

Recommendations for Space Data System Standards

RADIO FREQUENCY AND MODULATION SYSTEMS— PART 1 EARTH STATIONS AND SPACECRAFT

RECOMMENDED STANDARD

CCSDS 401.0-B-32

BLUE BOOK October 2021



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Earth Stations and Spacecraft

AUTHORITY

Issue:	Blue Book, Issue	32
Date:	October 2021	
Locatio	on: Washington, DC,	USA

This document has been approved for publication by the Management Council of the Consultative Committee for Space Data Systems (CCSDS) and represents the consensus technical agreement of the participating CCSDS Member Agencies. The procedure for review and authorization of CCSDS documents is detailed in *Organization and Processes for the Consultative Committee for Space Data Systems* (CCSDS A02.1-Y-4), and the record of Agency participation in the authorization of this document can be obtained from the CCSDS Secretariat at the email address below.

This document is published and maintained by:

CCSDS Secretariat National Aeronautics and Space Administration Washington, DC, USA Email: secretariat@mailman.ccsds.org

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STATEMENT OF INTENT

The Consultative Committee for Space Data Systems (CCSDS) is an organization officially established by the management of its members. The Committee meets periodically to address data systems problems that are common to all participants, and to formulate sound technical solutions to these problems. Inasmuch as participation in the CCSDS is completely voluntary, the results of Committee actions are termed **Recommended Standards** and are not considered binding on any Agency.

This **Recommended Standard** is issued by, and represents the consensus of, the CCSDS members. Endorsement of this **Recommendation** is entirely voluntary. Endorsement, however, indicates the following understandings:

- o Whenever a member establishes a CCSDS-related **standard**, this **standard** will be in accord with the relevant **Recommended Standard**. Establishing such a **standard** does not preclude other provisions which a member may develop.
- o Whenever a member establishes a CCSDS-related **standard**, that member will provide other CCSDS members with the following information:
 - -- The **standard** itself.
 - -- The anticipated date of initial operational capability.
 - -- The anticipated duration of operational service.
- o Specific service arrangements shall be made via memoranda of agreement. Neither this **Recommended Standard** nor any ensuing **standard** is a substitute for a memorandum of agreement.

No later than five years from its date of issuance, this **Recommended Standard** will be reviewed by the CCSDS to determine whether it should: (1) remain in effect without change; (2) be changed to reflect the impact of new technologies, new requirements, or new directions; or (3) be retired or canceled.

In those instances when a new version of a **Recommended Standard** is issued, existing CCSDSrelated member standards and implementations are not negated or deemed to be non-CCSDS compatible. It is the responsibility of each member to determine when such standards or implementations are to be modified. Each member is, however, strongly encouraged to direct planning for its new standards and implementations towards the later version of the Recommended Standard.

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FOREWORD

This document, which is a set of technical Recommendations prepared by the Consultative Committee for Space Data Systems (CCSDS), is intended for use by participating space Agencies in their development of Radio Frequency and Modulation systems for Earth stations and spacecraft.

These Recommendations allow implementing organizations within each Agency to proceed coherently with the development of compatible Standards for the flight and ground systems that are within their cognizance. Agency Standards derived from these Recommendations may implement only a subset of the optional features allowed by the Recommendations herein, or may incorporate features not addressed by the Recommendations.

In order to establish a common framework within which the Agencies may develop standardized communications services, the CCSDS advocates adoption of a layered systems architecture. These Recommendations pertain to the physical layer of the data system. Within the physical layer, there are additional layers covering the technical characteristics, policy constraints, and procedural elements relating to communications services provided by radio frequency and modulation systems. Recommendations contained in this document have been grouped into separate sections representing technical, policy, and procedural matters.

These Recommendations for Radio Frequency and Modulation Systems, Part 1: Earth Stations and Spacecraft, were developed for conventional near-Earth and deep-space missions having moderate communications requirements. Part 2 will be concerned with data relay satellites and will address the needs of users requiring services not provided by the Earth stations covered in this document.

The CCSDS will continue to develop Recommendations for Part 1: Earth Stations and Spacecraft, to ensure that new technology and the present operating environment are reflected. New Recommendations for Part 1, which are developed in the future, will utilize the same format and be designed to be inserted into this book. Holders of this document should make periodic inquiry of the CCSDS Secretariat, at the address on page i, to make sure that their book is fully current.

Through the process of normal evolution, it is expected that expansion, deletion, or modification of this document may occur. This Recommended Standard is therefore subject to CCSDS document management and change control procedures, which are defined in the *Organization and Processes for the Consultative Committee for Space Data Systems* (CCSDS A02.1-Y-4). Current versions of CCSDS documents are maintained at the CCSDS Web site:

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Questions relating to the contents or status of this document should be sent to the CCSDS Secretariat at the email address indicated on page i.

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At time of publication, the active Member and Observer Agencies of the CCSDS were:

Member Agencies

- Agenzia Spaziale Italiana (ASI)/Italy.
- Canadian Space Agency (CSA)/Canada.
- Centre National d'Etudes Spatiales (CNES)/France.
- China National Space Administration (CNSA)/People's Republic of China.
- Deutsches Zentrum für Luft- und Raumfahrt (DLR)/Germany.
- European Space Agency (ESA)/Europe.
- Federal Space Agency (FSA)/Russian Federation.
- Instituto Nacional de Pesquisas Espaciais (INPE)/Brazil.
- Japan Aerospace Exploration Agency (JAXA)/Japan.
- National Aeronautics and Space Administration (NASA)/USA.
- UK Space Agency/United Kingdom.

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- Austrian Space Agency (ASA)/Austria.
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- Institute of Space Research (IKI)/Russian Federation.
- Korea Aerospace Research Institute (KARI)/Korea.
- Ministry of Communications (MOC)/Israel.
- Mohammed Bin Rashid Space Centre (MBRSC)/United Arab Emirates.
- National Institute of Information and Communications Technology (NICT)/Japan.
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- National Space Agency of the Republic of Kazakhstan (NSARK)/Kazakhstan.
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- Netherlands Space Office (NSO)/The Netherlands.
- Research Institute for Particle & Nuclear Physics (KFKI)/Hungary.
- Scientific and Technological Research Council of Turkey (TUBITAK)/Turkey.
- South African National Space Agency (SANSA)/Republic of South Africa.
- Space and Upper Atmosphere Research Commission (SUPARCO)/Pakistan.
- Swedish Space Corporation (SSC)/Sweden.
- Swiss Space Office (SSO)/Switzerland.
- United States Geological Survey (USGS)/USA.

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DOCUMENT CONTROL

DOCUMENT	TITLE	DATE	STATUS/REMARKS
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	January 1987	Original Issue
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	September 1989	New RF and Mod. recom- mendations added to Book at September 1989 Ottawa Plenary.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	October 1991	Adds new recommendation 4.1.4
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	May 1992	Adds new recommendations 2.4.11 and 3.4.3A
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	June 1993	Adds new recommendations 2.4.13B, 4.1.5, 4.2.1; updates recommendation 2.3.8
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	November 1994	Adds new 2.6.7B, 2.6.8B, 3.1.4A, and 3.3.2A.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	May 1996	Adds new recommendations 3.6.1A, 3.6.2A, 4.2.2, and 4.2.3.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	May 1997	Adds new recommendations 2.4.8, 2.4.14A, 2.4.14B, 2.4.15A, 2.4.15B, 2.4.16, and 2.4.6.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	June 1998	Deletes recommendations 3.1.3A and 3.1.5B.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	May 1999	Adds new recommendations 2.2.7 and 2.4.12B; updates recommendation 2.4.12A.

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DOCUMENT	TITLE	DATE	STATUS/REMARKS
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	May 2000	Updates recommendations 3.1.1, 3.1.2A, 3.1.6B, and 3.2.1 (changed to 3.2.1A).
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	June 2001	Adds recommendations 2.4.17A, 2.4.17B, and 2.4.18
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	March 2003	Updates recommendations 2.4.3, 2.4.8, and 2.6.7B; deletes recommendations 2.4.4, 2.4.5, 3.3.4; updates 5.2.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft	December 2003	Adds recommendation 2.2.8.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 15	September 2005	Updates the following recommendations: 2.1.8B, 2.2.4, 2.2.6, 2.3.3A, 2.3.5, 2.4.2, 2.4.10, 2.4.14A, 2.4.14B, 2.4.15A, 2.4.15B, 2.4.16, 2.4.6, 2.4.7, 2.4.9, 2.5.6B, 3.1.1, 3.1.6B, 3.2.1A, 3.3.1, 3.3.2A, 3.6.2A, 4.1.5, 4.2.1, 4.2.2, 4.2.3; updates terminology, 5.1
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 16	March 2006	Adds new recommendations 2.5.1B and 3.1.2B; updates recommendations 2.1.3B, 2.1.4B, 2.1.7B, 2.3.2, 2.3.3A, 2.3.3B, 2.3.4A, 2.3.4B, 2.4.6, 2.4.11, 2.4.14B, 2.4.17B, and 3.3.3A; deletes recommendation 2.6.12

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DOCUMENT	TITLE	DATE	STATUS/REMARKS
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 17	July 2006	Adds new recommendations 2.4.19, 2.6.9A, 2.6.10A; updates recommendations 2.2.7, 2.4.12B, 2.4.18, 4.2.14.2.1; updates subsection 5.1.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 18	December 2007	Adds new recommendation 2.4.20B; updates recommendations 2.4.12A, 2.4.12B, 2.6.8B.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 19	July 2008	Updates recommendations 2.2.3, 2.4.2, 2.4.17A, 2.4.17B, and 3.5.1.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 20	April 2009	Updates recommendations 2.4.18 and 3.5.1.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 21	July 2011	Updates recommendation 2.2.8 to include transmission rates up to 2.048 Mb/s.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 22	January 2013	Adds new recommendation 2.4.21A; updates recommendations 2.4.12A, 2.4.12B, and 2.4.20B.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 23	December 2013	Updates recommendation 2.3.2, deletes recommen- dation 3.3.3A, corrects an error in recommendation 4.1.5, and removes obsolete text from page 4.0-1.

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DOCUMENT	TITLE	DATE	STATUS/REMARKS
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 24	October 2014	Updates recommendations 2.4.12A, 2.4.18, and 2.5.6B.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 25	February 2015	Adds new recommendations 2.4.22A and 2.4.22B and updates recommendation 4.1.5.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 26	October 2016	Adds new recommendation 2.4.23.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 27	October 2017	 Updates recommendations 2.4.18 and 2.5.6B; clarifies wording in 2.4.19 (editorial update); applies editorial updates to 2.6.1–2.6.10A for consistency among related recommendations.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 28	February 2018	 Updates recommendations 2.1.4A and 2.1.4B; deletes recommendations 2.1.8A and 2.1.8B; adds new recommendation 2.6.11A.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 29	March 2019	 Updates recommendations 2.4.17A, 2.4.22A, and 2.4.22B; adds new recommendation 3.1.7; editorially updates recommendations2.2.2– 2.2.4, 2.2.7, 2.2.8, 2.4.18, 2.4.23, and 5.2.

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DOCUMENT	TITLE	DATE	STATUS/REMARKS
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 30	February 2020	Updates recommendation 2.3.7; adds new recommendations 2.1.9, 2.4.24, and 2.6.14; and applies editorial changes for added clarity.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 31	January 2021	Current issue: – updates recommendations 2.5.6B and 3.1.6B.
CCSDS 401.0-B	Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft, Recommended Standard, Issue 32	October 2021	Current draft update: - updates recommendations 2.4.18 and 2.4.23; - adds new recommendations 2.6.13 and 2.6.15.

NOTE – Excepting recommendations 2.6.13 and 2.6.15, which are new in their entirety, substantive changes from the previous issue are indicated by change bars in the inside margin.

REFERENCES

- [1] Organization and Processes for the Consultative Committee for Space Data Systems. Issue 4. CCSDS Record (Yellow Book), CCSDS A02.1-Y-4. Washington, D.C.: CCSDS, April 2014.
- [2] *Radio Regulations*. 2008 Edition. 4 Vols. Geneva: ITU, September 2008.
- [3] Recommendations and Reports of the CCIR, 16th Plenary Assembly (1986, Dubrovnik, Yugoslavia). Geneva: ITU, 1986.

The latest issues of CCSDS documents may be obtained from the CCSDS Secretariat at the address indicated on page i.

PREFACE

This document is a collection of individual recommendations. Newer and more recently updated recommendations follow modern CCSDS nomenclature conventions defined in CCSDS A20.0-Y-4, *CCSDS Publications Manual* (Yellow Book, Issue 4, April 2014). Older recommendations follow nomenclature conventions adopted for the original issue of the Blue Book.

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1.0 INTRODUCTION

1.1 PURPOSE

This document recommends standards for radio frequency and modulation systems operated by the Consultative Committee for Space Data Systems (CCSDS) member and observer agencies.

1.2 SCOPE

Recommendations contained in this document, *Radio Frequency and Modulation Systems, Part 1*, focus upon the standardization of RF and modulation systems for Earth stations and spacecraft. *Part 2*, when completed, will comprise Recommendations relating to data relay satellite systems. By proposing specific characteristics and attributes for subjects in these categories, the CCSDS hopes that the ensuing designs will be sufficiently similar so as to permit cross support of one agency's spacecraft by another agency's network.

These Recommendations do not provide specific designs. Rather they describe certain capabilities and provide technical characteristics in sufficient detail so that an agency may design compatible equipment. Guidelines are also provided for the use of agencies' RF and modulation systems, as well as their use of the RF spectrum. Because an ability to provide cross support implies some standardization of design and operations, certain procedural Recommendations have been included to assist in these areas. Recommendations are assigned to one of three sections depending upon whether their primary focus is technical, policy, or procedural in nature.

These Recommendations are intended to promote an orderly transition to RF and modulation systems that are internationally compatible. The CCSDS believes that this course will not only assure better engineering practices but, also, that it will facilitate international cross support agreements.

1.3 APPLICABILITY

These Recommendations apply to future implementation of RF and modulation systems. This document describes the physical transport system used to carry data to and from spacecraft and Earth stations.

1.4 DOCUMENT FORMAT

These introductory remarks are followed by three sections containing technical, policy, and procedural Recommendations, respectively. Often, it is not obvious to which section a Recommendation belongs because it may be concerned with more than one area. The decision usually turns upon whether the primary focus is quantitative, directive, or instructive.

Section 2 contains Technical Recommendations. Following the format established in the CCSDS *RF and Modulation Report*, technical Recommendations are subdivided into groups representing the various subsystems. These are:

2.1	Earth-to-Space Radio Frequency	2.4	Telemetry
2.2	Telecommand	2.5	Radio Metric
2.3	Space-to-Earth Radio Frequency	2.6	Spacecraft

Recommendations pertaining to each of these subjects are grouped together for easy accessibility. This approach facilitates cross referencing with the *Report*. If a reader wishes to determine whether an agency

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follows a specific CCSDS Recommendation, he need only turn to the corresponding section in the *Report* to determine that agency's capabilities.

NOTES

- 1 For historical reasons, the term 'Telecommand' is retained but is used in this book as a generic term encompassing spacecraft commands as well as any other data (data transfer) transmitted to the spacecraft directly from an Earth station (Earth-to-space link) or via another spacecraft (space-to-space forward link).
- 2 For historical reasons, the term 'Telemetry' is retained but is used in this book as a generic term encompassing spacecraft housekeeping data as well as any other data (science, video, data transfer, etc.) transmitted from the spacecraft directly to an Earth station (space-to-Earth link) or via another spacecraft (space-to-space return link).

Section 3 comprises Policy Recommendations. Because of the requirement for sharing the radio frequency spectrum, it is desirable to establish guidelines to promote its efficient use. Accordingly, these Recommendations are directive in nature and are principally concerned with operational aspects. Specific sections are:

3.1	Frequency Utilization	3.4	Operational Procedures
3.2	Power Limitations	3.5	Testing Recommendations
3.3	Modulation Methods	3.6	Spacecraft Systems

Section 4 holds Procedural Recommendations. Here will be found Recommendations intended to assist agencies with procedures or processes. At this juncture, only two subsections have been identified. These are:

4.1 Design Tools 4.2 Computational Algorithms

As additional procedural topics are identified, this section will be expanded with appropriate subsections.

Section 5 defines Terms and provides a Glossary for acronyms used in these Recommendations. This section is intended as an aid for readers to facilitate a uniform interpretation of the Recommendations. Two subsections are required:

5.1 Terminology 5.2 Glossary

Because the Recommendations are designed to be easily removable from this book to facilitate copying, a unique page numbering system has been employed. Recommendation page numbers contain information about the section, subsection, position, mission category, and page number. Thus, Page 2.5.3A-1 tells the reader, in order, that this is: a Technical Recommendation (2), for Radio Metric systems (5), the third in that subsection (3), concerned with Category A missions (A), the first page of that Recommendation (1). This numbering system is intended to avoid confusion and errors when returning pages to the book by uniquely describing the position of each page in the document.

Unlike other CCSDS Recommendations which focus upon specific topics such as channel coding or SFDUs, this document contains several subjects related to radio frequency and modulation systems. To promote brevity, clarity, and expandability, the authors have adopted a Recommendation format which is similar to the one used by the International Telecommunications Union's (ITU) International Radio Consultative Committee (CCIR).

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Each Recommendation consists of brief statements and generally requires only one or two pages. Reasons justifying each Recommendation are set forth in clear, crisp sentences. When appropriate, additional information providing the rationale for a Recommendation is included as an annex to this document. This modular format permits inclusion of additional Recommendations as the CCSDS agencies' RF and modulation systems grow and as technology matures.

1.5 DEEP SPACE AND NON-DEEP SPACE

Much of the radio frequency standardization has already been accomplished by the International Telecommunications Union (ITU) and will be found in the Radio Regulations. The provisions contained in the ITU Radio Regulations, as well as applicable CCIR documents, are adopted and incorporated here by reference.

Four *radiocommunication services* are of interest to the CCSDS. In accordance with the ITU definitions, these are the Space Research Service, the Space Operation Service, the Earth Exploration Satellite Service, and the Meteorological Satellite Service.

Within the Space Research Service, a distinction is made between *Deep Space* and *non–Deep Space* spacecraft. Those bands allocated to *Space Research/Deep Space* shall only be used by spacecraft engaged in interplanetary research, whose range exceeds a specified distance.

Earth station-spacecraft distance is important for two reasons. First, certain frequencies are reserved for spacecraft operating in *Deep Space*. Second, the RF and modulation characteristics may be different for the two categories.

Formerly, the Radio Regulations set the *Deep Space* boundary at lunar distance. However, the advent of spacecraft in highly elliptical Earth orbits that go beyond lunar distance, or which may be in orbits around the sun-Earth libration points, resulted in non-optimum use of the *Deep Space* bands when frequency assignments for these missions were based upon the former definition.

In October 1988, the World Administrative Radio Conference (WARC) ORB-88 revised the boundary for *Deep Space* contained in Article 1 of the ITU Radio Regulations. The new boundary for *Deep Space*, which became effective on 16 March 1990, has been established to be at a distance equal to, or greater than, 2.0×10^{6} km.

While the Radio Regulations contain a definition for *Deep Space*, they do not specifically name that zone lying closer to the Earth. Thus, there is no internationally recognized term for *non–Deep Space* missions.

Several years ago, the CCSDS recognized the deficiencies with the ITU's lunar distance *Deep Space* boundary. Accordingly, CCSDS members agreed among themselves to establish the *Deep Space* boundary at 2.0×10^{6} km whenever that was possible under the then existing Radio Regulations. To avoid confusion with the ITU's definition for *Deep Space*, as well as to simplify the nomenclature for missions at any distance, the CCSDS defined the following mission categories:

Category A	Those missions having an altitude above the Earth of less than, 2.0×10^{6} km.
Category B	Those missions having an altitude above the Earth of greater than, or equal to, 2.0×10^{6} km.

Figure 1.5-1 pictorially depicts the Category A and B mission regions.

Because this terminology has become well established over the years, and because the ITU has still failed to define that region lying closer to Earth than 2.0×10^{6} km, the CCSDS will continue to use the two Categories to represent the applicability of a Recommendation to a specific class of mission.

Therefore, the letter A or B following the Recommendation number means that the Recommendation applies solely to Category A or Category B missions, respectively. If the Recommendation number stands alone, with neither an A or B following, then that Recommendation applies equally to both Category A and Category B missions.

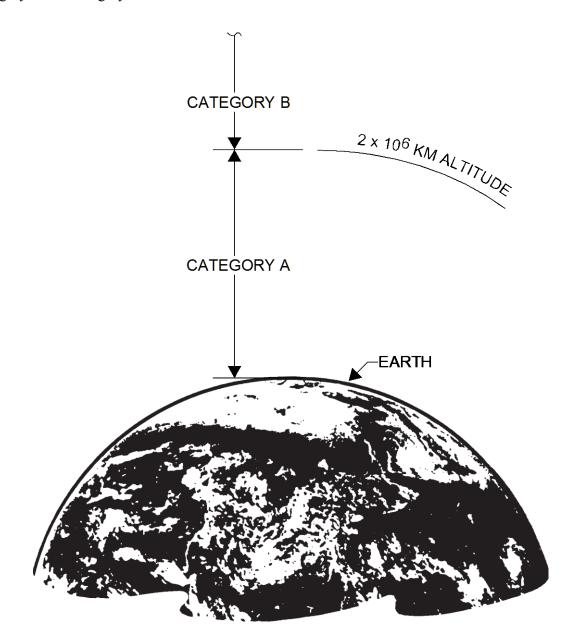


Figure 1.5-1: Mission Categories

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2.0 TECHNICAL RECOMMENDATIONS

Section 2 focuses upon the technical characteristics of RF and modulation systems for Earth stations and spacecraft. Each recommended standard delineates a specific capability which the CCSDS agencies believe will be needed in future years. Some suggested standards argue for retaining existing facilities, while others propose developing systems not presently used by any agency. The goal is to set forth recommended standards with which the agencies can create a group of uniform capabilities.

To facilitate the document's use, this section has been subdivided into six modules, each containing an individual subject:

2.1	Earth-to-Space Radio Frequency	2.4	Telemetry
2.2	Telecommand	2.5	Radio Metric
2.3	Space-to-Earth Radio Frequency	2.6	Spacecraft

Note that these subsections are identical to, and have been arranged in the same order as, those found in the CCSDS Radio Frequency and Modulation Report. However, an additional subsection for spacecraft has been included. Here, one can find those characteristics pertaining to spacecraft radio frequency and modulation systems.

Six summary tables corresponding to the six modules follow these introductory remarks. These tables contain the subject matter of each recommendation, its number, and a summary description. Using these tables, the reader can quickly locate specific recommendations contained in Section 2.

NOTES

- For historical reasons, the term 'Telecommand' is retained but is used in this book as a generic term encompassing spacecraft commands as well as any other data (data transfer) transmitted to the spacecraft directly from an Earth station (Earth-to-space link) or via another spacecraft (space-to-space forward link).
- 2 For historical reasons, the term 'Telemetry' is retained but is used in this book as a generic term encompassing spacecraft housekeeping data as well as any other data (science, video, data transfer, etc.) transmitted from the spacecraft directly to an Earth station (space-to-Earth link) or via another spacecraft (space-to-space return link).

