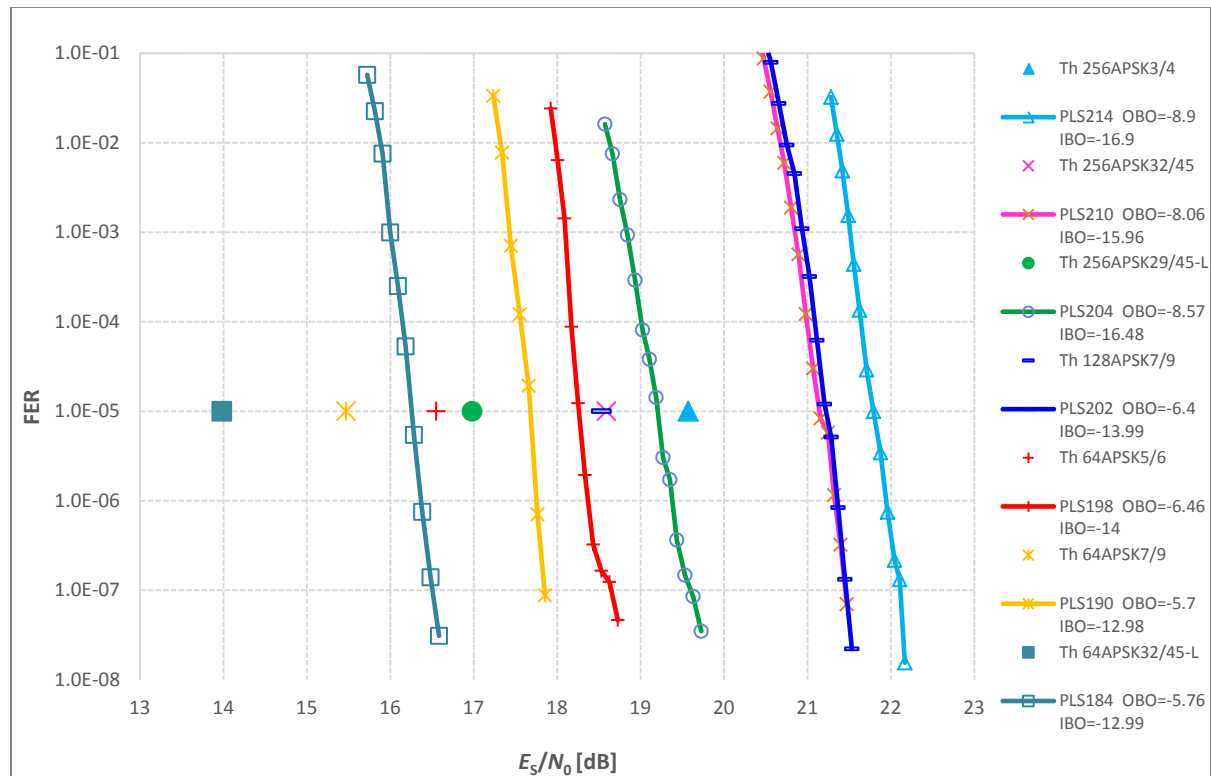


**Figure I-2: FER Results for 0.1 Roll-Off in AWGN Channel**

The degradation of  $E_s/N_0$  is less than 0.5 dB for a  $10^{-5}$  FER for all tested MODCOD confirming the good performances of the transmitter and the receiver.

### I3.2 NONLINEAR CHANNEL

Performances are compared to AWGN theoretical values provided in the standard. The IBO and OBO values are provided in the legend. The  $E_s/N_0$  degradation values are provided in table I-2. No predistorsion scheme was used with these measurements. Future work will include such a scheme. Significant improvements are expected as already observed in other measurements with CCSDS DVB-S2 Recommended Standard.



**Figure I-3: FER Results for 0.1 Roll-Off in Nonlinear Channel**

Table I-2 summarizes the  $E_s/N_0$  degradation and the OBO without predistorsion for each MODCOD.

**Table I-2:  $E_s/N_0$  Degradation and OBO in Nonlinear Channel**

MODCOD	$E_s/N_0$ degradation (dB)	OBO without predistorsion (dB)
64APSK32/45-L	2.3	5.76
64APSK7/9	2.2	5.7
64APSK5/6	1.7	6.46
128APSK7/9	2.7	6.4
256APSK29/45-L	2.2	8.57
256APSK32/45	2.6	8.06
256APSK3/4	2.2	8.09