EARTH-TO-SPACE RF RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
2.1.1	Phase Modulation	Use with residual carriers.
2.1.2	Circular Polarization	Use on Earth-to-space RF links.
2.1.3A	± 1–150 kHz; ± 1–500 kHz	Min Cat A acquisition sweep range at 2 and 7 GHz.
2.1.3B	± 1–300 kHz; ± 1 kHz–1 MHz; ± 1 kHz–4 MHz	Min Cat B acquisition sweep range at 2, 7, and 34 GHz.
2.1.4A	$500 \text{ Hz/s} \le 50 \text{ kHz/s}$	Min Cat A acquisition sweep rate range.
2.1.4B	$1 \text{ Hz/s} \le 10 \text{ kHz/s}$	Min Cat B acquisition sweep rate range.
2.1.5	Pos Voltage \rightarrow Pos Phase Shift	Modulator input voltage to carrier phase shift.
2.1.6	10 dB Carrier Suppression	Max carrier suppression resulting from all signals.
2.1.7B	Mod Indices; Data Rates Codes	Constraints from simultaneous service operations.
2.1.8A		Deleted.
2.1.8B		Deleted.
2.1.9	Multiple uplink carriers	Multiple uplink frequencies; linearizer, HPA back-off

TELECOMMAND RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
2.2.1	Reserved	
2.2.2	8 or 16 kHz, PSK, Sine Wave	Subcarrier frequencies, modulation, and waveform.
2.2.3	NRZ-L, M	Choice of telecommand data waveforms.
2.2.4	$4000/2^{n}$ coded symbol/s; n = 0, 1, 2 9	Range of telecommand coded symbol rates.
2.2.5	$\pm 2 \times 10^{-4} f_{sc}; \pm 1 \times 10^{-5}; \pm 5 \times 10^{-5}$	Subcarrier frequency offset and stabilities.
2.2.6	0.98 ≤ 1.02	Symmetry of baseband modulating waveforms.
2.2.7	PCM/PM/bi-phase-L; $4000*2^n$ coded symbol/s; $n = 1,6$	Medium-rate modulation; range of TC coded symbol rates.
2.2.8	BPSK, R=1000*2 ⁿ coded symbol/s; n = 0,,11	Suppressed carrier telecommand systems.

NOTE – For historical reasons, the term 'Telecommand' is retained but is used in this book as a generic term encompassing spacecraft commands as well as any other data (data transfer) transmitted to the spacecraft directly from an Earth station (Earth-to-space link) or via another spacecraft (space-to-space forward link).

SPACE-TO-EARTH RF RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
2.3.1	Residual Carriers	Use with low bit rate telemetry systems.
2.3.2	Suppressed Carriers	Use where residual carriers exceed PFD limits.
2.3.3A	$\pm 150 \text{ kHz}; \pm 600 \text{ kHz}; \pm 1800 \text{ kHz}$	Min Cat A acquisition sweep range at 2, 8, & 26 GHz.
2.3.3B	\pm 300 kHz; \pm 1 MHz; \pm 4 MHz	Min Cat B acquisition sweep range at 2, 8, & 32 GHz.
2.3.4A	$100 \text{ Hz/s} \le 200 \text{ kHz/s}$	Min Cat A acquisition sweep rate at 2, 8, & 26 GHz.
2.3.4B	$1 \text{ Hz/s} \le 10 \text{ kHz/s}$	Min Cat B acquisition sweep rate at 2, 8, & 32 GHz.
2.3.5	RCP or LCP	Polarization of space-to-Earth links.
2.3.6	Pos Voltage \rightarrow Pos Phase Shift	Modulator input voltage to carrier phase shift.
2.3.7	$\pm 5 \times 10^{-13} \ (0.2 \le s \le 100)$	Min Earth station reference frequency stability.
2.3.8	10 dB Sin; 15 dB Sq	Max carrier suppression resulting from all signals.
 		

REC.	RECOMMENDED	
NO.	CHARACTERISTICS	RECOMMENDATION SUMMARY
2.4.1	Reserved	
2.4.2	NRZ-M (DNRZ) Modulation	Use with suppressed carrier systems.
2.4.3	Subcarriers	Use with very low rate residual carrier subsystems.
2.4.4		Deleted.
2.4.5		Deleted.
2.4.6	± 200 ppm; $\pm 1 \times 10^{-6}$; $\pm 2 \times 10^{-5}$	Subcarrier frequency offset and stabilities.
2.4.7	NRZ-L; bi-phase-L	Choice of PCM waveforms in resid. carrier systems.
2.4.8	0.2 %	Max symbol asymmetry at RF modulator input.
2.4.9	64; 125/1000; 275/1000	Min Cat A, Cat B coded symbol transition densities.
2.4.10	00=0°; 01=90°; 11=180°; 10=270°	Channel coding conventions for QPSK systems.
2.4.11	Phase Ambiguity in QPSK Sys.	Use sync marker to resolve.
2.4.12A	5 Degrees; 0.5 dB	Max Cat A phase&l. BPSK/(O)QPSK/GMSK/8PSK imbal.
	5 Degrees; 0.5 dB	Max Cat B phase&l. BPSK/(O)QPSK/GMSK imbal.
2.4.13B	2 Degrees; 0.2 dB	Max Cat B phase & amplitude subcar. mod. imbal.
	4 for freq. $> 60 \text{ kHz}$	Cat A Subcarrier frequency-to-coded-symbol ratios.
2.4.14B	5 for freq. $> 60 \text{ kHz}$	Cat B Subcarrier frequency-to-coded-symbol ratios.
2.4.15A	Operating Region	Min Cat A coded symbol rate for mod. on residual RF carrier.
	Operating Region	Min Cat B coded symbol rate for mod. on residual RF carrier.
2.4.16	$\leq -60 \text{ dBc}$	Max spurious emissions.
2.4.17A	GMSK/OQPSK	Cat A modulation methods, high data rate transmissions.
	GMSK	Cat B modulation methods, high data rate transmissions.
2.4.18	4D 8PSK TCM/GMSK/OQPSK	EES modulation methods, high data rate transmissions.
2.4.19	± 100 ppm; $\pm 1 \times 10^{-6}$, $\pm 1 \times 10^{-5}$	Maximum coded symbol rate offset; minimum stability.
2.4.20B	$GMSK (BT_s=0.5)$	Cat B modulation methods, high coded symbol rate
		transmissions.
2.4.21A	GMSK/OQPSK	Cat A modulation methods, high coded symbol rate
	~	transmissions.
2.4.22A	GMSK+PN ranging	Cat A modulation methods, high coded symbol rate
		transmissions.
2.4.22B	GMSK+PN ranging	Cat B modulation methods, high coded symbol rate
		transmissions.
2.4.23	QPSK/OQPSK/PSK/APSK	EES modulation methods, high coded symbol rate
		transmissions.
2.4.24	8.40-8.50 GHz; 31.8-32.3 GHz	Telemetry ranging for space research

TELEMETRY RECOMMENDATION SUMMARY

NOTE – For historical reasons, the term 'Telemetry' is retained but is used in this book as a generic term encompassing spacecraft housekeeping data as well as any other data (science, video, data transfer, etc.) transmitted from the spacecraft directly to an Earth station (space-to-Earth link) or via another spacecraft (space-to-space return link).

RADIO METRIC RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
2.5.1A	10 ns	Min Cat A group delay calibration accuracy.
2.5.1B	7 ns	Min Cat B group delay calibration accuracy.
2.5.2A	20 ns	Min Cat A Earth station group delay stability in 12h.
2.5.2B	2 ns	Min Cat B Earth station group delay stability in 12h.
2.5.3A	± 50 ns	Min Cat A spacecraft group delay stability.
2.5.3B	± 30 ns	Min Cat B spacecraft group delay stability.
2.5.4A	$\pm 0.5 \text{ dB} (3 \text{ kHz} \le 110 \text{ kHz})$	Min Cat A ranging transponder bandwidth.
2.5.4B	$\pm 0.5 \text{ dB} (3 \text{ kHz} \le 1.1 \text{ MHz})$	Min Cat B ranging transponder bandwidth.
2.5.5A	20 ns	Max Cat A regen. transponder PN code delay.
2.5.6B	Sine waves	Cat B one-way ranging in S/C position determination.

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
2.6.1	221/240 Transponder Ratio	Freq ratio 2025–2120 MHz to 2200–2300 MHz.
2.6.2	749/880 Transponder Ratio	Freq ratio 7145–7235 MHz to 8400–8500 MHz.
2.6.3A	221/900 Transponder Ratio	Cat A Freq ratio 2025–2110 MHz to 8450–8500.
2.6.4A	765/240 Transponder Ratio	Cat A Freq ratio 7190–7235 MHz to 2200–2290.
2.6.5B	221/880 Transponder Ratio	Cat B Freq ratio 2110–2120 MHz to 8400–8450 MHz.
2.6.6B	749/240 Transponder Ratio	Cat B Freq ratio 7145–7190 MHz to 2290–2300 MHz.
2.6.7B	749/3344 Transponder Ratio	Cat B Freq ratio 7145–7190 MHz to 31.8–32.3 GHz.
2.6.8B	3599/3344; 3599/3360 Transponder Ratios	Cat B Freq ratio 34.2–34.7 GHz to 31.8–32.3 GHz.
2.6.9A	749/2652–2800 Transponder Ratios	Cat A Freq ratio 7190–7235 MHz and 25.5–27.0 GHz.
2.6.10A	221/2772 & 221/2850 Transpr. Ratios	Cat A Freq ratio 2025–2110 MHz and 25.5–27.0 GHz.
2.6.11A	749/836–864 Transponder Ratios	Cat A Freq ratio 7190–7250 MHz and 8025–8400 MHz.
2.6.12		Deleted.
2.6.13	749/876–884 Transponder Ratios	Cat A Freq ratio 7145–7235 MHz and 8400–8500 MHz
2.6.14	2407/2720-2816 Transpr. Ratios	Cat A Freq ratio 22.55–23.15 GHz and 25.5–27.0 GHz.
2.6.15	2407/2800–2816 Transpr. Ratios	Cat A Freq ratio 23.15–23.55 GHz and 27.0–27.5 GHz

Earth Stations and Spacecraft

2.1.1 **RF CARRIER MODULATION OF THE EARTH-TO-SPACE LINK**

The CCSDS,

considering

- (a) that most space agencies currently utilize spacecraft receivers employing phase-locked loops;
- (b) that conventional phase-locked loop receivers require a residual carrier to operate efficiently;
- (c) that phase modulation results in efficient demodulation;

recommends

that CCSDS agencies provide a capability to support phase modulation with a residual carrier for their Earth-to-space links.

2.1.2 POLARIZATION OF EARTH-TO-SPACE LINKS

The CCSDS,

considering

- (a) that a linear electric field polarization on links to spacecraft, having nearly omnidirectional antenna patterns, may vary considerably with aspect angle;
- (b) that the aspect angle of a near-Earth orbiting satellite varies greatly during a pass;
- (c) that for satellites having a stable linear polarization in the direction of the Earth station (e.g., geostationary satellites with suitable attitude stabilization or satellites using tracking antennas) the propagation effects such as Faraday rotation may cause substantial rotation in the received polarization at lower carrier frequencies;
- (d) that automatic correction of rotation in the Earth station's polarization adds undesirable complexity to the system;
- (e) that most existing Earth stations are equipped for RCP and LCP polarization;

- (1) that CCSDS agencies use circular polarization on their Earth-to-space RF links for telecommand and ranging;
- (2) that payload service links use circular polarization in those cases where TTC is carried out in the payload service band or where on-board antennas are shared with payload functions;
- (3) that the Earth station be designed to switch between LCP and RCP polarization without causing an interruption of the transmitted carrier exceeding 5 seconds in those cases where changes of polarization are desired.

Earth Stations and Spacecraft

2.1.3A TRANSMITTER FREQUENCY SWEEP RANGE ON EARTH-TO-SPACE LINKS, CATEGORY A

The CCSDS,

considering

(a) that the Doppler frequency shift on the Earth-to-space link, resulting from relative motion between Earth stations and Category A spacecraft, can achieve values up to:

 \pm 80 kHz at 2 GHz \pm 300 kHz at 7 GHz;

(b) that the rest frequency uncertainties in spacecraft receivers are in the order of:

 \pm 50 kHz at 2 GHz \pm 200 kHz at 7 GHz;

- (c) that the lock-in frequency range of spacecraft receivers is much smaller than the frequency deviations given in (a) and (b);
- (d) that the Doppler frequency shift can usually be predicted to an accuracy of better than ± 1 kHz;
- (e) that most of the spacecraft receivers have a tracking range up to:

 \pm 150 kHz at 2 GHz \pm 500 kHz at 7 GHz;

(f) that the acquisition time should be kept to a minimum;

recommends

that the Earth station's transmitter should have a minimum sweep range capability of at least:

 \pm 1 kHz

and a maximum sweep range capability of:

 \pm 150 kHz at 2 GHz \pm 500 kHz at 7 GHz.

Earth Stations and Spacecraft

2.1.3B TRANSMITTER FREQUENCY SWEEP RANGE ON EARTH-TO-SPACE LINKS, CATEGORY B

The CCSDS,

considering

(a) that the Doppler frequency shift on the Earth-to-space link, resulting from relative motion between Earth stations and category B spacecraft, can achieve values up to:

 \pm 250 kHz at 2 GHz \pm 900 kHz at 7 GHz \pm 4 MHz at 34 GHz;

- (b) that the rest frequency uncertainties in spacecraft receivers are on the order of:
 - \pm 1 kHz at 2 GHz
 - \pm 4 kHz at 7 GHz
 - \pm 18 kHz at 34 GHz;
- (c) that the Doppler frequency shift can usually be predicted to an accuracy of ± 1 kHz;
- (d) that most of the spacecraft receivers have tracking ranges less than or equal to:
 - \pm 300 kHz at 2 GHz \pm 1 MHz at 7 GHz
 - \pm 4 MHz at 34 GHz;
- (e) that the lock-in frequency range of spacecraft receivers is much smaller than the frequency deviations given in (a) and (b) above;
- (f) that the effect on the radio link, resulting from variation in the columnar charged-particle content, is generally negligible;
- (g) that the acquisition time should be kept to a minimum;

recommends

that the Earth station's transmitter should have a minimum sweep range capability of:

 \pm 1 kHz at 2, 7, and 34 GHz

and a maximum sweep range capability of at least:

 \pm 300 kHz at 2 GHz \pm 1 MHz at 7 GHz \pm 4 MHz at 34 GHz.

Earth Stations and Spacecraft

2.1.4A TRANSMITTER FREQUENCY SWEEP RATE ON EARTH-TO-SPACE LINKS, CATEGORY A

The CCSDS,

considering

(a) that the rate of change of the Doppler frequency shift on the Earth-to-space link, resulting from relative motion between Earth stations and Category A spacecraft, is smaller than:

3 kHz/s at 2 GHz 10 kHz/s at 7 GHz;

- (b) that most of the spacecraft receivers have a phase-locked loop with a bandwidth (2 B_{LO}) in the range 200 Hz to 800 Hz at their threshold;
- (c) that the maximum permissible rate of input frequency variation for most types of spacecraft receivers is between 2 kHz/s and 30 kHz/s at their threshold;
- (d) that the frequency sweep rate on the Earth-to-space link should be chosen such that the total rate of frequency variation, resulting from both the transmitter's sweep rate and the orbital Doppler rate, does not unlock the spacecraft's phase-locked loop;
- (e) that the acquisition time should be kept to a minimum for each mission phase;

recommends

(1) that the Earth station's transmitter should have a minimum frequency sweep rate capability of:

500 Hz/s

and a maximum frequency sweep rate capability of at least:

50 kHz/s;

(2) that the Earth station's transmitter RF phase continuity be maintained at all times, which will ensure that the spacecraft's receiver remains locked following acquisition.

Earth Stations and Spacecraft

2.1.4B TRANSMITTER FREQUENCY SWEEP RATE ON EARTH-TO-SPACE LINKS, CATEGORY B

The CCSDS,

considering

(a) that the rate of change of the Doppler frequency shift on the Earth-to-space link, resulting from relative motion between Earth stations and category B spacecraft, is smaller than:

70 Hz/s at 2 GHz 240 Hz/s at 7 GHz 1200 Hz/s at 34 GHz;

- (b) that most of the spacecraft receivers have a phase-locked loop with a bandwidth (2 BLO) in the range 10 Hz to 100 Hz at their threshold;
- (c) that the maximum permissible rate of input frequency variation for this type of spacecraft receiver is between 6 Hz/s and 1 kHz/s at its threshold;
- (d) that the maximum permissible rate of input frequency variation for signals above the receiver's threshold can be as much as 10 kHz/s;
- (e) that the frequency sweep rate on the Earth-to-space link should be chosen such that the total rate of frequency variation, resulting from both the transmitter's sweep rate and the orbital Doppler rate, does not unlock the spacecraft's phase-locked loop;
- (f) that the acquisition time should be kept to a minimum for each mission phase;

recommends

(1) that the Earth station's transmitter should have a minimum frequency sweep rate capability of:

1 Hz/s

and a maximum frequency sweep rate capability of at least:

10 kHz/s;

(2) that the Earth station's transmitter RF phase continuity be maintained at all times, which will ensure that the spacecraft's receiver remains locked following acquisition.

2.1.5 RELATIONSHIP OF MODULATOR INPUT VOLTAGE TO RESULTANT RF CARRIER PHASE SHIFT¹

The CCSDS,

considering

that a clear relationship between the modulating signal and the RF carrier's phase is desirable to avoid unnecessary ambiguity problems;

recommends

that a positive-going voltage at the modulator input should result in an advance of the phase of the radio frequency signal.

¹ This Recommendation is also filed as Rec. 401 (2.3.6) B-1.

2.1.6 RF CARRIER SUPPRESSION ON EARTH-TO-SPACE LINKS FOR RESIDUAL CARRIER SYSTEMS

The CCSDS,

considering

that high modulation indices may make the residual carrier difficult to detect with a conventional phase-locked loop receiver;

recommends

that CCSDS agencies select modulation indices such that the reduction in carrier power, with respect to the total unmodulated carrier power, does not exceed 10 dB.

Earth Stations and Spacecraft

2.1.7B OPERATIONAL AND EQUIPMENT CONSTRAINTS RESULTING FROM SIMULTANEOUS TELECOMMAND AND RANGING IN RESIDUAL CARRIER SYSTEMS, CATEGORY B

The CCSDS,

considering

- (a) that coherent transmissions are generally employed for making range measurements to a Category B mission spacecraft;
- (b) that conventional phase locked loop receivers require a residual carrier component to operate properly;
- (c) that sufficient power must be reserved to the residual carrier so that the spacecraft receiver can track with an acceptable phase jitter;
- (d) that sufficient power must be allocated to the command data channel to obtain the required bit error rate;
- (e) that in two-way operation, the noise contained in the transponder's ranging channel bandwidth will be retransmitted to the Earth station along with the ranging signal;
- (f) that sufficient power must be allocated to the ranging signal to obtain the required accuracy and probability of error;
- (g) that some ranging systems permit the simultaneous transmission of several tone frequencies from the Earth station and that a proper choice of these frequencies will minimize the cross-modulation and interference to the telecommand signal by the ranging signal;
- (h) that transmission of a single, low frequency ranging tone by the Earth station may result in interference in the telecommand channel on the spacecraft;

- (1) that the telecommand modulation index shall not be less than 0.2 radians peak;
- (2) that the Earth station's ranging modulation index shall not exceed 1.4 radians peak;
- (3) that the telecommand subcarrier's period should be an integer subdivision of the data bits' period;
- (4) that, where necessary, each and every lower frequency ranging tone be chopped (modulo-2 added) with the highest frequency ranging tone.

2.1.8A MINIMUM EARTH STATION TRANSMITTER FREQUENCY RESOLUTION FOR SPACECRAFT RECEIVER ACQUISITION, CATEGORY A

This recommendation has been deleted (CCSDS resolution CMC-R-2018-02-001).

2.1.8B MINIMUM EARTH STATION TRANSMITTER FREQUENCY RESOLUTION FOR SPACECRAFT RECEIVER ACQUISITION, CATEGORY B

This recommendation has been deleted (CCSDS resolution CMC-R-2018-02-001).

2.1.9 MULTIPLE UPLINK CARRIERS FOR SPACE RESEARCH EARTH STATION SUPPORT OF MULTIPLE SPACECRAFT PER APERTURE

The CCSDS,

considering

- (a) that there are several methods,¹ broadly called Multiple Spacecraft Per Aperture (MSPA), whereby a single Earth station antenna can be used to support multiple spacecraft located within its antenna beamwidth;
- (b) that several space agencies have already used MSPA to simultaneously receive downlink telemetry from multiple spacecraft using a single Earth station antenna, in cases where each spacecraft is transmitting on a different frequency;
- (c) that there are various approaches¹ for MSPA on the uplink, which have their own relative merits and drawbacks in terms of implementation complexity and operational impacts;
- (d) that the preferred approach¹ for MSPA may be different depending on the timeframe being considered;
- (e) that some space agencies have the need for simultaneous uplink and downlink MSPA but do not want to pursue changes to the existing spacecraft transponder;
- (f) that transmission of multiple uplink carriers through the Earth station High Power Amplifier (HPA) results in intermodulation products, which could cause interference to other missions or exceed spurious emission limits;
- (g) that the use of a linearizer or HPA back-off can reduce the intermodulation products significantly;
- (h) that the installation of a linearizer requires changes in the current CCSDS space agencies' stations;
- (i) that the use of HPA back-off reduces the link margin of the uplink;
- (j) that the spurious level for space services Earth stations cannot be above -60 dBc as per ITU Radio Regulations;

- (1) that CCSDS agencies' Earth stations transmit multiple uplink frequencies² simultaneously through the high power amplifier to all spacecraft in its antenna beam when supporting two or more spacecraft using a single Earth station antenna;
- (2) that a linearizer and some HPA back-off be used to reduce uplink intermodulation products;
- (3) that the linearizer and the HPA back-off shall be designed or dimensioned to meet the maximum spurious level of -60 dBc referred to the maximum transmitter power in the station license.

¹ See CCSDS 401 (3.1.7).

 $^{^{2}}$ Each spacecraft has a different uplink and downlink frequency, related by the standard CCSDS turnaround ratios applicable to the bands being used.

Earth Stations and Spacecraft

RESERVED for RECOMMENDATION 401 (2.2.1)

2.2.2 SUBCARRIERS IN TELECOMMAND SYSTEMS

The CCSDS,

considering

- (a) that most space agencies presently utilize either 8 kHz or 16 kHz subcarriers for telecommand transmissions where coded symbol rates are less than or equal to 4 coded ksymbol/s;
- (b) that modulation schemes employing subcarriers reduce the interference to the RF carrier loop resulting from data sidebands;
- (c) that PSK modulation is the most efficient type of digital modulation because of its symbol error rate performance;
- (d) that it is important to limit the occupied bandwidth;

recommends

that CCSDS agencies use a sine wave subcarrier for telecommand, with a frequency of either 8 kHz or 16 kHz, which has been PSK modulated.

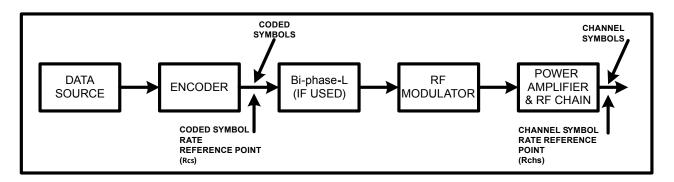


Figure 2.2.2-1: Telecommand Rates Definition

Earth Stations and Spacecraft

2.2.3 CHOICE OF PULSE CODE MODULATION (PCM) FORMAT IN TELECOMMAND LINKS

The CCSDS,

considering

- (a) that NRZ-L, -M result in efficient spectrum utilization;
- (b) that present telecommand coded symbol rates are generally less than or equal to 4 coded ksymbol/s;
- (c) that telecommand data sidebands are separated from the carrier by employing a PSK subcarrier;
- (d) that NRZ-L results in very good signal-to-noise performance;
- (e) that NRZ-M avoids ambiguity errors;

- (1) that CCSDS agencies use NRZ-L, -M format with PSK subcarriers for telecommand data;
- (2) that due consideration be given to the symbol transition density of the telecommand modulation to ensure proper operation of the spacecraft's receiving equipment.

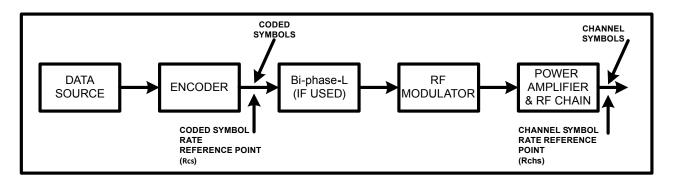


Figure 2.2.3-1: Telecommand Rates Definition

2.2.4 LOW-RATE TELECOMMAND SYSTEMS

The CCSDS,

considering

- (a) that many space agencies utilize PCM-PSK modulation for the telecommand links;
- (b) that phase coherency between the PCM signal and the subcarrier facilitates system implementation;
- (c) that subcarrier frequencies of either 8 kHz or 16 kHz are commonly used;
- (d) that many space agencies have developed, or will develop, equipment using telecommand data rates in the range 8–4000 coded symbol/s;

- (1) that CCSDS agencies provide telecommand coded symbol rates in the range $4000/2^n$ symbol/s, where n = 0, 1, 2, ..., 9;¹
- (2) that coded symbol and subcarrier transitions should coincide.

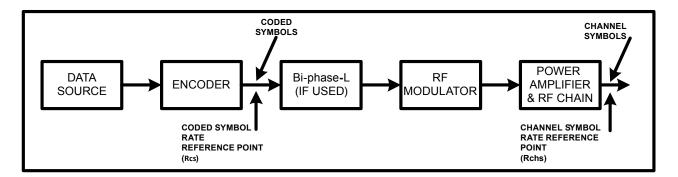


Figure 2.2.4-1: Telecommand Rates Definition

¹ A 4000 coded symbol/s rate should only be used with a 16 kHz subcarrier and care should be taken to ensure that harmful interactions with other signals do not occur.

2.2.5 TELECOMMAND SUBCARRIER FREQUENCY STABILITY

The CCSDS,

considering

- (a) that the present use of subcarriers for modulating the Earth-to-space RF links represents a mature technique for both Categories A and B missions and, therefore, is a well settled standard;
- (b) that modifications of this standard imply costly changes to space agencies' networks;

recommends

that CCSDS agencies' Earth stations be designed to provide telecommand subcarriers with characteristics which are equal to or better than:

Maximum Subcarrier Frequency Offset	$\pm (2 \times 10^{-4}) f_{sc};^{1}$
Minimum Subcarrier Frequency Stability (1 second);	$\pm 1 \times 10^{-5}$
Minimum Subcarrier Frequency Stability (24 hours).	$\pm 5 \times 10^{-5}$

¹ f_{sc} = frequency of telecommand subcarrier.

2.2.6 SYMMETRY OF BASEBAND DATA MODULATING WAVEFORMS¹

The CCSDS,

considering

- (a) that the Earth station's transmitter power should be used as efficiently as possible;
- that undesired spectral components in the Earth station's transmitted signal should be minimized; (b)
- that time-asymmetry in the modulating waveform results in a DC-component; (c)
- that such a DC-component in the modulating waveform results in a data power loss because of (d)AC-coupling in the modulator;
- that, in addition to the power loss, time-asymmetry results in matched filter losses; (e)
- that the above losses should not exceed 0.1 dB;² (f)
- that the out-of-band emissions resulting from the time-asymmetry in the modulating waveform (g) can be reduced by additional filtering;

recommends

that, the symmetry of all baseband square wave modulating waveforms should be such that the symbol asymmetry^{3, 4} shall not exceed 1 percent.

¹ This Recommendation is also filed as Recommendation 401 (2.4.8) B-1 for the space-to-Earth link.

² Where bi-phase-L modulation is utilized, larger baseband signal losses than are permitted by considering (f) may result.

³ Definition of: Symbol Asymmetry = $\frac{|\log \text{ symbol} - \text{ short symbol}|}{\log \text{ symbol} + \text{ short symbol}}$

⁴ Symbol asymmetry shall be measured at 50 percent of the peak-to-peak amplitude point.

2.2.7 MEDIUM-RATE TELECOMMAND SYSTEMS

The CCSDS,

considering

- (a) that most space agencies presently utilize either 8 kHz or 16 kHz subcarriers for telecommand transmissions where coded symbol rates are less than or equal to 4 coded ksymbol/s;
- (b) that missions in the near future may require higher rates telecommanding capabilities, in the range 8 coded ksymbol/s to 256 coded ksymbol/s;
- (c) that the possibility of simultaneous ranging, telecommand transmission and telemetry reception can result in optimal utilization of the Earth station coverage time;
- (d) that ranging requires that a distinct carrier component be present in the up- and down-link signals;
- (e) that subcarrier modulation techniques require substantially more spectrum compared to other modulation techniques;
- (f) that the use of PCM/PM/bi-phase-L modulation is justified when a distinct carrier component is required and only for coded symbol rates below 2 coded Msymbol/s;

- (1) that CCSDS agencies use PCM/PM/bi-phase-L modulation direct on the carrier for medium rate telecommand data transmission;
- (2) that CCSDS agencies provide medium telecommand coded symbol rates in the range¹ R = $4000*2^{n}$ coded symbol/s where n=1...,6.

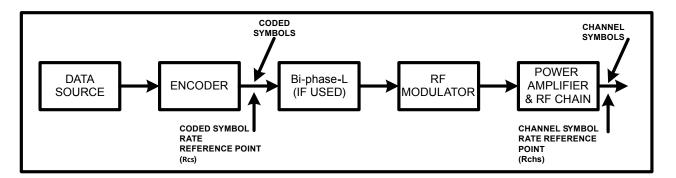


Figure 2.2.7-1: Telecommand Rates Definition

¹ For the purpose of this recommendation, the coded symbol rates are defined prior to bi-phase-L encoding.

Earth Stations and Spacecraft

2.2.8 SUPPRESSED CARRIER TELECOMMAND SYSTEMS

The CCSDS,

considering

- (a) that missions in the near future could require higher rate telecommanding capabilities, up to 2.048 coded Msymbol/s;
- (b) that it is important to limit the occupied bandwidth at high telecommand rates to reduce out-ofband interference;
- (c) that BPSK modulated directly on the carrier requires less bandwidth than PCM/PM/bi-phase-L and subcarrier modulation techniques;
- (d) that some currently used two-way ranging systems are not compatible with suppressed carrier modulations;
- (e) that the carrier can be recovered from BPSK signals for Doppler measurements using suppressed carrier tracking techniques such as the Costas loop;
- (f) that some missions do not require ranging nor do they require a distinct carrier component for Doppler measurement;

noting

that there are residual carrier CCSDS recommendations for simultaneous telecommand and ranging;¹

- (1) that when a residual carrier system does not satisfy the mission requirements, CCSDS agencies should use BPSK modulation for telecommand data transmissions up to 2.048 coded Msymbol/s;
- (2) that the telecommand coded symbol rates for BPSK modulation should be selected in the range $R = 1000*2^n$ coded symbol/s where n = 0, ..., 11.

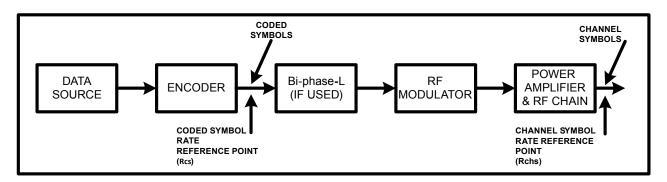


Figure 2.2.8-1: Telecommand Rates Definition

¹See CCSDS Recommendations 401 (2.2.2) B-1 to 401 (2.2.7) B-1.

Earth Stations and Spacecraft

2.3.1 RESIDUAL CARRIERS FOR LOW RATE TELEMETRY, SPACE-TO-EARTH LINKS

The CCSDS,

considering

- (a) that many space agencies own and/or operate Earth stations for communication with spacecraft in which they have substantial investments;
- (b) that these Earth stations contain receiving equipment employing phase-locked loops;
- (c) that conventional phase-locked loop receivers require a residual carrier component to operate properly;
- (d) that most space agencies use autotrack systems for Category A missions, which need a residual carrier;

recommends

that CCSDS agencies retain residual carrier receiving systems in their Earth stations for use with missions having low rate telemetry requirements.

2.3.2 USE OF SUPPRESSED CARRIER MODULATIONS FOR MEDIUM RATE TELEMETRY SPACE-TO-EARTH LINKS

The CCSDS,

considering

- (a) that present technology makes the implementation of suppressed carrier modulation systems practicable;
- (b) that a comparison of carrier signal-to-noise ratios in a conventional residual carrier phase-locked loop with those in a suppressed carrier loop shows that the latter provides a substantial advantage over the former, frequently exceeding 10 dB;
- (c) that a comparison of coded symbol errors occurring in a conventional residual carrier phaselocked loop system with those occurring in a suppressed carrier loop system shows that the latter's performance is no worse, and frequently is better, than that of the former;
- (d) that suppressed carrier systems lend themselves to compliance with PFD limits on the Earth's surface more readily than do residual carrier systems;
- (e) that recommendation 2.4.17A defines recommended bandwidth efficient modulation formats for high coded symbol rate (> 2 coded Msymbol/s) space-to-Earth transmissions from Category A missions in Space Research service 2 and 8 GHz bands;
- (f) that recommendation 2.4.17B defines recommended bandwidth efficient modulation formats for high coded symbol rate (> 2 Msymbol/s) space-to-Earth transmissions from Category B missions in Space Research service 2 and 8 GHz bands;
- (g) that recommendation 2.4.18 defines recommended bandwidth efficient modulation formats for high coded symbol rate (> 2 coded Msymbol/s) space-to-Earth transmissions from missions in Earth Exploration Satellite Service 8 GHz band;
- (h) that recommendation 2.4.20B defines recommended bandwidth efficient modulation formats for high coded symbol rate (> 20 coded Msymbol/s) space-to-Earth transmissions from Category B missions in Space Research service 32 GHz band;
- (i) that recommendation 2.4.21A defines recommended bandwidth efficient modulation formats for high coded symbol rate (> 10 coded Msymbol/s) space-to-Earth transmissions from Category A missions in Space Research service 26 GHz band;
- (j) that short periodic data patterns can result in zero power at the carrier frequency;

Earth Stations and Spacecraft

2.3.2 USE OF SUPPRESSED CARRIER MODULATIONS FOR MEDIUM RATE TELEMETRY SPACE-TO-EARTH LINKS (Continued)

recommends

- (1) that CCSDS agencies shall utilize one of the following suppressed carrier modulation formats:¹
 - a) (filtered) BPSK
 - b) (filtered) QPSK
 - c) filtered $OQPSK^2$
 - d) GMSK²

when a residual carrier system exceeds the Earth's PFD limits and provided that the following space-to-Earth communications coded symbol rates are not exceeded:

- a) 2 coded Msymbol/s in the 2 and 8 GHz bands;
- b) 10 coded Msymbol/s in the 26 GHz band;
- c) 20 coded Msymbol/s in the 32 GHz band.
- (2) that CCSDS agencies should use the suppressed carrier modulation formats of recommends (1) whenever practicable to minimize spectral occupancy at coded symbol rates lower than in recommends (1);
- (3) that CCSDS agencies should preferably use filtered OQPSK² or GMSK² out of the suppressed carrier modulation formats given in recommends (1) taking due note of the additional constraints given in recommendations 2.4.17B and 2.4.20B;
- (4) that CCSDS agencies shall use a data randomizer as specified in the CCSDS Blue Book, *TM Synchronization and Channel Coding*, CCSDS 131.0-B-3 (or latest edition).

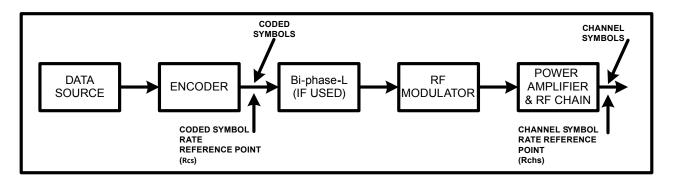


Figure 2.3.2-1: Telemetry Rates Definition

¹ Subject to the constraints of SFCG recommendations 21-2R2 and 23-1 or latest version.

² See recommendations 401.0 (2.4.17A) and 401.0 (2.4.17B).

Earth Stations and Spacecraft

2.3.3A EARTH STATION RECEIVER ACQUISITION FREQUENCY SWEEP RANGE, CATEGORY A

The CCSDS,

considering

- (a) that the space-to-Earth link may be operated in either a coherent turnaround mode, or in a one-way mode;
- (b) that for the coherent turnaround mode, the Doppler frequency shift induced on both the Earth-tospace and the space-to-Earth links is the major factor to be considered in selecting the frequency acquisition range;
- (c) that for the one-way mode, both the Doppler frequency shift induced on the space-to-Earth link and the frequency stability of the spacecraft's oscillator are the major factors to be considered in selecting the frequency acquisition range;
- (d) that the maximum rate of change of distance between the Earth station and Category A spacecraft can reach values of up to 10 km/s;
- (e) that the minimum frequency stability found in Category A spacecraft reference frequency oscillators is about $2 \times 10^{-5} = 20$ ppm;
- (f) that the Doppler frequency shift can usually be predicted to an accuracy of ± 1 kHz;
- (g) that digital receivers can use FFT algorithms for carrier acquisition rather than frequency sweeping;

- (1) that CCSDS agencies' Earth station receivers be capable of frequency acquisition ranges of at least:
 - \pm 150 kHz at 2 GHz¹ \pm 600 kHz at 8 GHz¹ \pm 1800 kHz at 26 GHz;¹
- (2) that CCSDS agencies provide a minimum acquisition range that is consistent with their ability to predict the Doppler frequency acquisition.

¹ These numbers cover the worst case between two-way and one-way modes with spacecraft oscillator stability included in the latter.

Earth Stations and Spacecraft

2.3.3B EARTH STATION RECEIVER ACQUISITION FREQUENCY SWEEP RANGE, CATEGORY B

The CCSDS,

considering

- (a) that the space-to-Earth link may be operated in either a coherent turnaround mode, or in a one-way mode;
- (b) that in the coherent turnaround mode, the Doppler frequency shift induced on both the Earth-tospace and the space-to-Earth links is the major factor to be considered in selecting the frequency acquisition range;
- (c) that the effect on the radio link, resulting from variation in the columnar charged-particle content, is generally negligible;
- (d) that the maximum rate of change of distance between the Earth station and Category B spacecraft can reach values of up to 35 km/s;
- (e) that the minimum frequency stability found in Category B spacecraft reference frequency oscillators is about $1 \times 10^{-6} = 1$ ppm;
- (f) that the Doppler frequency shift can usually be predicted to an accuracy of ± 1 kHz;
- (g) that digital receivers can use FFT algorithms for carrier acquisition rather than frequency sweeping;

recommends

(1) that CCSDS agencies' Earth station receivers be able to support frequency acquisition ranges of at least:

$$\pm$$
 300 kHz at 2 GHz¹

- \pm 1 MHz at 8 GHz¹
- \pm 4 MHz at 32 GHz;¹
- (2) that CCSDS agencies provide a minimum acquisition range that is consistent with their ability to predict the Doppler frequency shift.

¹ Maximum acquisition range applies to one-way (non-coherent) mode; coherent turnaround mode will approximately double maximum acquisition range.

Earth Stations and Spacecraft

2.3.4A EARTH STATION RECEIVER ACQUISITION FREQUENCY SWEEP RATE, CATEGORY A

The CCSDS,

considering

- (a) that the space-to-Earth link may be operated in either a coherent turnaround mode or in a one-way mode;
- (b) that in the coherent turnaround mode, the Doppler frequency rates induced on both the Earth-tospace and the space-to-Earth links are the major factors to be considered in selecting the Earth station receiver's frequency sweep rate;
- (c) that in the one-way mode, the Doppler frequency rate on the space-to-Earth link and the Earth station receiver's phase locked loop bandwidth (2 B_{LO}), with its resulting maximum permissible input frequency variation, are the major factors to be considered in selecting the sweep rate;
- (d) that the rate-of-change of velocity¹ between the Earth station and Category A spacecraft can reach values up to 380 m/s², which results in frequency variation rates of approximately 3 kHz/s at 2 GHz,10 kHz/s at 8 GHz, and 34 kHz/s at 26 GHz in the one-way mode (or 6 kHz/s,20 kHz/s, and 68 kHz/s respectively in the coherent turnaround mode);
- (e) that the Earth station's receivers generally have phase locked loop bandwidths (2 B_{LO}) in the range of 30 Hz to 2 kHz at their threshold;
- (f) that, for an acquisition probability of 0.9, the maximum permissible rate of input frequency variation for this type of Earth station receiver is between 100 Hz/s and 400 kHz/s at its threshold;
- (g) that the Earth station receiver's frequency sweep rate plus the spacecraft's Doppler frequency rate must not exceed the receiver's ability to achieve phase-locked operation;
- (h) that the acquisition time should be kept to a minimum for each mission phase;

recommends

that CCSDS agencies' Earth station receivers operating in the 2, 8, and 26 GHz bands should have a minimum frequency sweep rate not exceeding 100 Hz/s and a maximum frequency sweep rate of at least 200 kHz/s.

¹ For circular orbits the Doppler rate is negative.

Earth Stations and Spacecraft

2.3.4B EARTH STATION RECEIVER ACQUISITION FREQUENCY SWEEP RATE, CATEGORY B

The CCSDS,

considering

- (a) that the space-to-Earth link may be operated in either a coherent turnaround mode, or in a one-way mode;
- (b) that in the coherent turnaround mode, the Doppler frequency rates induced on both the Earth-tospace and the space-to-Earth links are the major factors to be considered in selecting the Earth station receiver's frequency sweep rate;
- (c) that in the one-way mode, the Doppler rate on the space-to-Earth link and the Earth station receiver's phase-locked loop bandwidth (2 B_{LO}), with its resulting maximum permissible input frequency variation, are the major factors to be considered in selecting the sweep rate;
- (d) that the rate of change of velocity between the Earth station and category B spacecraft can reach values up to 10 m/s^2 ;
- (e) that the Earth station's receivers have phase-locked loop bandwidths (2 B_{LO}) in the range of 1 Hz to 1 kHz at their thresholds;
- (f) that typical Earth station receivers, operating in the 2, 8, and 32 GHz bands, allow a maximum permissible rate of input frequency variation of between 1 Hz/s and 10 kHz/s;
- (g) that the receiver's frequency sweep rate, plus the orbital Doppler frequency rate, must not exceed the Earth station receiver's ability to achieve phase-locked operation;
- (h) that the acquisition time should be kept to a minimum for each mission phase;
- (i) that a lower limit for the signal-to-noise ratio in the Earth station receiver's phase-locked loop is approximately 8.5 dB;

recommends

that CCSDS agencies' Earth station receivers, operating in the 2, 8, and 32 GHz bands, should have a minimum sweep rate not exceeding 1 Hz/s and a maximum sweep rate of at least 10 kHz/s.

2.3.5 POLARIZATION OF SPACE-TO-EARTH LINKS

The CCSDS,

considering

- (a) that a linear electric field polarization on links from spacecraft, having nearly omnidirectional antenna patterns, may vary considerably with aspect angle;¹
- (b) that the aspect angle of a near-Earth orbiting satellite varies greatly during a pass;
- (c) that for satellites having a stable linear polarization in the direction of the Earth station (e.g., geostationary satellites with suitable attitude stabilization or satellites using tracking antennas), the propagation effects such as Faraday rotation may cause changes in the received polarization at lower carrier frequencies;
- (d) that many Earth stations are equipped with polarization diversity receivers;
- (e) that many existing spacecraft TTC antenna designs provide circular polarization;

- (1) that CCSDS agencies utilize LCP or RCP polarization for satellite TTC space-to-Earth links unless sharing of equipment with payload functions requires a different approach;
- (2) that automatic polarization tracking should be used for reception of satellite signals wherever possible;
- (3) that when using linear polarization, polarization diversity reception should be used to meet the required system time constants² at Earth stations used for Category A missions.

¹ A satellite in a LEO orbit that has a linear polarization will not appear to have a constant polarization orientation to a receiving ground station, except under very specific conditions. Circular polarization will not have this problem.

 $^{^2}$ The rate of change of polarization due to the satellite motion is small, less than 180 degrees over the pass duration. When polarization diversity reception is used, the equipment switching time constants must be set to a sufficiently long time so that the equipment does not switch back and forth between horizontal and vertical while trying to acquire or maintain the signal.

2.3.6 RELATIONSHIP OF MODULATOR INPUT VOLTAGE TO RESULTANT RF CARRIER PHASE SHIFT¹

The CCSDS,

considering

that a clear relationship between the modulating signal and the RF carrier's phase is desirable to avoid unnecessary ambiguity problems;

recommends

that a positive-going voltage at the modulator input should result in an advance of the phase of the radio frequency signal.

¹ This Recommendation is also filed as Recommendation 401 (2.1.5) B-1.

2.3.7 EARTH STATION OSCILLATOR REFERENCE FREQUENCY STABILITY

The CCSDS,

considering

- (a) that most of the space agencies use a reference frequency standard to which the Earth station's receiver and transmitter local oscillators are locked;
- (b) that the short term frequency stability of the local oscillator substantially determines the range rate measurement's accuracy for Category A missions;
- (c) that the long term frequency stability of the local oscillator substantially determines the range rate measurement's accuracy for Category B missions;
- (d) that it is desirable for many Cat. A missions (except lunar missions) to determine range rate with an accuracy¹ of 1 mm/s or better, and that it is desirable for Cat. B missions as well as Cat. A lunar missions to determine range rate with an accuracy² of 0.1 mm/s or better;
- (e) that the oscillator's frequency shall be sufficiently stable such that its effect upon the range rate measurement's error shall be an order of magnitude smaller than the required range rate accuracy;
- (f) that, in addition to the foregoing, the long term stability of the local oscillator is also determined by the drift permitted in the Earth station's clock which should not exceed 10 microseconds per month;

- (1) that for Category A missions except lunar missions the Earth station oscillator reference frequency stability (Allan deviation) shall be better than the values in curve A³ of figure 2.3.7-1;
- (2) that for Category B^3 missions as well as lunar missions the Earth station oscillator reference frequency stability (Allan deviation) shall be better than the values in curve B of figure 2.3.7-1.

¹ Typical measurement integration time is 10 s.

² Typical measurement integration time is 60 s.

³ The sample time for computing the range rate bias is the signal round-trip light time. The sample time for computing the range rate jitter is the duration over which the measurement is integrated.

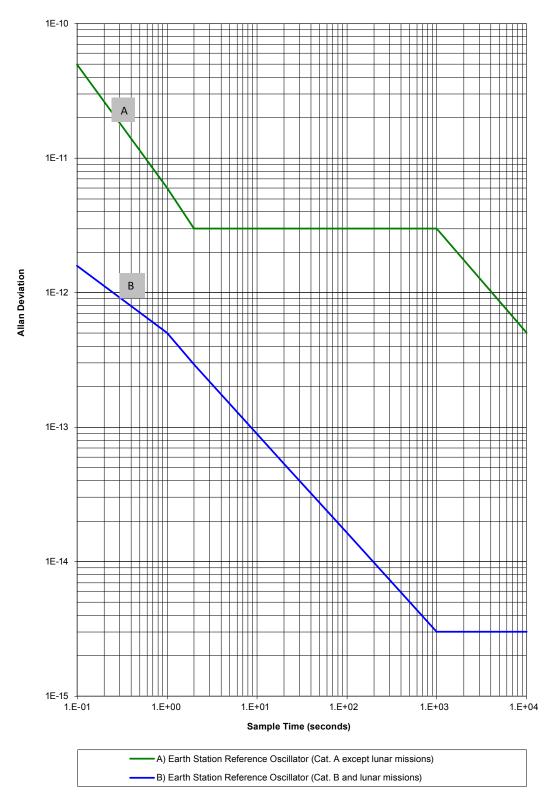


Figure 2.3.7-1: Frequency Stability Requirements

2.3.8 RF CARRIER SUPPRESSION ON SPACE-TO-EARTH LINKS FOR RESIDUAL CARRIER SYSTEMS

The CCSDS,

considering

- (a) that high modulation indices may make a residual carrier difficult to detect with a conventional phase-locked loop receiver;
- (b) that, for sine wave modulation, the carrier suppression should not exceed 10 dB as otherwise the recoverable power in the data channel decreases;
- (c) that, for square wave modulation, increasing the carrier suppression above 10 dB can result in a performance improvement in the data channel provided that the additional demodulation losses, resulting from the reduced carrier power, are less than the resulting data power increase;
- (d) that, where an error-detecting/correcting code is used on the data channel, a carrier tracking loop signal-to-noise ratio below 15 dB will result in demodulation losses which exceed the data power increase obtained by using a carrier suppression above 10 dB;

- (1) that, for sine wave modulation, the carrier suppression should not exceed 10 dB;
- (2) that, for square wave modulation, the carrier suppression may exceed 10 dB provided that the carrier tracking loop's signal-to-noise ratio remains above 15 dB.

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RESERVED for RECOMMENDATION 401 (2.4.1)

Earth Stations and Spacecraft

2.4.2 PULSE CODE MODULATION (PCM) FORMAT FOR SUPPRESSED CARRIER SYSTEMS

The CCSDS,

considering

- (a) that interaction between data sidebands and their RF carrier causes undesirable performance degradation;
- (b) that suppressed carrier modulation schemes eliminate interaction between data sidebands and the RF carrier;
- (c) that the necessary bandwidth for a suppressed carrier system with NRZ modulation is less than for a residual carrier system using Manchester or subcarrier modulation schemes;
- (d) that the lack of a carrier reference at the demodulator results in a phase ambiguity in the data that depends on the order of the modulation;
- (e) that this phase ambiguity is unacceptable and must be removed either by using synchronization markers, or by using a modulation that is insensitive to polarity as recommended in 401 (2.4.11);
- (f) that Differential NRZ (DNRZ) format is insensitive to polarity;
- (g) that DNRZ conversion inherently produces two bit errors at the converter output for every single bit error at the converter input, but the use of synchronization markers can result in the loss of entire frames;
- (h) that placing the differential encoder before the convolutional encoder mitigates the propagation of errors;
- (i) that some CCSDS member agencies use suppressed carrier modulation with DNRZ format in their relay satellites to reduce the necessary bandwidth while preventing data-carrier interaction;
- (j) that either NRZ-M or NRZ-S is an acceptable DNRZ format;
- (k) that NRZ-M is currently in use;

- (1) that suppressed carrier modulation schemes select NRZ-M format in case synchronization markers are not used and select NRZ-L format otherwise, as recommended in 401 (2.4.11);
- (2) that in convolutionally encoded systems requiring conversion between NRZ-L and NRZ-M, the conversion from NRZ-L take place before the input to the convolutional encoder, and the conversion from NRZ-M to NRZ-L take place after the output from the convolutional decoder in order to maximize performance.

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2.4.3 SUBCARRIERS IN LOW BIT RATE RESIDUAL CARRIER TELEMETRY SYSTEMS

The CCSDS,

considering

- (a) that at low bit rates, interaction between data sidebands and the residual RF carrier causes a performance degradation;
- (b) that subcarrier modulation schemes eliminate interaction between data sidebands and the residual RF carrier but are bandwidth-inefficient;
- (c) that PSK modulation is a very efficient type of digital modulation because of its bit error performance;
- (d) that for Category A missions, it is more important to limit the occupied bandwidth while for Category B missions, it is more important to minimize the susceptibility to in-band interference.

- (1) that CCSDS agencies limit the use of subcarriers to cases justified by technical reasons, i.e., low bit rate transmissions or radio science;
- (2) that CCSDS agencies use PSK modulation for these subcarriers;
- (3) that for Category A missions telemetry transmission, CCSDS agencies use sine wave subcarriers;
- (4) that for Category B missions telemetry transmission, CCSDS agencies use square wave subcarriers.

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2.4.4 PSK MODULATION FOR TELEMETRY SUBCARRIERS

This recommendation has been deleted (CCSDS resolution MC-E03-01).

2.4.5 TELEMETRY SUBCARRIER WAVEFORMS

This recommendation has been deleted (CCSDS resolution MC-E03-01).

2.4.6 TELEMETRY SUBCARRIER¹ FREQUENCY STABILITY IN RESIDUAL CARRIER TELEMETRY SYSTEMS

The CCSDS,

considering

- (a) that the present use of subcarriers for modulating the space-to-Earth RF links as in CCSDS Recommendation 2.4.7 represents a mature technique for both Categories A and B missions and, therefore, is a well settled standard;
- (b) that the subcarrier frequency-to-coded-symbol rate ratio is an integer value as in CCSDS Recommendations 2.4.14A and 2.4.14B;
- (c) that transponders can derive the subcarrier frequency from an oscillator or an NCO, if using digital processing;
- (d) that the resolution of the subcarrier frequency NCO, if used, determines the subcarrier frequency settability and may be as large as 1 Hz;
- (e) that the short term subcarrier frequency stability should be less than the ground station receiver subcarrier tracking loop bandwidth;
- (f) that ground station receivers can have subcarrier tracking loop bandwidths as low as 100 mHz using digital processing;
- (g) that the minimum long term frequency stability found in Category A spacecraft reference frequency oscillators is about ±20 ppm;
- (h) that the minimum long term frequency stability found in Category B spacecraft reference frequency oscillators is about ±1 ppm;

recommends

that spacecraft radio frequency subsystems generating telemetry subcarriers be designed with characteristics equal to or better than:

Maximum Subcarrier Frequency Offset ²	± 200 ppm;
Minimum Subcarrier Frequency Stability (short term); ³	$\pm 1 \times 10^{-6}$
Minimum Subcarrier Frequency Stability (long term). ⁴	$\pm 2 \times 10^{-5}$

¹ For the purpose of this recommendation, subcarrier includes but is not limited to bi-phase-L waveforms. In this case, the subcarrier-to-coded-symbol rate ratio is one and the subcarrier is a square wave.

 $^{^{2}}$ For Category B missions with TCXO oscillators, the largest contribution is given by the number of quantization bits of the NCO. This is a deterministic offset that can be compensated for.

³ Short term time intervals are less than or equal, 100 times the subcarrier's waveform period.

⁴ Stability over 5 minutes.

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2.4.7 CHOICE OF PCM WAVEFORMS IN RESIDUAL CARRIER TELEMETRY SYSTEMS

The CCSDS,

considering

- (a) that NRZ waveforms rely entirely on data transitions for coded symbol clock recovery, and this recovery becomes problematical unless an adequate transition density can be guaranteed;
- (b) that due to the presence of the mid-bit transitions, bi-phase-L waveforms provide better properties for bridging extended periods of identical coded symbols after initial acquisition;
- (c) that convolutionally encoded data have sufficient data transitions to ensure coded symbol clock recovery in accordance with the CCSDS recommended standards;
- (d) that with coherent PSK subcarrier modulation, it is possible by adequate hardware implementation to bridge extended periods of identical coded symbols even when NRZ waveforms are used;
- (e) that NRZ waveforms without a subcarrier have a non-zero spectral density at the RF carrier;
- (f) that coherent PSK subcarrier modulated by NRZ data and using an integer subcarrier frequency to coded symbol rate ratio, as well as bi-phase-L waveforms, have zero spectral density at the RF carrier;
- (g) that the ambiguity which is peculiar to NRZ-L and bi-phase-L waveforms can be removed by adequate steps;
- (h) that use of NRZ-M and NRZ-S waveforms results in errors occurring in pairs;
- (i) that it is desirable to prevent unnecessary decoder node switching by frame synchronization prior to convolutional decoding (particularly true for concatenated convolutional Reed-Solomon coding);
- (j) that to promote standardization, it is undesirable to increase the number of options unnecessarily, and that for any proposed scheme, those already implemented by space agencies should be considered first;

- (1) that for modulation schemes which use a subcarrier, the subcarrier to coded symbol rate ratio should be an integer;
- (2) that in cases where a subcarrier is employed, NRZ-L should be used;
- (3) that for direct modulation schemes having a residual carrier, only bi-phase-L waveforms should be used;
- (4) that ambiguity resolution should be provided.

MAXIMUM PERMISSIBLE SYMBOL ASYMMETRY FOR DIGITAL 2.4.8 SIGNALS AT THE INPUT TO THE RF MODULATOR

The CCSDS,

considering

- that symbol asymmetry^{1, 2} results in unwanted spectral components in the spacecraft's (a) transmitted RF signal;
- that such unwanted spectral components can cause harmful interference to other users of the (b) frequency band;
- that for a wide range of symbol³ rates, current technology permits control of the symbol (c) asymmetry such that these components can be reduced to a level of -60 dBc or lower;
- that, in addition to unwanted spectral components, symbol asymmetry results in data power and (d) matched filter losses which should be minimized:
- (e) that rise and fall time of digital circuits sets a limit on achievable symbol asymmetry;

recommends

that the symbol asymmetry^{1, 2} shall not exceed 0.2 percent.

¹ Definition of: Symbol Asymmetry = $\frac{|\log \text{ symbol} - \text{ short symbol}|}{\log \text{ symbol} + \text{ short symbol}}$

² Symbol asymmetry shall be measured at 50 percent of the peak-to-peak amplitude point.

³ A symbol is not unambiguously defined in the literature. For purposes of this Recommendation, a symbol shall be equivalent to:

⁻ a bit or an encoded bit or a chip in the case of NRZ waveforms;

half a bit or half an encoded bit or half an encoded chip in the case of bi-phase-L waveforms;

half of the clock cycle for a square-wave subcarrier.

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2.4.9 MINIMUM MODULATED CODED SYMBOL TRANSITION DENSITY ON THE SPACE-TO-EARTH LINK

The CCSDS,

considering

- (a) that symbol clock recovery systems usually extract the clock's frequency from the received coded symbol transitions;
- (b) that a large imbalance between ones and zeros in the data stream could result in a bit-error-rate degradation in the coded symbol detection process;
- (c) that NRZ waveforms are widely used in standard modulation systems;
- (d) that NRZ waveforms require sufficient coded symbol transitions for coded symbol clock recovery;
- (e) that the tracking system loop bandwidth is usually less than, or equal to, one percent of the coded symbol rate;
- (f) that, for Category A, the specified degradation in bit error rate, due to coded symbol sync error, is usually less than 0.3 dB;
- (g) that, for Category B, the specified degradation in bit error rate, due to coded symbol sync error, is usually less than 0.1 dB;
- (h) that coded symbol transitions are not a sufficient condition to ensure a stable lock condition;
- (i) that the use of a pseudo-randomizer will improve the stability of lock conditions;

- (1) that the maximum string of either ones or zeros be limited to 64 coded symbols;
- (2) that, for Category A, a minimum of 125 transitions occur in any sequence of 1000 consecutive coded symbols;
- (3) that, for Category B, a minimum of 275 transitions occur in any sequence of 1000 consecutive coded symbols;
- (4) that both Category A and B missions follow the guidance of CCSDS Recommended Standard *TM Synchronization and Channel Coding*, CCSDS 131-B-3, September 2017, or later issue with respect to the use of a pseudo-randomizer.

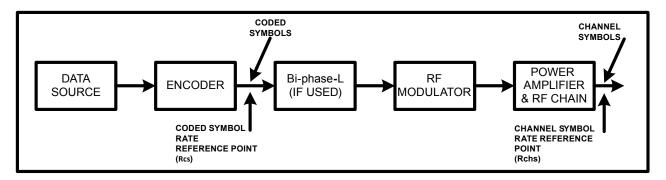


Figure 2.4.9-1: Telemetry Rates Definition

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2.4.10 CHANNEL INPUT AND CODING CONVENTIONS FOR QPSK SYSTEMS

The CCSDS,

considering

- (a) that a clear relation between digital information and the resulting RF carrier phase is necessary to reconstruct the digital data stream following reception and demodulation;
- (b) that the digital data format will conform to the CCSDS Recommendation for *Packet Telemetry*;
- (c) that some communications systems with high data rate transmission requirements use QPSK modulation;
- (d) that the phase states representing each of the possible bit-pair values should be judiciously chosen so that a phase error of 90 degrees can cause an error in no more than one bit;
- (e) that it should be possible to have two logically independent channels;
- (f) that in the case of a single data stream the odd and even bits should be forwarded to two independent channels;

recommends

- (1) that the serial input digital data stream to QPSK systems be divided so that even bits (i.e., bits 2i where i = 0, 1, 2, ... (N/2) 1) are modulated on the I-channel and odd bits (i.e., bits 2i+1) are modulated on the Q-channel (see also the bit numbering convention in figure 2.4.10-1);
- (2) that carrier phase states have the following meanings as given in figure 2.4.10-2:
 - 45 degrees represents a "00" (IQ) bit pair,
 - 135 degrees represents a "10" (IQ) bit pair,
 - 225 degrees represents a "11" (IQ) bit pair,
 - 315 degrees represents a "01" (IQ) bit pair.

The following convention is used to identify each bit in an *N*-bit field. The first bit in the field to be transmitted (i.e., the most left justified when drawing a figure) is defined to be 'Bit 0', the following bit is defined to be 'Bit 1', and so on up to 'Bit N-1'. When the field is used to express a binary value (such as a counter), the Most Significant Bit (MSB) shall be the first transmitted bit of the field, i.e., 'Bit 0' (see figure 2.4.10-1).

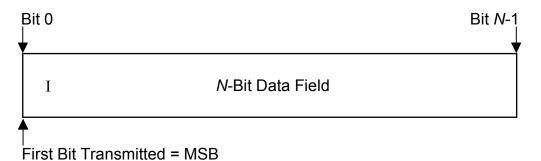


Figure 2.4.10-1: Bit Numbering Convention

2.4.10 CHANNEL INPUT AND CODING CONVENTIONS FOR QPSK SYSTEMS (Continued)

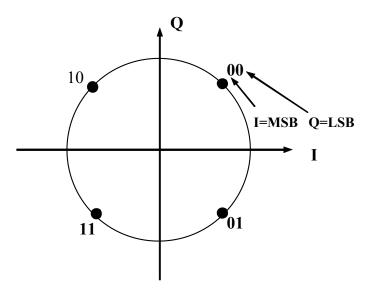


Figure 2.4.10-2: Constellation Mapping

2.4.11 PHASE-AMBIGUITY RESOLUTION FOR QPSK/OQPSK MODULATION SYSTEMS USING A SINGLE DATA SOURCE¹

The CCSDS,

considering

- (a) that resolution of phase ambiguities in the Earth station's receiver is an inherent problem with systems using coherent Quaternary Phase-Shift-Keying (QPSK) and Offset QPSK (OQPSK) modulation;
- (b) that bit mapping conventions for QPSK systems are unambiguously defined in CCSDS Recommendation 401 (2.4.10);
- (c) that the phase ambiguity results from the lack of transmission of reference phase information, thus making it impossible for the receiver's carrier recovery circuitry to select the correct reference phase from the four possible stable lock points (table 2.4.11-1);
- (d) that when convolutional encoding is used, some Agencies perform node synchronization based on the encoded frame synchronization marker before the convolutional decoder, while some Agencies use the metric growth in the convolutional decoder;
- (e) that the phase-ambiguity can be resolved by using the techniques listed in figure 2.4.11-1;
- (f) that the several methods for resolving the phase ambiguity depicted in figure 2.4.11-1 are evaluated in table 2.4.11-2;
- (g) that most space agencies currently employ differential data formatting and synchronization (sync) markers for framed data transmission;
- (h) that any of the four possible phase states result in an unambiguously identifiable unique word pattern according to table 2.4.11-1 which can be used to resolve the phase ambiguity;
- (i) that the sync markers already existing in the framed data transmission can be used as the unique words for resolving the phase ambiguity;
- (j) that even though a single convolutional encoder can be used prior to the I/Q split in the transmitter, there is a penalty (in terms of higher E_b/N_o) to allowing the single decoder to resolve the phase ambiguity;

- (1) that, if the capability exists in the ground stations, sync marker(s) shall be used to resolve the phase ambiguity;
- (2) that when sync marker(s) are used with coded systems, the synchronization shall be performed prior to convolutional decoding;
- (3) that the differential data formatting techniques defined in CCSDS recommendation 401 (2.4.2) shall be used when the sync marker is not used;
- (4) that when differential data formatting is used with coded systems, the I and Q channels shall be encoded (and therefore decoded) independently with the differential data formatting performed prior to convolutional encoding.

¹ Such systems employ a single, serial data stream, and the bit mapping ambiguity is resolved in accordance with CCSDS Recommendation 401 (2.4.10) B-1.

2.4.11 PHASE-AMBIGUITY RESOLUTION FOR QPSK/OQPSK MODULATION SYSTEMS USING A SINGLE DATA SOURCE (Continued)

ANNEX TO RECOMMENDATION

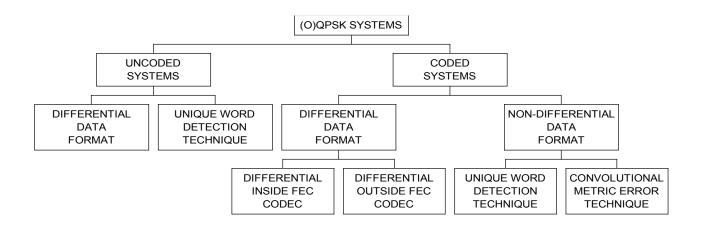


Figure 2.4.11-1: List of Phase-Ambiguity Resolution Techniques

LEGEND:

FEC: Forward-Error-Correction **CODEC:** Encoder and Decoder Pair

2.4.11 PHASE-AMBIGUITY RESOLUTION FOR QPSK/OQPSK MODULATION SYSTEMS USING A SINGLE DATA SOURCE (Continued)

ANNEX TO RECOMMENDATION (Continued)

TABLE 2.4.11-1: RELATIONSHIPS BETWEEN THE TRANSMITTED AND RECEIVED DATA

CARRIER	RECEIVED DATA
PHASE ERROR (DEGREES)	I_R Q_R
0	I _T Q _T
90	$-Q_T$ I_T
180	$-I_T$ $-Q_T$
270	Q _T –I _T

NOTE – The negative sign indicates the complement of the data.

2.4.11 PHASE-AMBIGUITY RESOLUTION FOR QPSK/OQPSK MODULATION SYSTEMS USING A SINGLE DATA SOURCE (Continued)

ANNEX TO RECOMMENDATION (Continued)

TABLE 2.4.11-2: SUMMARY OF THE SALIENT FEATURES OF THE PREFERRED TECHNIQUES

AVAILABLE TECHNIQUES	BIT ERROR RATE (BER) DEGRADATION	ADVANTAGES & DISADVANTAGE
UNIQUE WORD DETECTION	NONE	- INCREASE EARTH STATION COMPLEXITY
DIFFERENTIAL DATA FORMATTING WITHOUT FORWARD-ERROR-CORRECTION (FEC)	INCREASES BY APPROXIMATELY A FACTOR OF TWO	- SIMPLE TO IMPLEMENT - CAN CAUSE DEGRADATION IN THE DETECTION OF THE TRANSMITTED SYNC MARKERS
DIFFERENTIAL DATA FORMATTING INSIDE THE FEC ENCODER AND DECODER PAIR (CODEC)	ABOUT 3 dB FOR CONVOLUTIONAL CODE WITH R = ½, K = 7	- PROVIDES QUICK PHASE AMBIGUITY RESOLUTION - REQUIRES OVERPOWERED LINK
DIFFERENTIAL DATA FORMATTING OUTSIDE THE FEC CODEC	SMALL	- REQUIRES DIFFERENTIAL DECODERS AT THE STATION

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2.4.12A MAXIMUM PERMISSIBLE PHASE AND AMPLITUDE IMBALANCES FOR SUPPRESSED CARRIER (BPSK/(O)QPSK/GMSK/8PSK) RF MODULATORS FOR SPACE-TO-EARTH LINKS, CATEGORY A

The CCSDS,

considering

- (a) that suppressed carrier modulation (PSK) is recommended by CCSDS 401.0 (2.3.2) for spacecraft telemetry transmissions whenever practicable and in any case when residual carrier modulation would exceed PFD limits on the Earth's surface;
- (b) that Filtered OQPSK and GMSK modulations are recommended by CCSDS 401.0 (2.4.17A) for high rate telemetry in the 2 and 8 GHz Category A Space Research bands, by CCSDS 401.0 (2.4.18) in the 8 GHz Earth Exploration-Satellite band, and by CCSDS 401.0 (2.4.21A) in the 26 GHz Category A Space Research band, and Filtered 8PSK modulation is recommended by CCSDS 401.0 (2.4.18) in the 8 GHz Earth Exploration-Satellite band;
- (c) that, for a quadrature modulation, of which the data rate and the power are the same for both In-phase (I) and Quadrature (Q) channels, as well as for a eight-phases modulation, the phase and amplitude imbalances contribute to the generation of cross-talk between channels through either a failure of maintaining the inter-channel orthogonality or an imperfect carrier tracking, which can be detrimental to the system performance;
- (d) that a phase imbalance of less than 5 degrees and an amplitude imbalance of less than 0.5 dB should result in acceptable performance degradations for near-Earth missions;
- (e) that an AM/PM slope for non-linear amplifiers of less than 3.5°/dB for the 2 and 8 GHz bands and less than 5°/dB for the 26 GHz band is typical and results in acceptable performance degradations for near-Earth missions;

recommends

that the modulator's phase imbalance shall not exceed 5 degrees and the amplitude imbalance shall not exceed 0.5 dB between the constellation points in a suppressed carrier RF modulation system using BPSK, (O)QPSK, Filtered OQPSK, GMSK ($BT_s = 0.25$), or Filtered 8PSK.

Earth Stations and Spacecraft

2.4.12B MAXIMUM PERMISSIBLE PHASE AND AMPLITUDE IMBALANCES FOR SUPPRESSED CARRIER (BPSK/(O)QPSK/GMSK) RF MODULATORS FOR SPACE-TO-EARTH LINKS, CATEGORY B

The CCSDS,

considering

- (a) that suppressed carrier modulation (PSK) is recommended by CCSDS 401.0 (2.3.2) for spacecraft telemetry transmissions in the Space Research Category B bands;
- (b) that Gaussian Minimum Shift Keying with $BT_s=0.5$ is recommended by CCSDS 401.0 (2.4.17B) for high rate telemetry in the 2 and 8 GHz Category B bands and by CCSDS 401.0 (2.4.20B) for high rate telemetry in the 32 GHz Category B band;
- (c) that, for a quadrature modulation, of which the data rate and the power are the same for both In-phase (I) and Quadrature (Q) channels, the phase and amplitude imbalances contribute to the generation of cross-talk between channels through either a failure of maintaining the interchannel orthogonality or an imperfect carrier tracking, which can be detrimental to the system performance;
- (d) that a phase imbalance of less than 5 degrees and an amplitude imbalance of less than 0.5 dB should result in acceptable performance degradations for Category B missions;

recommends

that the modulator's phase imbalance shall not exceed 5 degrees and the amplitude imbalance shall not exceed 0.5 dB between the constellation points for suppressed carrier systems using BPSK, (O)QPSK, or GMSK ($BT_s=0.5$).

Earth Stations and Spacecraft

2.4.13B MAXIMUM PERMISSIBLE PHASE AND AMPLITUDE IMBALANCES FOR SPACECRAFT SUBCARRIER MODULATORS, CATEGORY B

The CCSDS,

considering

- (a) that the balanced modulator is widely used in phase-modulated residual carrier systems as the product modulator for modulating telemetry data on a subcarrier;
- (b) that imperfect subcarrier modulation, caused by phase and amplitude imbalances, results in subcarrier harmonics which, when modulated on the RF carrier, produce an interfering component at the carrier frequency;
- (c) that the interfering component at the RF phase modulator's output may be out of phase with respect to the RF residual carrier, making it undesirable;
- (d) that the magnitude of this interfering component is dependent upon the phase and amplitude imbalances present in the subcarrier modulator;
- (e) that, for a phase imbalance not exceeding 2 degrees and an amplitude imbalance not exceeding 0.2 dB, the RF carrier tracking loop is not significantly affected by the interfering component generated by these phase and amplitude imbalances;
- (f) that, in addition to the interfering component, the phase and amplitude imbalances can contribute to the generation of spurious spectral lines at the spacecraft transmitter's output;
- (g) that these spurious spectral lines can degrade the telemetry bit signal-to-noise ratio (SNR);
- (h) that the telemetry bit SNR degradation, due to phase and amplitude imbalances, can be considered as part of the detection loss and this loss is usually less than 0.1 dB;
- (i) that, for a phase imbalance not exceeding 2 degrees and an amplitude imbalance not exceeding 0.2 dB, the telemetry bit SNR degradation is negligible at bit-error-rates (BERs) less than 10^{-6} ;
- (j) that a subcarrier modulator having a phase imbalance of less than 2 degrees and an amplitude imbalance less than 0.2 dB can be implemented without excessive hardware complexity;

- (1) that the maximum phase imbalance of the subcarrier modulator shall not exceed 2 degrees;
- (2) that the maximum amplitude imbalance of the subcarrier modulator shall not exceed 0.2 dB.

Earth Stations and Spacecraft

2.4.14A ALLOWABLE VALUES FOR TELEMETRY SUBCARRIER FREQUENCY-TO-CODED-SYMBOL RATE RATIOS FOR PCM/PSK/PM MODULATION IN THE 2 AND 8 GHz BANDS, CATEGORY A

The CCSDS,

considering

- (a) that, for Category A missions, a PCM/PSK/PM modulation scheme with a sine-wave subcarrier is typically used for transmission of low data rates;
- (b) that integer subcarrier frequency-to-coded-symbol rate ratios (*n*) result in a data spectral density minimum around the carrier frequency;
- (c) that the subcarrier frequency-to-coded-symbol rate ratio (*n*) should be minimized to avoid unnecessary occupation of the frequency spectrum;
- (d) that the lowest practicable value of n can be determined by the amount of acceptable interference from the data spectrum (I) into the carrier tracking loop bandwidth (B_L);
- (e) that, for Category A missions, a 0.3 dB degradation in the coded symbol detection process shall not be exceeded, which requires a 15 dB Carrier-to-Noise ratio (C/N) in the carrier tracking loop, when using CCSDS concatenated coding schemes;
- (f) that any additional degradation, due to data interference in the carrier tracking loop, shall be insignificant for which a C/I ratio greater than 20 dB is considered adequate;
- (g) that, for small ratios of coded symbol rate-to-carrier tracking loop bandwidth, the modulation index has to be adjusted accordingly in order to achieve the required loop SNR resulting in a nearly constant C/I versus B_L/R_S ;
- (h) that, in the presence of only one telemetry signal, a small value of n (n = 4) is generally sufficient to obtain the required performance under typical operating conditions for subcarrier frequencies above 60 kHz;
- (i) that for higher coded symbol rates, the presence of telecommand feed-through and/or ranging signals may require the selection of a slightly higher value of *n*;
- (j) that CCSDS Recommendation 2.4.3 provides guidance regarding the use of subcarriers in low bit rate residual carrier telemetry systems;

- (1) that the subcarrier frequency-to-coded-symbol rate ratio, *n*, be an integer value;
- (2) that a subcarrier frequency-to-coded-symbol rate ratio of 4 be selected for subcarrier frequencies above 60 kHz unless recommends (3) applies;
- (3) that, in the case of spectral overlaps with other signal components, the minimum integer value of n be selected to permit no more than a 0.3 dB degradation in the coded symbol detection process.

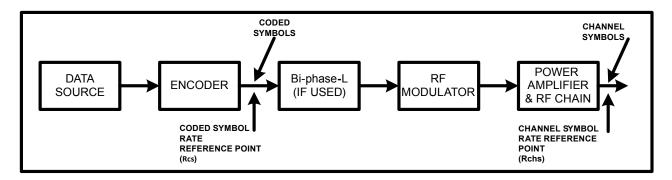


Figure 2.4.14A-1: Telemetry Rates Definition

Earth Stations and Spacecraft

2.4.14B ALLOWABLE VALUES FOR TELEMETRY SUBCARRIER FREQUENCY-TO-CODED-SYMBOL RATE RATIOS FOR PCM/PSK/PM MODULATION IN THE 2 AND 8 GHz BANDS, CATEGORY B

The CCSDS,

considering

- (a) that, for Category B missions, a PCM/PSK/PM modulation scheme with a square-wave subcarrier is typically used for transmission of low data rates;
- (b) that integer subcarrier frequency-to-coded-symbol rate ratios (*n*) result in a data spectral density minimum around the carrier frequency;
- (c) that the subcarrier frequency-to-coded-symbol rate ratio (*n*) should be minimized to avoid unnecessary occupation of the frequency spectrum;
- (d) that the lowest practicable value of n can be determined by the amount of acceptable interference from the data spectrum (I) into the carrier tracking loop bandwidth (B_L);
- (e) that, for Category B missions, a 0.1 dB degradation in the coded symbol detection process shall not be exceeded, which requires an 18 dB Carrier-to-Noise ratio (C/N) in the carrier tracking loop, when using CCSDS concatenated coding schemes;
- (f) that any additional degradation, due to data interference in the carrier tracking loop, shall be insignificant for which a C/I ratio greater than 25 dB is considered adequate;
- (g) that, for small ratios of coded symbol rate-to-carrier tracking loop bandwidth, the modulation index has to be adjusted accordingly in order to achieve the required loop SNR resulting in a nearly constant C/I versus B_L/R_S ;
- (h) that, in the presence of only one telemetry signal, a small value of n (n = 5) is generally sufficient to obtain the required performance under typical operating conditions for subcarrier frequencies above 60 kHz;
- (i) that for higher coded symbol rates, the presence of telecommand feed-through and/or ranging signals may require the selection of a slightly higher value of *n*;
- (j) that CCSDS Recommendation 2.4.3 provides guidance regarding the use of subcarriers in low bit rate residual carrier telemetry systems;

- (1) that the subcarrier frequency-to-coded-symbol rate ratio, *n*, be an integer value;
- (2) that a subcarrier frequency-to-coded-symbol rate ratio of 5 be selected for subcarrier frequencies above 60 kHz unless recommends (3) applies and that subcarrier frequencies do not exceed 300 kHz;¹
- (3) that, in the case of spectral overlaps with other signal components, the minimum integer value of n be selected to permit no more than a 0.1 dB degradation in the coded symbol detection process.

¹ See SFCG recommendation 23-1 or latest version.

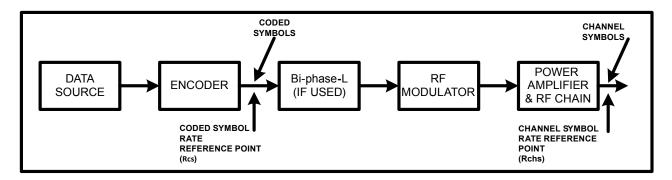


Figure 2.4.14B-1: Telemetry Rates Definition

2.4.15A MINIMUM CODED SYMBOL RATE FOR PCM/PM/BI-PHASE-L MODULATION ON A RESIDUAL RF CARRIER, CATEGORY A

The CCSDS,

considering

- (a) that data modulated on a residual carrier have spectral components which fall into the carrier tracking loop's bandwidth reducing the Carrier-to-Noise ratio (C/N);
- (b) that the level of interference is a function of the carrier tracking loop's bandwidth (B_L) , the coded symbol rate (R_{CS}) , and the modulation index (m);
- (c) that a 0.3 dB degradation in the coded symbol detection process should not be exceeded requiring a Carrier-to-Noise (C/N) ratio in the carrier tracking loop of 10 dB (uncoded case) or 15 dB (CCSDS concatenated coded case);
- (d) that any additional degradation resulting from data interference in the carrier tracking loop must be insignificant requiring a Carrier-to-Interference (C/I) ratio greater than 15 dB (uncoded case) and 20 dB (CCSDS concatenated coded case);

- (1) that, when no coding is employed, figure 2.4.15A-1 should be used for determining coded symbol rates (R_{CS}), relative to loop bandwidth (B_L) where PCM/PM/bi-phase-L modulation is not permitted;
- (2) that, when CCSDS Concatenated coding is employed, figure 2.4.15A-2 should be used for determining coded symbol rates (R_{CS}), relative to loop bandwidth (B_L), where PCM/PM/bi-phase-L modulation is not permitted.

2.4.15A MINIMUM CODED SYMBOL RATE FOR PCM/PM/BI-PHASE-L MODULATION ON A RESIDUAL RF CARRIER, CATEGORY A (Continued)

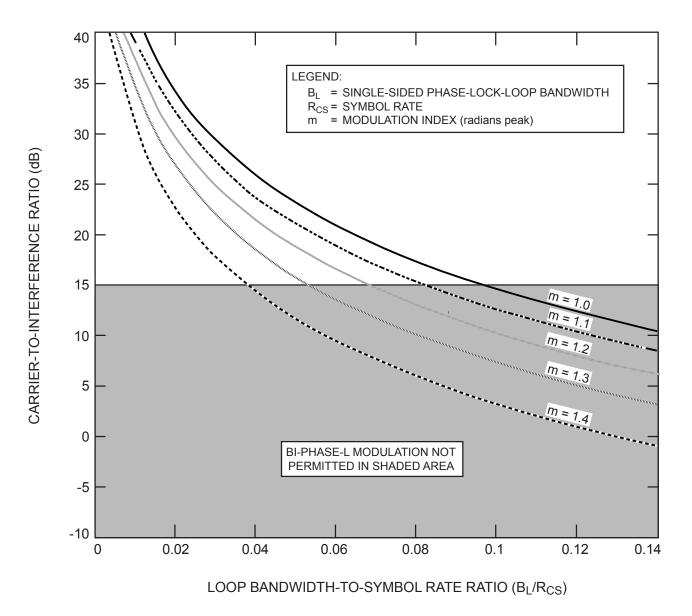
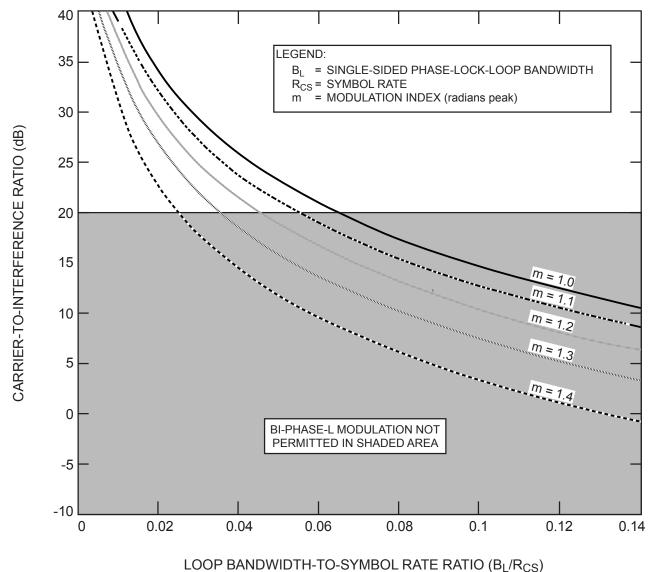


Figure 2.4.15A-1: Operating Region for Use of PCM/PM/Bi-Phase-L Modulation When No Coding Is Employed

2.4.15A MINIMUM CODED SYMBOL RATE FOR PCM/PM/BI-PHASE-L MODULATION ON A RESIDUAL RF CARRIER, CATEGORY A (Continued)



LOOP BANDWIDTH-TO-STMBOL RATE RATIO (BL/RCS)

Figure 2.4.15A-2: Operating Region for Use of PCM/PM/Bi-Phase-L Modulation When CCSDS Concatenated Coding Is Employed

2.4.15A MINIMUM CODED SYMBOL RATE FOR PCM/PM/BI-PHASE-L MODULATION ON A RESIDUAL RF CARRIER, CATEGORY A (Continued)

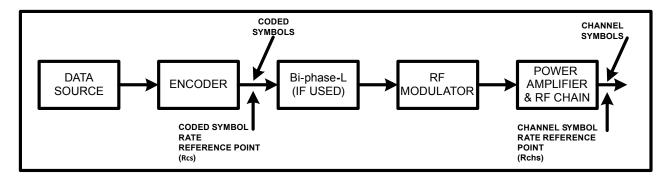


Figure 2.4.15A-3: Telemetry Rates Definition

2.4.15B MINIMUM CODED SYMBOL RATE FOR PCM/PM/BI-PHASE-L MODULATION ON A RESIDUAL RF CARRIER, CATEGORY B

The CCSDS,

considering

- (a) that data modulated on a residual carrier have spectral components which fall into the carrier tracking loop's bandwidth reducing the Carrier-to-Noise ratio (C/N);
- (b) that the level of interference is a function of the carrier tracking loop's bandwidth (B_L) , the coded symbol rate (R_{CS}) , and the modulation index (m);
- (c) that a 0.1 dB degradation in the coded symbol detection process should not be exceeded requiring a Carrier-to-Noise (C/N) ratio in the carrier tracking loop of 12 dB (uncoded case) or 18 dB (CCSDS concatenated coded case);
- (d) that any additional degradation resulting from data interference in the carrier tracking loop must be insignificant requiring a Carrier-to-Interference (C/I) ratio greater than 17 dB (uncoded case) and 25 dB (CCSDS concatenated coded case);

- (1) that, when no coding is employed, figure 2.4.15B-1 should be used for determining coded symbol rates (R_{CS}), relative to loop bandwidth (B_L) where PCM/PM/bi-phase-L modulation is not permitted;
- (2) that, when CCSDS Concatenated coding is employed, figure 2.4.15B-2 should be used for determining coded symbol rates (R_{CS}), relative to loop bandwidth (B_L), where PCM/PM/bi-phase-L modulation is not permitted.

2.4.15B MINIMUM CODED SYMBOL RATE FOR PCM/PM/BI-PHASE-L MODULATION ON A RESIDUAL RF CARRIER, CATEGORY B (Continued)

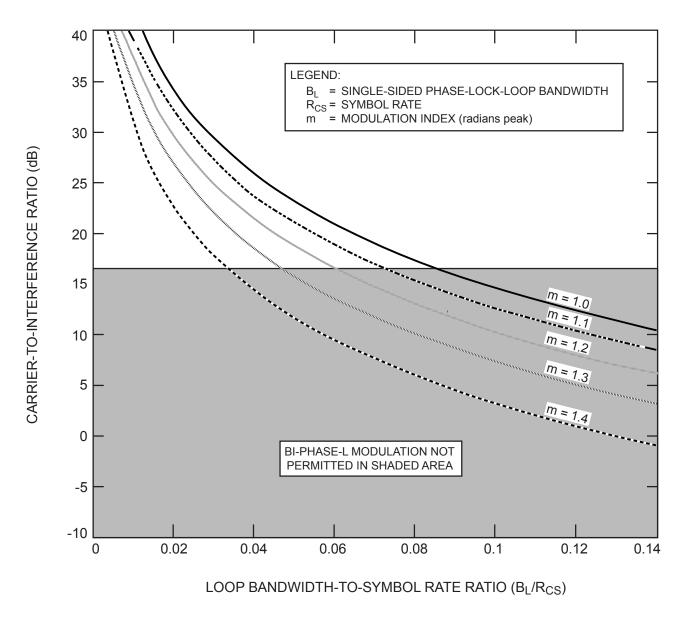


Figure 2.4.15B-1: Operating Region for Use of PCM/PM/Bi-Phase-L Modulation When No Coding Is Employed

2.4.15B MINIMUM CODED SYMBOL RATE FOR PCM/PM/BI-PHASE-L MODULATION ON A RESIDUAL RF CARRIER, CATEGORY B (Continued)

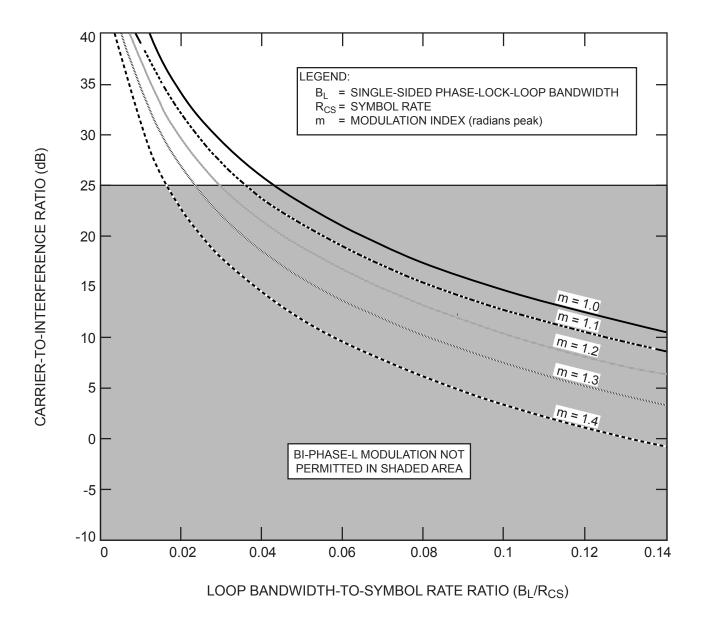


Figure 2.4.15B-2: Operating Region for Use of PCM/PM/Bi-Phase-L Modulation When CCSDS Concatenated Coding Is Employed

2.4.15B MINIMUM CODED SYMBOL RATE FOR PCM/PM/BI-PHASE-L MODULATION ON A RESIDUAL RF CARRIER, CATEGORY B (Continued)

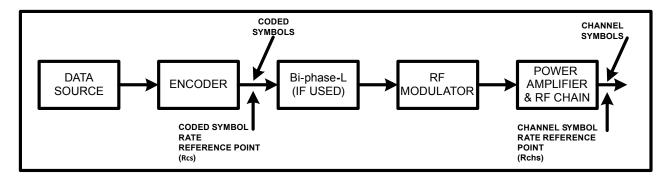


Figure 2.4.15B-3: Telemetry Rates Definition

2.4.16 MAXIMUM PERMISSIBLE SPURIOUS EMISSIONS

The CCSDS,

considering

- (a) that spurious emissions (ITU-RR-145) in the form of spectral lines can cause harmful interference to receiving stations operating in the allocated or adjacent frequency bands;
- (b) that such spurious emissions are caused by technological imperfections in the transmitting station, such as asymmetry of the baseband modulating waveform and crosstalk of the operating frequencies on the electronic power conditioners;
- (c) that current technology permits reduction of these spectral components to -60 dBc or lower;
- (d) that protection criteria specified for neighboring *radiocommunication services* may require additional reduction of spectral components;
- (e) that filtering can be applied if further reduction in the level of spectral components is required;

recommends

that the total power contained in any single spurious emission shall not exceed -60 dBc.¹

¹ dBc is measured with respect to the unmodulated carrier level's total power.

2.4.17A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A

The CCSDS,

considering

- (a) that efficient use of RF spectrum resources is imperative with the increasing congestion of the frequency bands;
- (b) that the SFCG has approved a Recommendation¹ specifying a spectrum mask for *Space Research* Category-A Space-to-Earth links operating in certain bands,² and a Recommendation³ limiting the maximum bandwidth in the 8450–8500 MHz band to 10 MHz per mission;
- (c) that suppressed carrier modulation techniques, such as GMSK,⁴ baseband filtered OQPSK,⁵ and filtered SRRC–8PSK⁶ modulations, can meet the SFCG Recommended¹ spectrum mask for coded symbol rates in excess of 2 coded Msymbol/s;
- (d) that since GMSK modulation is inherently differential in nature, the use of GMSK with precoding is necessary to optimize bit error rate performance;
- (e) that GMSK⁴ and baseband filtered OQPSK⁵ modulation types can be demodulated using a conventional OQPSK receiver, but with differing end-to-end losses;
- (f) that GMSK⁴ and baseband filtered OQPSK⁵ modulations have only a small performance degradation as compared with ideal unfiltered suppressed carrier systems, and that the performance degradation of filtered SRRC-8PSK⁶ can be compensated by missions requiring higher coded symbol rates;
- (g) that some space agencies currently have no plans to modify their existing OQPSK ground station receivers to optimize reception of GMSK⁴ and baseband filtered OQPSK⁵ signals, so that these two modulation techniques will incur greater losses than unfiltered OQPSK;⁵
- (h) that GMSK and baseband filtered OQPSK modulations have immunity to interference (wideband and narrow band) comparable to unfiltered BPSK when demodulated with an OQPSK receiver matched to an unfiltered OQPSK waveform; the interference immunity of these modulations when demodulated with matched filter receivers is equivalent to or better than BPSK;
- (i) that a phase imbalance of less than 5 degrees and an amplitude imbalance of less than 0.5 dB should result in acceptable performance degradation;

⁶ Square Root Raised Cosine filter with $\alpha = 0.35$ (see Annex 1).

¹ See SFCG Recommendation 21-2R4 or latest version.

² Category A bands are: 2200-2290 MHz and 8450-8500 MHz.

³ See SFCG Recommendation 5-1R5 or latest version.

⁴ Gaussian Minimum Shift Keying ($BT_s = 0.25$), with pre-coding as in figure 2.4.17A-1 (see CCSDS 413.0-G-3). B refers to the one-sided 3-dB bandwidth of the filter.

⁵ Filtered (Square Root Raised Cosine $\alpha = 0.5$) Offset QPSK; Butterworth 6 poles, BT_s = 0.5; agencies may also utilize filtered OQPSK modulation with other types of bandpass filters provided that the equivalent baseband BT_s is not greater than 0.5 and they ensure compliance with SFCG Recommendation 21-2R2 (or latest version) and interoperability with the cross-supporting networks. B refers to the one-sided 3-dB bandwidth of the filter.

Earth Stations and Spacecraft

2.4.17A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A (Continued)

noting

- (i) that the constellation bit mapping for SRRC-8PSK is different for coding in accordance with CCSDS 131.0-B-3, CCSDS 131.2-B-1, and CCSDS 131.3-B-1;
- (ii) that the sensitive deep space allocation (Category B) at 8400–8450 MHz has to be protected from Category A emission in the adjacent 8450–8500 MHz band;

recommends⁷

- (1) that, to comply with the SFCG Recommendation^{1,3} and to ensure an ability to obtain crosssupport in certain *Space Research* service bands² GMSK⁴ or baseband filtered OQPSK⁵ be used for space-to-Earth transmissions when the telemetry coded symbol rates exceed 2 coded Msymbol/s.
- (2) that, to comply with the SFCG Recommendations,^{1,3} SRRC-8PSK⁶ be used for space-to-Earth transmissions when the coded symbol rates exceed approximately 10 coded Msymbol/s in the 8450–8500 MHz band;
- (3) that the modulator's phase imbalance shall not exceed 5 degrees, and the amplitude imbalance shall not exceed 0.5 dB between the constellation points;
- (4) that Category A missions in the 8450–8500 MHz band consider using a post-HPA filter to protect adjacent Category B missions;
- (5) that when SRRC-8PSK⁶ is used, the phase noise of the communication chain should be limited according to the mask given in figure 2.4.17A-3 for coded symbol rates equal to or above 10 coded Msymbol/s for which the lower mask limit shall be 100 Hz; that the same mask should be used for coded symbol rates below 10 coded Msymbol/s by setting the lower validity limit in accordance with the mission coded symbol rate and PLL loop bandwidth.

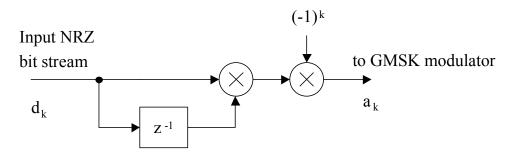


Figure 2.4.17A-1: GMSK Precoder

⁷ Space agencies requiring cross-support should consider the performance degradation of the filtered OQPSK and GMSK modulation techniques when received with unmatched demodulators at existing ground stations (see performance data in CCSDS 413.0-G-3); the ordering of modulation types does not imply a preference.

2.4.17A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A (Continued)

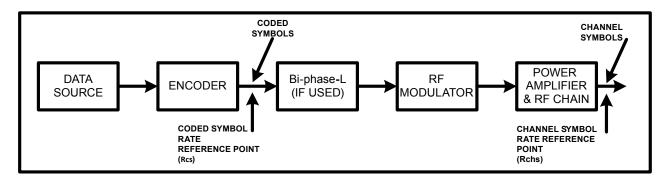


Figure 2.4.17A-2: Telemetry Rates Definition

2.4.17A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A (Continued)

ANNEX 1

8PSK Modulation Specifications

(Normative)

A1.1 GENERAL

The modulation formats here specified shall follow the template provided in table 2.4.17A-1.

Item	8PSK
Number of constellation concentric circumferences	1
Number of uniformly spaced points per circumference	N ₁ =8
Ratio of outer circle to inner circle radius	N.A.
Radii relation for unit average channel symbol level (average channel symbol energy =1)	N.A.
Bit-to-channel-symbol mapping	Bits 3i (MSB), 3i+1, and 3i+2 (LSB) determine the i th 8PSK symbol.
Constellation proper	(See figures 2.4.17A-5 and 2.4.17A-6.)

Table 2.4.17A-1: Modulation Definition

A1.2 SRRC CHANNEL FILTERING

The transfer function of the SRRC filter shall be:^{8,9}

$$H(f) = 1 \qquad \text{if } |f| < f_N(1-\alpha)$$
$$H(f) = \sqrt{\frac{1}{2} + \frac{1}{2} \sin\left\{\frac{\pi}{2f_N}\left(\frac{f_N - |f|}{\alpha}\right)\right\}} \qquad \text{if } f_N(1-\alpha) \le |f| \le f_N(1+\alpha)$$
$$H(f) = 0 \qquad \text{if } |f| > f_N(1+\alpha)$$

where $f_N = 1/(2 T_{chs}) = R_{chs}/2$ is the Nyquist frequency and α is the roll-off factor. The specified value for the roll-off factor is $\alpha = 0.35$.

⁸ SRRC filtering can be practically implemented with baseband filters able to fulfill SFCG Recommendation 21-2R4 (see CCSDS 413.0-G-3).

⁹ This formulation yields an impulse-response function with dimensions of Hz (or 1/s). Sometimes in literature the transfer function is shown with a multiplication factor $\sqrt{T_{chs}}$ in front.

2.4.17A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A (Continued)

ANNEX 1 (Continued)

A1.3 PHASE NOISE

Figure 2.4.17A-3 shows the double-sided phase noise mask 2L(f) in dBc/Hz versus frequency in Hz.

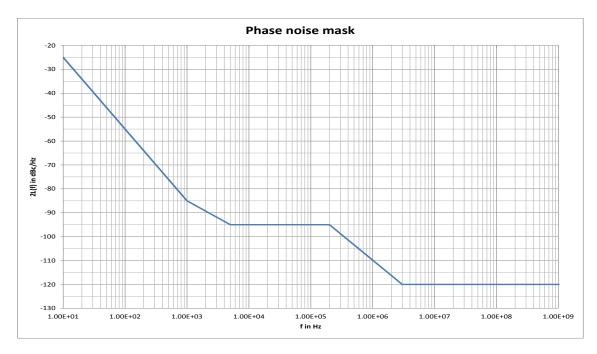
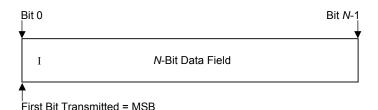


Figure 2.4.17A-3: Phase Noise Mask Recommendation

A1.4 BIT MAPPING TO CONSTELLATION

The following convention is used to identify each bit in an *N*-bit field. The first bit in the field to be transmitted (i.e., the most left justified when drawing a figure) is defined to be 'Bit 0', the following bit is defined to be 'Bit 1', and so on up to 'Bit N-1'. When the field is used to express a binary value (such as a counter), the Most Significant Bit (MSB) shall be the first transmitted bit of the field, i.e., 'Bit 0' (see figure 2.4.17A-4).





2.4.17A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A (Continued)

ANNEX 1 (Continued)

For instance, bits 3i, 3i+1, 3i+2 of the modulator input determine the ith 8PSK symbol, where i = 0, 1, 2,..., (N/3)-1, and N is the block size to be transmitted.

Modulations with coding in accordance with CCSDS 131.2-B-1 shall employ a conventional Graycoded constellation with absolute mapping (no differential coding) as in figure 2.4.17A-5 and with associated bit numbering convention as in figure 2.4.17A-4.

Modulations with coding in accordance with CCSDS 131.3-B-1 or when used with coding specified in CCSDS 131.0-B-3 shall employ a conventional Gray-coded constellation with absolute mapping (no differential coding) as in figure 2.4.17A-6 and with associated bit numbering convention as in figure 2.4.17A-4.

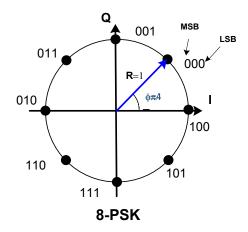


Figure 2.4.17A-5: 8PSK Symbol Mapping into Constellation (CCSDS 131.2-B-1)

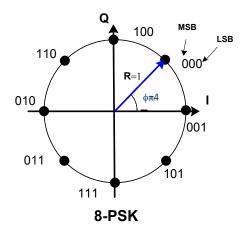


Figure 2.4.17A-6: 8PSK Symbol Mapping into Constellation (CCSDS 131.0-B-3 and CCSDS 131.3-B-1)

2.4.17A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A (Continued)

ANNEX 1 (Continued)

A1.5 CODING OPTIONS

Any coding option^{10,11} among the following subsets shall be used:

CCSDS 131.0-B-3 LDPC 2/3, LDPC 4/5, LDPC 223/255 CCSDS 131.2-B-1 ACM9, ACM10, ACM11, ACM12 CCSDS 131.3-B-1 MODCOD13, MODCOD14, MODCOD15, MODCOD16, MODCOD17

Such choice allows transmitting information bit rates in the 7–11 Mb/s range without post-HPA filtering and in the 14–21 Mb/s range with post-HPA filtering while fitting into a 10-MHz channel^{3, 12} as specified for the 8450–8500 MHz allocation.

In case the information bit rate does not need to be higher than 7 Mb/s but SRRC-8PSK is preferred, any coding options^{10,11} among the following subset may also be used:

CCSDS 131.0-B-3 LDPC 1/2 CCSDS 131.2-B-1 ACM7, ACM8 CCSDS 131.3-B-1 MODCOD12

¹⁰ CCSDS 131.0-B-3, CCSDS 131.2-B-1, and CCSDS 131.3-B-1 include many coding options to be used in conjunction with VCM or ACM for the Earth Exploration-Satellite Service. For the Space Research Service applications covered by this recommendation, only the few options recommended here are deemed necessary. ¹¹ The given list is not in order of preference.

¹² See Annex 2 for examples of maximum coded symbol rate and information rate calculations.

2.4.17A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A (Continued)

ANNEX 2

8PSK Occupied Bandwidth Calculations

(Informative)

The interest of using 8PSK modulation is in transmitting the highest possible data rate while fitting into a 10-MHz channel as specified for the 8450-8500 MHz allocation by SFCG. Examples of computation of the ITU occupied (99-percent power) bandwidth for the SRRC-8PSK ($\alpha = 0.35$) modulations in this recommendation are given¹³ in table 2.4.17A-2 and table 2.4.17A-3.

In case coding rates are used, in compliance with section A1.5, that are different from the examples in table 2.4.17A-2 and table 2.4.17A-3, a simple scaling factor (given by the ratio of the two coding rates) can be used to approximate the resulting maximum information bit rate in 10 MHz. The maximum rates in 10 MHz given in the tables are rounded to the nearest decimal digits for simplicity. The computation of maximum information bit rate in 10 MHz does not take into account the overhead of frame marker and frame descriptor (approximately 0.24 percent).

	Occupied bandwidth				
Coding rate	wrt channel symbol rate	wrt coded symbol rate at modulator input	wrt information bit rate	Maximum coded symbol rate in 10 MHz	Maximum information bit rate in 10 MHz
0.464	2.55 * Rchs	0.85 * Rcs	1.85 * Rb	11.7 coded Msymbol/s	5.4 Mb/s
0.543	2.55 * Rchs	0.85 * Rcs	1.58 * Rb	11.7 coded Msymbol/s	6.3 Mb/s
0.612	2.55 * Rchs	0.85 * Rcs	1.39 * Rb	11.7 coded Msymbol/s	7.1 Mb/s
0.701	2.44 * Rchs	0.81 * Rcs	1.16 * Rb	12.3 coded Msymbol/s	8.6 Mb/s
0.790	2.44 * Rchs	0.81 * Rcs	1.02 * Rb	12.3 coded Msymbol/s	9.7 Mb/s
0.879	2.44 * Rchs	0.81 * Rcs	0.92 * Rb	12.3 coded Msymbol/s	10.8 Mb/s

Table 2.4.17A-2: 8PSK Occupied Bandwidth Examples (without Post-HPA Filter)

¹³ These values are derived from CCSDS 130.11-G-1, *SCCC—Summary of Definition and Performance* (Green Book, Issue 1, April 2019).

2.4.17A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY A (Continued)

ANNEX 1 (Continued)

The examples of table 2.4.17A-3 assume that a post-HPA filter is used with following characteristics:¹⁴

- 5th order elliptic filter
- Passband = 1.5 * Rchs
- Stopband = 1.25*Passband
- Ripple in Passband < 0.1 dB

Table 2.4.17A-3: 8PSK Occupied Bandwidth Examples (with Post-HPA filter)

	Occupied bandwidth				
Coding rate	wrt channel symbol rate	wrt coded symbol rate at modulator input	wrt information bit rate	Maximum coded symbol rate in 10 MHz	Maximum information bit rate in 10 MHz
0.464	1.29 * Rchs	0.43 * Rcs	0.93 * Rb	23.2 coded Msymbol/s	10.7 Mb/s
0.543	1.29 * Rchs	0.43 * Rcs	0.79 * Rb	23.2 coded Msymbol/s	12.6 Mb/s
0.612	1.29 * Rchs	0.43 * Rcs	0.70 * Rb	23.2 coded Msymbol/s	14.2 Mb/s
0.701	1.27 * Rchs	0.42 * Rcs	0.60 * Rb	23.6 coded Msymbol/s	16.5 Mb/s
0.790	1.27 * Rchs	0.42 * Rcs	0.53 * Rb	23.6 coded Msymbol/s	18.7 Mb/s
0.879	1.27 * Rchs	0.42 * Rcs	0.48 * Rb	23.6 coded Msymbol/s	20.8 Mb/s

The examples of table 2.4.17A-2 and table 2.4.17A-3 do not consider pre-distortion of the signal. Whereas constellation pre-distortion does not basically change the occupied bandwidth for 8PSK modulation, a signal-level pre-distortion, which is implementation specific, typically reduces the spectral regrowth of the first sidelobes. Therefore, the numbers in the above tables can be considered worst case values.

¹⁴ More information is provided in CCSDS 130.11-G-1, *SCCC—Summary of Definition and Performance* (Green Book, Issue 1, April 2019).

2.4.17B MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY B

The CCSDS,

considering

- (a) that in accordance with ITU RR 3.9, efficient use of the RF spectrum resources is required;
- (b) that the SFCG has approved an Efficient Spectrum Utilization Recommendation¹ which specifies maximum allowable bandwidth (B25) guidelines based on the coded symbol rate for emissions in the Space Research, Category B, 8 GHz band;
- (c) that the maximum bandwidth (B25)¹ in the Space Research, Category B, 8 GHz band under the SFCG Recommendation¹ is limited to 12 MHz for non-Mars missions on a non-interfering basis to other missions and 8 MHz in all other cases;
- (d) that the Space Research, Category B, frequency allocation at 2 GHz is 10 MHz, requiring high coded symbol rate users sharing the band to be spectrally efficient;
- (e) that 2 coded Msymbol/s is used as a boundary for the definition of high coded symbol rate for Space Research, Category A, Space-to-Earth transmissions in both the 2 and 8 GHz bands;²
- (f) that GMSK³ is a spectrally efficient modulation with negligible end-to-end losses using an optimized receiver;
- (g) that since GMSK modulation is inherently differential in nature, the use of GMSK with precoding is necessary to optimize bit error rate performance;
- (h) that short periodic data patterns can result in zero power at the carrier frequency;

- (1) that GMSK³ be used for high data rate transmissions whenever practicable and in any case for rates in excess of 2 coded Msymbol/s in communications systems operating in either the 2 or 8 GHz bands, provided that in no case the transmission bandwidth (B25)¹ exceed that recommended by the SFCG;¹
- (2) that Category B missions requiring transmission bandwidths $(B25)^1$ higher than that recommended⁴ by the SFCG in 8 GHz band, use the 31.8–32.3 GHz band instead;
- (3) that CCSDS agencies use a data randomizer as specified in the CCSDS telemetry synchronization and channel coding blue book, CCSDS 131.0-B-3 (or latest edition).

¹ See SFCG Recommendation 23-1 or latest version.

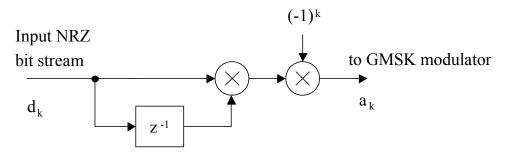
² See CCSDS Recommendation 401 (2.4.17A) B-1.

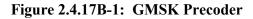
³ Gaussian Minimum Shift Keying ($BT_s = 0.5$), with precoding as in figure 2.4.17B-1 (see CCSDS 413.0-G-3).

B refers to the one-sided 3-dB bandwidth of the filter.

⁴ Under the 12 MHz bandwidth limitation for non-Mars missions on a non-interfering basis, the maximum coded symbol rate using GMSK $BT_s=0.5$ is 9.3 coded Msymbol/s. For Mars missions and non-Mars missions which interfere with Mars missions, the maximum coded symbol rate using GMSK $BT_s=0.5$ is 6.2 coded Msymbol/s.

2.4.17B MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY B (Continued)





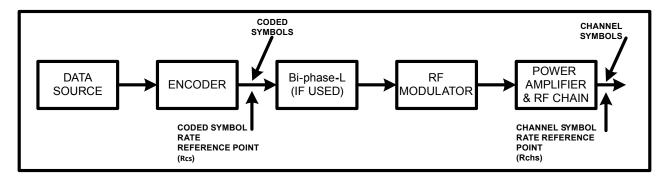


Figure 2.4.17B-2: Telemetry Rates Definition

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH

The CCSDS,

considering

- (a) that efficient use of RF spectrum resources is becoming increasingly important with the increasing congestion of the frequency bands;
- (b) that the 8025–8400 MHz band is heavily used and interference conflicts may become increasingly frequent in this band;
- (c) that the SFCG has approved a Recommendation¹ which specifies a spectrum mask for emissions with coded symbol rates below and above 2 coded Msymbol/s;
- (d) that the SFCG has approved a Recommendation² on the use of the 8025–8400 MHz band recommending that bandwidth- and power-efficient modulation and coding techniques be used;
- (e) that CCSDS 131.0-B-3, CCSDS 131.2-B-1, and CCSDS 131.3-B-1 foresee a number of coding schemes, some of which may be incompatible with the bandwidth-efficient use of the 8025–8400 MHz band;
- (f) that contiguous to 8400 MHz, a particularly sensitive allocation to Space Research, deep space, requires adequate protection from unwanted emissions generated by EES;³
- (g) that only filtered suppressed carrier systems can meet the bandwidth efficiency of the SFCG spectrum mask Recommendation for coded symbol rates in excess of 2 coded Msymbol/s and limit unwanted emissions into the neighboring bands;¹
- (h) that Square Root Raised Cosine (SRRC) filtered 4-Dimensional 8PSK Trellis Coded Modulation (SRRC-4D 8PSK TCM),⁴ SRRC-QPSK, SRRC-OQPSK, SRRC-8PSK, SRRC-16APSK, SRRC-32APSK, and SRRC-64APSK,⁵ and some filtered OQPSK⁶ modulations spectra can meet the SFCG emission mask for coded symbol rates in excess of 2 coded Msymbol/s with acceptable end-to-end losses;
- (i) that baseband filtered QPSK, OQPSK, and 8PSK receivers are readily available in most space agencies' ground networks;
- (j) that baseband filtered receivers for higher order modulations are available through a number of vendors;
- (k) that a phase imbalance of less than 3 degrees and an amplitude imbalance of less than 0.5 dB should result in acceptable performance degradation;

¹ See SFCG Recommendation 21-2R4 or latest version.

² See SFCG Recommendation 14-3R10 or latest version.

³ See SFCG Recommendation 14-1R1 or latest version.

⁴ Square Root Raised Cosine ($\alpha = 0.35$ and $\alpha = 0.5$) 4D 8PSK Trellis Coded Modulation (see Annex 1).

⁵ Square Root Raised Cosine with $\alpha = 0.2, 0.25, 0.3, \text{ and } 0.35$ (see Annex 2).

⁶ Filtered (Square Root Raised Cosine $\alpha = 0.5$) Offset QPSK; Butterworth 6 poles, BT_S = 0.5; agencies may also utilize filtered OQPSK modulation with other types of bandpass filters provided that the equivalent baseband BT_S is not greater than 0.5 and they ensure compliance with SFCG Recommendation 21-2R4 (or latest version) and interoperability with the cross-supporting networks. B refers to the one-sided 3-dB bandwidth of the filter.

CCSDS RECOMMENDATIONS FOR RADIO FREQUENCY AND MODULATION SYSTEMS

Earth Stations and Spacecraft

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

(1) that a channel with in-band ripple up to 0.1 dB, out-of-band rejection of at least 30 dB, and inband (within channel symbol rate) group-delay variations up to 10 percent of the signal duration⁷ should result in acceptable performance degradations even in case no equalization is used at the receiver;

noting

- (i) that filtered OQPSK signals can also be demodulated by unfiltered OQPSK receivers with some mismatching losses;⁸
- (ii) that many missions are currently operating in this band with a signaling efficiency⁹ over 1.75 source bits/channel symbol;
- (iii) that recommended maximum values of phase noise and HPA linearity are needed to ensure small end-to-end losses;
- (iv) that linearization techniques (pre-distortion) or compensation (equalization, centroid tuning) or both can reduce the channel losses, especially for APSK modulations;
- (v) that the use of a frame header and of pilot symbols can improve the acquisition time as well as tracking performance;
- (vi) that the constellation bit mapping for SRRC-4D 8PSK TCM¹⁰ is natural, while constellation bit mappings for SRRC-8PSK, SRRC-16APSK, SRRC-32APSK, and SRRC-64APSK are Gray coded and are different for coding in accordance with CCSDS 131.0-B-3, CCSDS 131.2-B-1 and CCSDS 131.3-B-1;

recommends

- (1) that a mission planning to operate in the 8025–8400 MHz band shall use SRRC-4D 8PSK TCM¹⁰ or SRRC-QPSK, SRRC-OQPSK, SRRC-8PSK, SRRC-16APSK, SRRC-32APSK, and SRRC-64APSK¹¹ or filtered OQPSK;¹²
- (2) that a mission planning to use this band should select the most bandwidth-efficient channel coding scheme from CCSDS 131.0-B-3 or 131.2-B-1 or 131.3-B-1 compatible with the mission constraints;

⁷ 1 ns at 100 Msymbols/s (channel symbol rate).

⁸ See annex B4 of CCSDS 413.0-G-3 for SRRC and Butterworth filtered OQPSK mismatching losses.

⁹ Ratio of source data rate to channel symbol rate.

¹⁰ Available options are 2.0 b/s/Hz, 2.25 b/s/Hz, 2.5 b/s/Hz, and 2.75 b/s/Hz; Square Root Raised Cosine filter with $\alpha = 0.35$ or $\alpha = 0.5$ (see Annex 1).

¹¹ Square Root Raised Cosine filter with $\alpha = 0.2, 0.25, 0.3, \text{ and } 0.35$ (see Annex 2).

¹² Filtered (Square Root Raised Cosine $\alpha = 0.5$) Offset QPSK; baseband Butterworth 6 poles, BT_S = 0.5; agencies may also utilize filtered OQPSK modulation with other types of bandpass filters provided that the equivalent baseband BT_S is not greater than 0.5 and they ensure compliance with SFCG Recommendation 21-2R4 (or latest version) and interoperability with the cross-supporting networks. B refers to the one-sided 3-dB bandwidth of the filter.

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

- (3) that Variable Coding and Modulation (VCM) or Adaptive Coding and Modulation (ACM) techniques should be used where practicable;¹³
- (4) that linearization techniques (pre-distortion) at the transmitter or compensation (such as equalization or centroid tuning) at the receiver should be used to minimize the end-to-end losses at least for 16APSK and higher-order modulations, and in any case if the channel is worse than in considering (k);
- (5) that a frame header (see A2.5) and pilot symbols¹⁴ should be used to improve the acquisition and tracking performance especially for modulations with order higher than 8PSK;
- (6) that the phase noise of the communication chain should be limited according to the mask given in Annex 1 or Annex 2 depending on the selected scheme;¹⁵
- (7) that the modulator's phase imbalance shall not exceed 5 degrees for SRRC-QPSK, SRRC-OQPSK, SRRC-4D 8PSK TCM, SRRC-8PSK, and SRRC-16APSK and 3 degrees for SRRC-32APSK and SRRC-64APSK, and the amplitude imbalance shall not exceed 0.5 dB between the constellation points;
- (8) that the AM/PM slope for the non-linear amplifier shall be less than 5°/dB unless appropriate equalization at the receiver is performed.

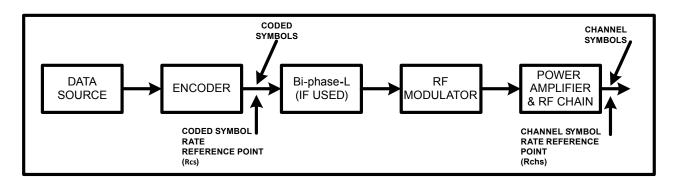


Figure 2.4.18-1: Telemetry Rates Definition

¹³ Relative Recommended Practices (Magenta Books) are under preparation.

¹⁴ For systems compliant with CCSDS 131.2-B-1 and CCSDS 131.3-B-1, the relevant standard should be consulted. For systems compliant with CCSDS 131.0-B-3 (see CCSDS 431.1-R-1).

¹⁵ For filtered OQPSK,¹² Annex 2 applies.

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 1

4-Dimensional 8PSK Trellis Coded Modulation Specifications

(Normative)

A1.1 GENERAL

The 4D-8PSK trellis-coded modulator consists of a serial-to-parallel converter, a differential coder, a trellis encoder (convolutional coder), a constellation mapper, and an 8PSK modulator (see figure 2.4.18-2). It should be noted that in this figure, 'wi' (with index i = 1, ..., m) represent the uncoded bits and 'xj' (with index j = 0, ..., m) are the coded bits. The trellis encoder is based on a 64-state systematic convolutional coder and can be considered as the inner code if an outer block code is introduced. Carrier phase ambiguity is resolved by the use of a differential coder located prior to the trellis encoder. Spectral efficiencies of 2, 2.25, 2.5, and 2.75 bits/channel-symbol are achieved with four possible architectures of the constellation mapper. The output switch addresses successively one of the four symbols ($Z^{(0)} - Z^{(3)}$) from the constellation mapper to the 8PSK modulator.

The present standard is based on the following parameters:

- size of the constellation: M=8 phase states (8PSK);
- number of signal set constituents: L=4 (shown as $Z^{(0)} \dots Z^{(3)}$ in figure 2.4.18-2);
- number of states for the trellis encoder: 64;
- rate of the convolutional coder used for the construction of the trellis: R=3/4;
- rate of the modulation: $R_m = m/(m+1)$ selectable to 8/9, 9/10, 10/11, or 11/12;
- efficiency of the modulation:
 - $R_{eff}=2$ bits per channel-symbol (for $R_m=8/9$);
 - R_{eff}=2.25 bits per channel-symbol (for R_m=9/10);
 - $R_{eff}=2.5$ bits per channel-symbol (for $R_m=10/11$);
 - $R_{eff}=2.75$ bits per channel-symbol (for $R_m=11/12$).

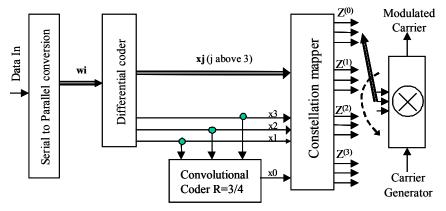


Figure 2.4.18-2: Structure of the 4D 8PSK-TCM Coder/Mapper

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.2 DIFFERENTIAL CODER

The differential coder is depicted in figure 2.4.18-3. Table 2.4.18-1 gives the bit reference at input and output of the differential coder in each case.

Efficiencies in bits /channel-symbol								
2		2.25		2.5		2.75		
bit IN	bit OUT	bit IN	bit OUT	bit IN	bit OUT	bit IN	bit OUT	
w1	x1	w2	x2	w3	x3	w4	x4	
w5	x5	w6	x6	w7	x7	w8	x8	
w8	x8	w9	x9	w10	x10	w11	x11	

An example of differential encoder connections is given in figure 2.4.18-3 for the 2 bits/channelsymbol case. The structure of the modulo-8 adder is also shown; it is applicable to both the coder mapper and differential coder.

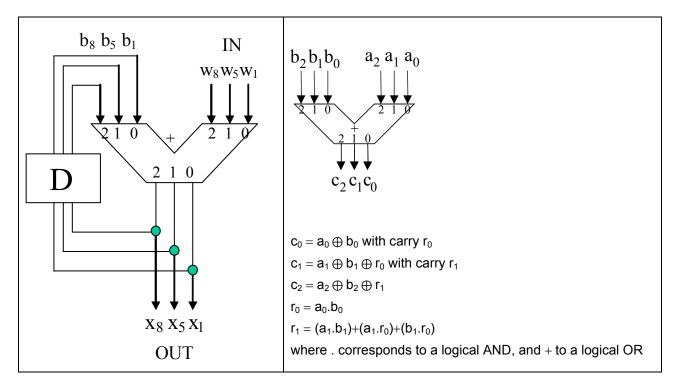


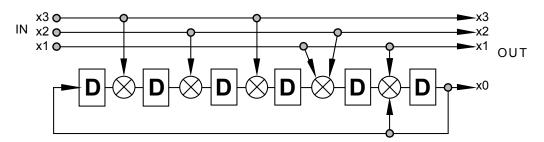
Figure 2.4.18-3: Differential Coder and Modulo-8 Adder Principle

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.3 CONVOLUTIONAL CODER

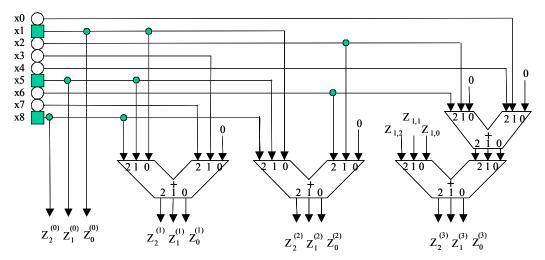
The convolutional coder used to implement the trellis is depicted in figure 2.4.18-4. The shift registers of the encoder are clocked at the rate of $R_{ChS}/4$.





A1.4 CONSTELLATION MAPPER FOR 4D-8PSK-TCM

The constellation mapper principles are given in figures 2.4.18-5 to 2.4.18-8 for the four possible efficiencies of this modulation (i.e., 2 bits/channel-symbol, 2.25 bits/channel-symbol, 2.5 bits/channel-symbol, and 2.75 bits/channel-symbol). These mappers implement the straightforward logical mapping described in the figures below. The correspondence between the signals $Z^{(i)}$ at the input of the modular and the 8PSK phase states of the constellations follows a natural mapping (i.e., 0, 1, 2 ..., 7 anticlockwise).

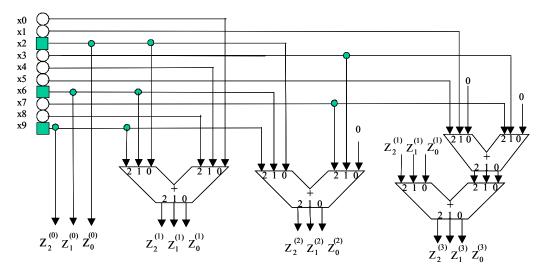


 \blacksquare = line connected to differential coder

O = line connected to serial-to-parallel converter or convolutional coder

Figure 2.4.18-5: Constellation Mapper for 2 Bits/Channel-Symbol

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

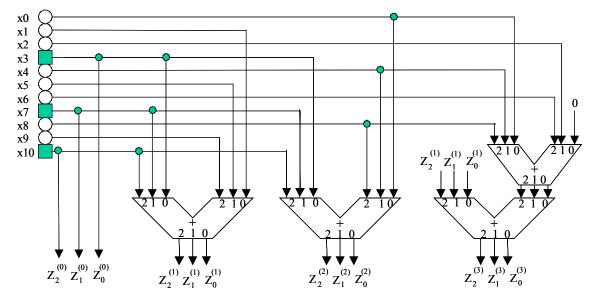


ANNEX 1 (Continued)

 \blacksquare = line connected to differential coder

O = line connected to serial-to-parallel converter or convolutional coder

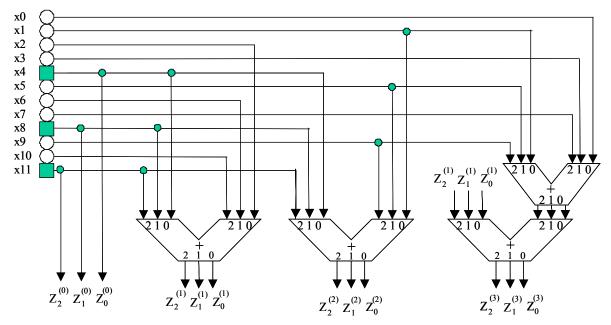
Figure 2.4.18-6: Constellation Mapper for 2.25 Bits/Channel-Symbol



 \blacksquare = line connected to differential coder O = line connected to serial-to-parallel converter or convolutional coder

Figure 2.4.18-7: Constellation Mapper for 2.5 Bits/Channel-Symbol

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)



ANNEX 1 (Continued)

 \blacksquare = line connected to differential coder \bigcirc = line connected to serial to parallel converter or convolutional coder

Figure 2.4.18-8: Constellation Mapper for 2.75 Bits/Channel-Symbol

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.5 CODER/MAPPER IMPLEMENTATION AT 2, 2.25, 2.5, AND 2.75 BITS/CHANNEL-SYMBOL EFFICIENCY

The principle of the coder-mapper for 2, 2.25, 2.5, and 2.75 bits/channel-symbol efficiency is given in figures 2.4.18-9 through 2.4.18-12.

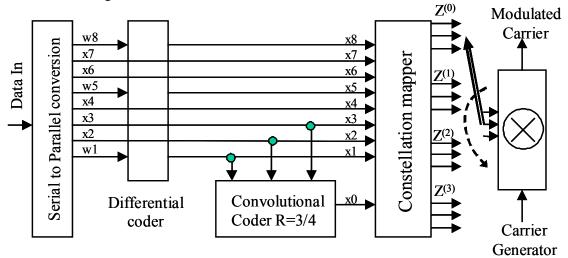


Figure 2.4.18-9: Coder and Mapper Implementation for 2 Bits/Channel-Symbol Efficiency

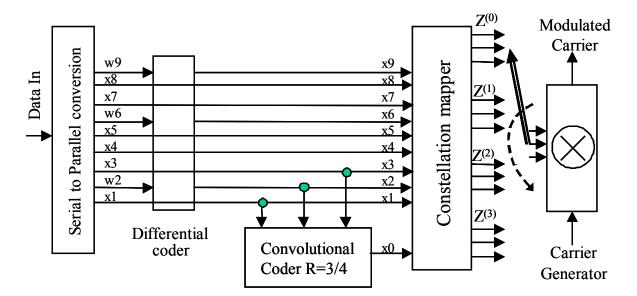
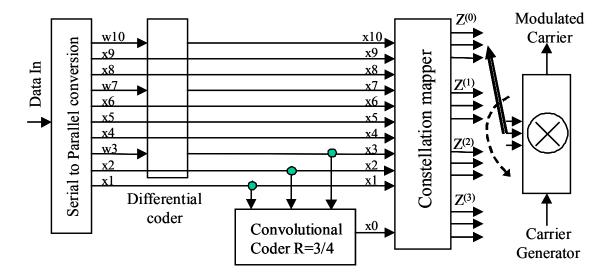


Figure 2.4.18-10: Coder and Mapper Implementation at 2.25 Bits/Channel-Symbol Efficiency

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)



ANNEX 1 (Continued)

Figure 2.4.18-11: Coder and Mapper Implementation at 2.5 Bits/Channel-Symbol Efficiency

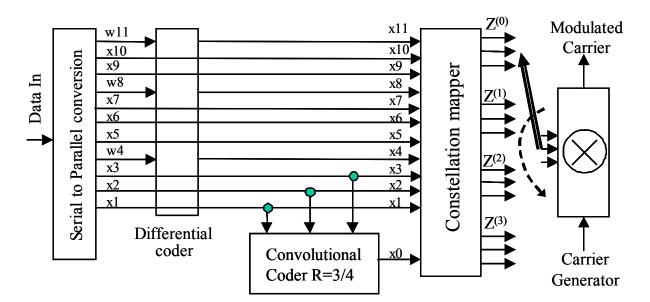


Figure 2.4.18-12: Coder and Mapper Implementation at 2.75 Bits/Channel-Symbol Efficiency

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.6 SRRC CHANNEL FILTERING

The normalized transfer function of the SRRC filter shall be:^{16,17}

$$H(f) = 1 \qquad \text{if } |f| < f_N(1-\alpha)$$

$$H(f) = \sqrt{\frac{1}{2} + \frac{1}{2} \sin\left\{\frac{\pi}{2f_N}\left(\frac{f_N - |f|}{\alpha}\right)\right\}} \qquad \text{if } f_N(1-\alpha) \le |f| \le f_N(1+\alpha)$$

$$H(f) = 0 \qquad \text{if } |f| > f_N(1+\alpha)$$

where $f_N = 1/(2 T_{chs}) = R_{chs}/2$ is the Nyquist frequency and α is the roll-off factor. The specified values for the roll-off factor are $\alpha = 0.35$ and 0.5.

A1.7 PHASE NOISE

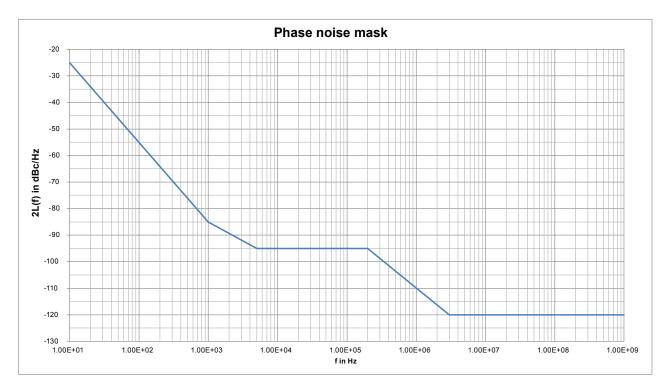
The phase noise for all the oscillators of the communication chain shall be limited according to the mask given in figure 2.4.18-13 for channel symbol rates above 1 Msymbol/s.

NOTE – The figure shows the double sided phase noise mask 2L(f) in dBc/Hz versus frequency in Hz.

¹⁶ SRRC filtering can be practically implemented either with baseband filters or with RF post-amplifier filters, each able to fulfill SFCG Recommendation 21-2R4 (see CCSDS 413.0-G-3).

¹⁷ This formulation yields an impulse-response function with dimensions of Hz (or 1/s). Sometimes in literature the transfer function is shown with a multiplication factor $\sqrt{T_{chs}}$ in front.

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)



ANNEX 1 (Continued)

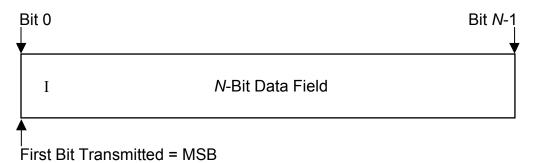
Figure 2.4.18-13: 8PSK Phase Noise Mask Recommendation

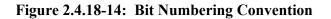
2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.8 BIT MAPPING TO CONSTELLATION

The following convention is used to identify each bit in an *N*-bit field. The first bit in the field to be transmitted (i.e., the most left justified when drawing a figure) is defined to be 'Bit 0', the following bit is defined to be 'Bit 1', and so on up to 'Bit N-1'. When the field is used to express a binary value (such as a counter), the Most Significant Bit (MSB) shall be the first transmitted bit of the field, i.e., 'Bit 0' (see figure 2.4.18-14).





For instance, bits 3i, 3i+1, 3i+2 of the modulator input determine the ith 8PSK symbol, where i = 0, 1, 2,... (*N*/3)-1 and *N* is the block size to be transmitted.

The modulation shall employ a natural mapping constellation (i.e., 0, 1, 2, ..., 7 anticlockwise) as in figure 2.4.18-15 with associated bit numbering convention as in figure 2.4.18-14.

 $Z^{(i)}$ represents the signals (three lines) at the input of the modulator, with $Z^{(0)}$ being the signal set of the first constellation and $Z^{(3)}$ being the signal set of the fourth constellation.

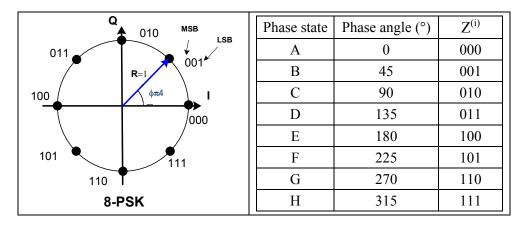


Figure 2.4.18-15: 4D-8PSK-TCM Symbol Mapping into Constellation

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 2

QPSK/OQPSK/8PSK/16APSK/32APSK/64APSK Modulation Specifications

(Normative)

A2.1 GENERAL

The modulation formats here specified shall follow the template provided in table 2.4.18-2, with the relevant parameters that define each constellation. For multi-circle constellations, and in particular for 16- and 32-APSK, different values are provided for the ratio of outer to inner circle radius, optimized based on the code rate used in CCSDS 131.2-B-1 and CCSDS 131.3-B-1.

Item	QPSK and OQPSK	8PSK	16APSK	32APSK	64APSK
Number of constellation concentric circumferences	1	1	2	3	4
Number of uniformly spaced points per circumference	N ₁ =4	N ₁ =8	N ₁ =4, N ₂ =12	N ₁ =4, N ₂ =12, N ₃ =16	N ₁ =4, N ₂ =12, N ₃ =20, N ₄ =28
Ratio of outer circle to inner circle radius	N.A.	N.A.	$\gamma_1=R_2/R_1$ as per CCSDS 131.2-B-1 and CCSDS 131.3-B- 1; when codes from 131.0-B-3 are used as described in 431.0- B-1, the ratios in table 2.4.18-3 shall be used. (See also ¹⁸)	$\begin{array}{l} \gamma_1=R_2/R_1 \text{ and} \\ \gamma_2=R_3/R_1 \text{ as per} \\ \text{CCSDS 131.2-B-1} \\ \text{and CCSDS 131.3-} \\ \text{B-1; when codes} \\ \text{from 131.0-B-3 are} \\ \text{used as described in} \\ \text{431.0-B-1, the ratios} \\ \text{in table 2.4.18-3} \\ \text{shall be used.} \\ \text{(See also }^{19}\text{)} \end{array}$	$\gamma_1 = R_2/R_1 = 2.73$, $\gamma_2 = R_3/R_1 = 4.52$, $\gamma_3 = R_4/R_1 = 6.31$
Radii relation for unit average channel symbol level (average channel symbol energy =1)	N.A.	N.A.	[R ₁] ² +3[R ₂] ² =4	[R ₁] ² +3[R ₂] ² +4[R ₃] ² =8	[R ₁] ² +3[R ₂] ² +5[R ₃] ² +7[R ₄] ² =16

Table 2.4.18-2: Modulation Definition

¹⁸ For a multistandard system, the range to be covered for γ_1 varies from 2.57 to 3.15.

¹⁹ For a multistandard system, the range to be covered for γ_1 varies from 2.53 to 2.84 and the range for γ_2 varies from 4.30 to 5.27.

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Item	QPSK and OQPSK	8PSK	16APSK	32APSK	64APSK
Bit-to-channel-symbol mapping	Bits 2i (MSB) and 2i+1 (LSB) determine the i th QPSK symbol	Bits 3i (MSB), 3i+1 and 3i+2 (LSB) determine the i th 8PSK symbol	Bits 4i (MSB), 4i+1, 4i+2 and 4i+3 (LSB) determine the i th 16APSK symbol	Bits 5i (MSB), 5i+1, 5i+2, 5i+3 and 5i+4 (LSB) determine the i th 32APSK symbol	Bits 6i (MSB), 6i+1, 6i+2, 6i+3, 6i+4 and 6i+5 (LSB) determine the i th 64APSK symbol
Constellation proper	(See figure 2.4.18-18)	(See figures 2.4.18-19 and 2.4.18-20)	(See figure 2.4.18-21)	(See figure 2.4.18- 22)	(See figure 2.4.18-23)

Table 2.4.18-3: Radii Ratios When Codes from 131.0-B-3 Are Used As Described in 431.1-B-1

VCM Mode	Modulation	Code Rate	$\gamma_1 = R_2/R_1$	$\gamma_2 = R_3/R_1$
16	16-APSK	1/2	3.15	N.A.
17	16-APSK	2/3	3.15	N.A.
18	16-APSK	4/5	2.75	N.A.
19	16-APSK	223/255	2.60	N.A.
20	32-APSK	1/2	2.84	5.27
21	32-APSK	2/3	2.84	5.27
22	32-APSK	4/5	2.72	4.87
23	32-APSK	223/255	2.57	4.41

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 2 (Continued)

A2.2 SRRC CHANNEL FILTERING

The transfer function of the SRRC filter shall be:^{20,21}

$$H(f) = 1 \qquad \text{if } |f| < f_N(1-\alpha)$$

$$H(f) = \sqrt{\frac{1}{2} + \frac{1}{2} \sin\left\{\frac{\pi}{2f_N}\left(\frac{f_N - |f|}{\alpha}\right)\right\}} \qquad \text{if } f_N(1-\alpha) \le |f| \le f_N(1+\alpha)$$

$$H(f) = 0 \qquad \text{if } |f| > f_N(1+\alpha)$$

where $f_N = 1/(2 T_{chs}) = R_{chs}/2$ is the Nyquist frequency and α is the roll-off factor. The specified values for the roll-off factor are $\alpha = 0.2, 0.25, 0.3, \text{ and } 0.35$.

A2.3 PHASE NOISE

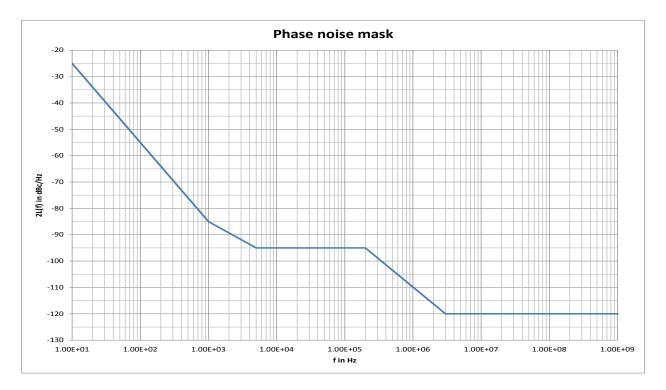
The phase noise for all the oscillators of the communication chain shall be limited according to the mask given in figure 2.4.18-16 for channel symbol rates above 1 Msymbol/s.

NOTE – The figure shows the double-sided phase noise mask 2L(f) in dBc/Hz versus frequency in Hz.

²⁰ SRRC filtering can be practically implemented with baseband filters able to fulfill SFCG Recommendation 21-2R4 (see CCSDS 413.0-G-3).

²¹ This formulation yields an impulse response function with dimensions of Hz (or 1/s). Sometimes in literature the transfer function is shown with a multiplication factor $\sqrt{T_{chs}}$ in front.

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)



ANNEX 2 (Continued)

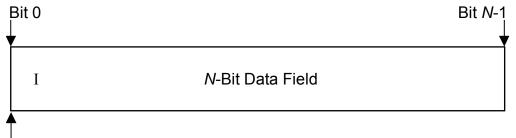
Figure 2.4.18-16: Phase Noise Mask Recommendation

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 2 (Continued)

A2.4 BIT MAPPING TO CONSTELLATION

The following convention is used to identify each bit in an *N*-bit field. The first bit in the field to be transmitted (i.e., the most left justified when drawing a figure) is defined to be 'Bit 0', the following bit is defined to be 'Bit 1', and so on up to 'Bit N-1'. When the field is used to express a binary value (such as a counter), the Most Significant Bit (MSB) shall be the first transmitted bit of the field, i.e., 'Bit 0' (see figure 2.4.18-17).



First Bit Transmitted = MSB

Figure 2.4.18-17: Bit Numbering Convention

For instance, bits 3i, 3i+1, 3i+2 of the modulator input determine the ith 8PSK symbol, where i = 0, 1, 2,..., (N/3)-1, and N is the block size to be transmitted.

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 2 (Continued)

A2.4.1 QPSK and OQPSK

Modulations in accordance with CCSDS 131.2-B-1, CCSDS 131.3-B-1 or when used with coding schemes specified in CCSDS 131.0-B-3, shall employ a conventional Gray-coded constellation²² with absolute mapping (no differential coding) as in figure 2.4.18-18 with associated bit numbering convention as in figure 2.4.18-17.

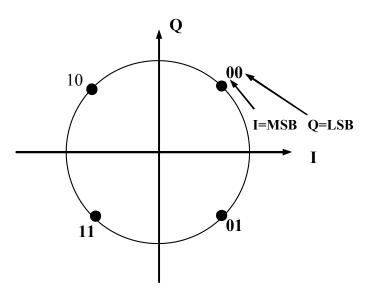


Figure 2.4.18-18: QPSK and OQPSK Symbol Mapping into Constellation

 $^{^{22}}$ The mapping is the same as in recommendation 2.4.10.

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 2 (Continued)

A2.4.2 8PSK

Modulation in accordance with CCSDS 131.2-B-1 shall employ a conventional Gray-coded constellation with absolute mapping (no differential coding) as in figure 2.4.18-19 with associated bit numbering convention as in figure 2.4.18-17. Alternatively, modulation in accordance with CCSDS 131.3-B-1 or when used with coding specified in CCSDS 131.0-B-3 shall employ a conventional Gray-coded constellation with absolute mapping (no differential coding) as in figure 2.4.18-20 with associated bit numbering convention as in figure 2.4.18-17.

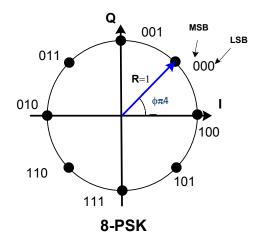


Figure 2.4.18-19: 8PSK Symbol Mapping into Constellation (CCSDS 131.2-B-1)

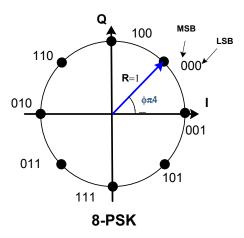


Figure 2.4.18-20: 8PSK Symbol Mapping into Constellation (CCSDS 131.3-B-1 and CCSDS 131.0-B-3)

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 2 (Continued)

A2.4.3 16APSK

Modulations in accordance with CCSDS 131.2-B-1, CCSDS 131.3-B-1 or when used with coding schemes specified in CCSDS 131.0-B-3, shall employ a constellation as in figure 2.4.18-21 and with associated bit numbering convention as in figure 2.4.18-17.

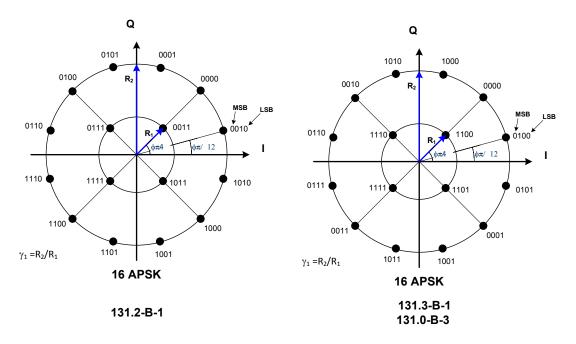


Figure 2.4.18-21: 16APSK Symbol Mapping into Constellation

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2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 2 (Continued)

A2.4.4 32APSK

Modulations in accordance with CCSDS 131.2-B-1, CCSDS 131.3-B-1 or when used with coding schemes specified in CCSDS 131.0-B-3, shall employ a constellation as in figure 2.4.18-22 and with associated bit numbering convention as in figure 2.4.18-17.

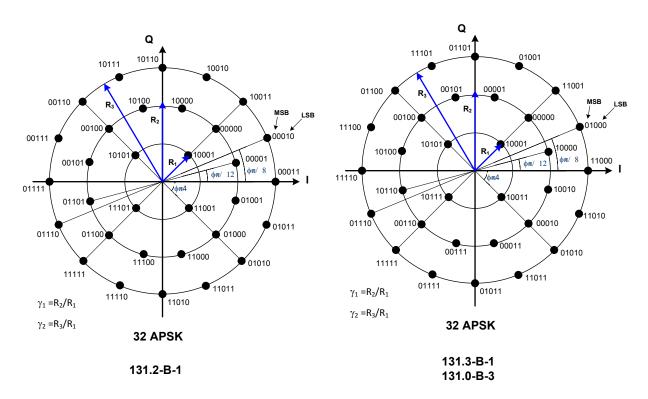


Figure 2.4.18-22: 32APSK Symbol Mapping into Constellation

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 2 (Continued)

A2.4.5 64APSK

Modulations in accordance with CCSDS 131.2-B-1 or when used with coding schemes specified in CCSDS 131.0-B-3, shall employ a conventional Gray-coded constellation with absolute mapping (no differential coding) as in figure 2.4.18-23 and with associated bit numbering convention as in figure 2.4.18-17.

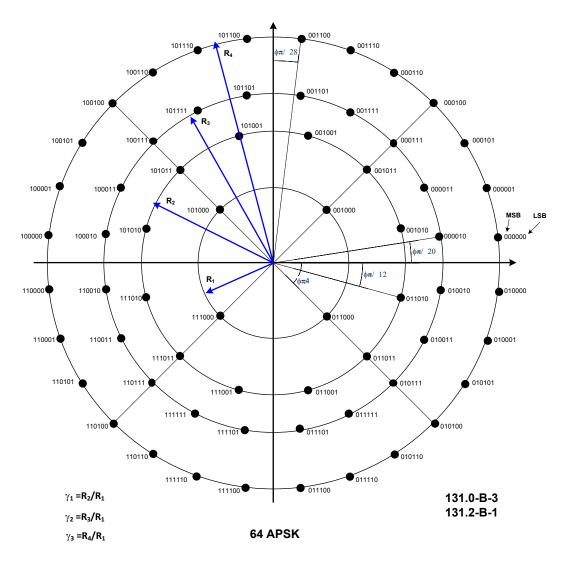


Figure 2.4.18-23: 64APSK Symbol Mapping into Constellation

2.4.18 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 8 GHZ BAND, SPACE-TO-EARTH (Continued)

ANNEX 2 (Continued)

A2.5 FRAME HEADER MODULATION

The frame header shall consist of $\pi/2$ -BPSK modulated symbols as defined below.

Assuming that the frame header binary sequence of length *N* is denoted as:

$$(x_1, x_2, \dots, x_N)$$

then the in-phase (I) and the quadrature (Q) components of the $N\pi/2$ -BPSK modulated symbols shall be determined according to the following rule:

$$I_{2i-1} = Q_{2i-1} = \frac{1}{\sqrt{2}} (1 - 2x_{2i-1})$$

for $i = 1, 2, ..., N/2$
$$I_{2i} = -Q_{2i} = -\frac{1}{\sqrt{2}} (1 - 2x_{2i})$$

2.4.19 TELEMETRY CODED SYMBOL RATE STABILITY IN SUPPRESSED CARRIER TELEMETRY SYSTEMS

The CCSDS,

considering

- (a) that the use of suppressed carrier modulation schemes for the space-to-Earth RF links as in CCSDS 401.0 recommendations 2.3.2, 2.4.17A, 2.4.17B, and 2.4.18 is recommended under the conditions specified in the above CCSDS recommendations;
- (b) that coded symbol rate offsets and instabilities may result in demodulation performance degradation at the receiving station;

recommends

that spacecraft radio frequency subsystems utilizing suppressed carrier modulation be designed with characteristics equal to or better than:

Maximum Coded Symbol Rate ± 100 ppm;Offset ± 100 ppm;Coded Symbol Rate Stability
(short term);1better than $\pm 1 \times 10^{-6}$

Coded Symbol Rate Stability (long term).²

better than $\pm 1 \times 10^{-5}$

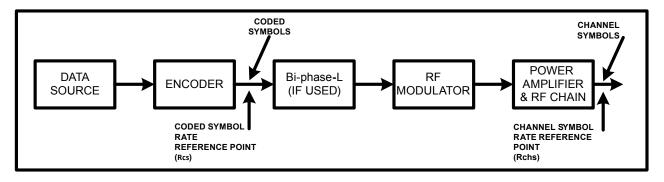


Figure 2.4.19-1: Telemetry Rates Definition

¹ Short term time intervals are less than or equal to 100 times the coded symbol period.

² Stability over 5 minutes.

2.4.20B MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS FOR THE 31.8–32.3 GHz BAND, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY B

The CCSDS,

considering

- (a) that in accordance with ITU RR S3.9, efficient use of the RF spectrum resources is required;
- (b) that the 32 GHz band is planned to become the backbone for communications with high rate Category B missions;
- (c) that the Space Research, Category B, frequency allocation at 8 GHz is 50 MHz wide, and missions with coded symbol rates higher than 2 coded Msymbol/s are required to utilize spectrally efficient modulation;¹
- (d) that the Space Research, Category B, 32 GHz frequency allocation is 500 MHz wide, and therefore 20 coded Msymbol/s is the corresponding value for the 32 GHz band;
- (e) that GMSK $(BT_s = 0.5)^2$ is a spectrally efficient modulation with negligible end-to-end losses using an optimized receiver, making it an excellent choice for weak signal-level missions;
- (f) that since GMSK modulation is inherently differential in nature, the use of GMSK with precoding is necessary to optimize bit error rate performance;
- (g) that short periodic data patterns can result in zero power at the carrier frequency;
- (h) that residual carrier modulations are less spectrally efficient compared to GMSK ($BT_s=0.5$), but contain a carrier component that is necessary for radioscience experiments while also providing telemetry at intermediate data rates;

recommends

- (1) that GMSK $(BT_s = 0.5)^2$ shall be used for rates equal to or in excess of 20 coded Msymbol/s in communications systems operating in the 32 GHz bands;
- (2) that GMSK $(BT_s = 0.5)^2$ should be used for high data rate transmissions for coded symbol rates below 20 coded Msymbol/s in communications systems operating in the 32 GHz bands;
- (3) that the residual carrier modulations of recommendations 2.3.1 and 2.4.7 may be used when the transmitted coded symbol rate is less than 20 coded Msymbol/s and the 20-dB bandwidth does not exceed 60 MHz^3 in communications systems operating in the 32 GHz bands;
- (4) that a data randomizer as specified in the CCSDS Recommended Standard, TM Synchronization and Channel Coding, CCSDS 131.0-B-3 (or latest edition) shall be used.

¹ See recommendation 401.0 (2.4.17B).

² Gaussian Minimum Shift Keying ($BT_s = 0.5$), with precoding as in figure 2.4.20B-1 (see CCSDS 413.0-G-3).

B refers to the one-sided 3-dB bandwidth of the filter.

³ The 20-dB bandwidth is defined as the width of the frequency band such that beyond its lower and upper limits any continuous Power Spectral Density (PSD) of the signal is at least 20 dB lower than the maximum value of the continuous PSD. See also SFCG Recommendation 27-1R1, or latest revision.

2.4.20B MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS FOR THE 31.8–32.3 GHz BAND, SPACE RESEARCH, SPACE-TO-EARTH, CATEGORY B (Continued)

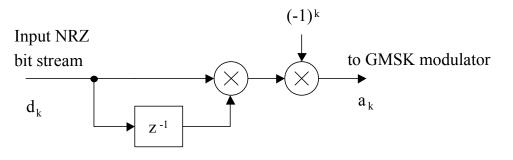


Figure 2.4.20B-1: GMSK Precoder

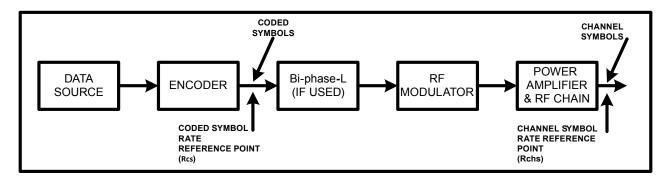


Figure 2.4.20B-2: Telemetry Rates Definition

2.4.21A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH 25.5–27.0 GHz BAND, SPACE-TO-EARTH, CATEGORY A

The CCSDS,

considering

- (a) that efficient use of RF spectrum resources is imperative with the increasing congestion of the frequency bands;
- (b) that the 25.5–27.0 GHz band is already being used for very high rate transmission from a variety of mission types, and that it is expected that this usage will continue to increase as infrastructure is developed;
- (c) that the SFCG has approved a Recommendation,¹ specifying a spectrum mask for Space Research Category A Space-to-Earth links operating in certain bands² and that extension to the 25.5–27.0 GHz band is expected;
- (d) that suppressed carrier modulation techniques, such as GMSK³ and baseband filtered OQPSK⁴ modulations, can meet the SFCG Recommended¹ high-rate spectrum mask;
- (e) that since GMSK modulation is inherently differential in nature, the use of GMSK with precoding is necessary to optimize bit error rate performance;
- (f) that GMSK³ and baseband filtered OQPSK⁴ modulation types can be demodulated using a conventional OQPSK receiver, but with higher end-to-end losses;
- (g) that GMSK³ and baseband filtered OQPSK⁴ modulations have only a small performance degradation as compared with ideal unfiltered suppressed carrier systems;
- (h) that GMSK and baseband filtered OQPSK modulations have immunity to interference (wideband and narrow band) comparable to unfiltered BPSK when demodulated with an OQPSK receiver matched to an unfiltered OQPSK waveform; the interference immunity of these modulations when demodulated with matched filter receivers is equivalent to or better than BPSK;

noting

that the current mission model does not require the use of higher order modulations but should the need arise, techniques already standardized by CCSDS for other applications could be included in a revision of this recommendation;

recommends⁵

(1) that, to ensure an ability to obtain cross support in the 25.5–27.0 GHz Space Research service band, GMSK³ or baseband filtered OQPSK⁴ shall be used for space-to-Earth transmissions when the telemetry coded symbol rates exceed 10 coded Msymbol/s;

¹ See SFCG Recommendation 21-2R3 or latest version.

² Category A bands are: 2200–2290 MHz and 8450–8500 MHz.

³ Gaussian Minimum Shift Keying ($BT_s = 0.25$), with pre-coding as in figure 2.4.21A-1 (see CCSDS 413.0-G-3). B refers to the one-sided 3-dB bandwidth of the filter.

⁴ Filtered (Square Root Raised Cosine $\alpha = 0.5$) Offset QPSK; Butterworth 6 poles, BT_S = 0.5; agencies may also utilize filtered OQPSK modulation with other types of bandpass filters provided that the equivalent baseband BT_S is not greater than 0.5 and they ensure compliance with SFCG Recommendation 21-2R3 (or latest version) and interoperability with the cross-supporting networks. B refers to the one-sided 3-dB bandwidth of the filter.

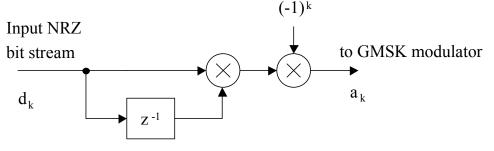
⁵ Space agencies requiring cross support should consider the performance degradation of the filtered OQPSK and GMSK modulation techniques when received with unmatched demodulators at existing ground stations (see performance data in CCSDS 413.0-G-3); the ordering of modulation types does not imply a preference.

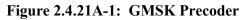
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2.4.21A MODULATION METHODS FOR HIGH CODED SYMBOL RATE TRANSMISSIONS, SPACE RESEARCH 25.5–27.0 GHz BAND, SPACE-TO-EARTH, CATEGORY A (Continued)

- (2) that, to ensure an ability to obtain cross support in the 25.5–27.0 GHz Space Research service band, GMSK³ or baseband filtered OQPSK⁴ should be used for space-to-Earth transmissions when the telemetry coded symbol rates is below or equal to 10 coded Msymbol/s;
- (3) that, to ensure an ability to obtain cross support in the 25.5–27.0 GHz Space Research service band, the residual carrier modulation schemes of recommendations 2.3.1 and 2.4.7 may be used when the telemetry coded symbol rates is below 1 coded Msymbol/s and the suppressed carrier modulation schemes of recommendation 2.3.2 may be used when the telemetry coded symbol rates is below 10 coded Msymbol/s.





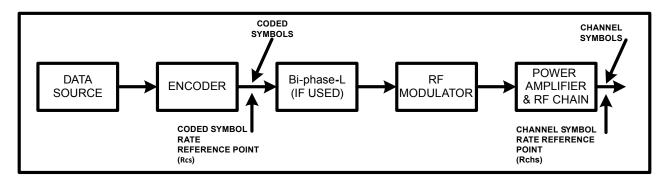


Figure 2.4.21A-2: Telemetry Rates Definition

2.4.22A MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.45–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORY A

The CCSDS,

considering

- (a) that GMSK¹ and baseband filtered OQPSK² are recommended for space-to-Earth transmissions when the telemetry coded symbol rates exceed 2 coded Msymbol/s in the 2 and 8 GHz bands;
- (b) that GMSK¹ or baseband filtered OQPSK² transmission is incompatible with simultaneous conventional residual modulation of a ranging signal;
- (c) that some missions have a need for both high coded symbol rate telemetry and high accuracy ranging;
- (d) that the use for telemetry of residual carrier modulation³ when ranging is transmitted and of suppressed carrier modulation when ranging is not transmitted requires a dual-mode modulator, is not spectrally efficient, and may reduce the telemetry data volume significantly;
- (e) that spacecraft design and operations are simplified by using the same modulation scheme for low as well as high coded symbol rate telemetry transmission including also a ranging signal which can be switched on and off with only marginal effect on the emitted spectrum and the telemetry performance and therefore allows for an always-on capability;
- (f) that PN ranging⁴ can be regenerated on board and retransmitted with a small modulation index due to the removal of the on-board thermal noise from the down-link;
- (g) that a phase modulator can be used to combine GMSK¹ telemetry with on-board regenerated PN ranging⁴ and that such scheme is inherently insensitive to on-board transmitter non-linearities because of its constant envelope, differently from baseband filtered OQPSK² and allows for a simple and robust spacecraft implementation;
- (h) that before correlating the PN ranging signal, the telemetry receiver can be used to estimate the telemetry signal and subtract it from the received signal;
- (i) that $GMSK^{1}$ +PN ranging⁴ with sine-wave shaping is spectrally efficient, and the telemetry losses⁵ can be bounded to the range⁶ 0.2–1.0 dB by selecting the appropriate ranging modulation index;

¹ Gaussian Minimum Shift Keying ($BT_s = 0.25$), with pre-coding as in CCSDS Recommendation 401 (2.4.17A) B-1. Parameter B refers to the one-sided 3-dB bandwidth of the filter (see CCSDS 413.0-G-3).

² Filtered (Square Root Raised Cosine $\alpha = 0.5$) Offset QPSK, etc., as per CCSDS Recommendation 401 (2.4.17A) B-1.

³ CCSDS Recommendations 401 (2.4.3), 401 (2.4.7), 401 (2.4.14A), and 401 (2.4.15A).

⁴ Section 3 of Pseudo-Noise (PN) Ranging Systems, Recommended Standard, Issue 2, CCSDS 414.1-B-2.

⁵ The actual value depends on the selected configuration (see CCSDS 413.1-G-1).

⁶ All performance numbers reported here are without ranging cancellation, unless otherwise noted.

CCSDS RECOMMENDATIONS FOR RADIO FREQUENCY AND MODULATION SYSTEMS

Earth Stations and Spacecraft

2.4.22A MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.45–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORY A (Continued)

- (j) that the PN ranging⁴ SNR degradation,⁷ in terms of acquisition time for a probability of acquisition greater than 99.9 percent, due to $GMSK^1$ telemetry can be bounded to the range 0.3–3.0 dB by limiting the telemetry coded symbol error rate to 0.1 and compensated by increasing the acquisition time;
- (k) that the increase⁸ of PN ranging⁴ signal jitter variance due to GMSK¹ telemetry can be bounded to the range 0.3–1.5 dB by limiting the telemetry coded symbol error rate to 0.1 and compensated by reducing the ranging chip synchronizer bandwidth if allowed by Doppler rate dynamics;
- (1) that the increase of carrier jitter variance⁹ due to PN ranging⁴ can be bounded to the range 0.2– 1.0 dB by selecting the appropriate ranging modulation index and compensated by reducing the carrier synchronizer bandwidth;
- (m) that increasing the PN ranging chip rate to telemetry coded symbol rate ratio generally improves the performance of the system;
- (n) that the telemetry losses given in considering (i), can be significantly lowered by adding a ranging cancellation before the telemetry demodulation, leading to losses below 0.1 dB for comparable conditions⁹ or allowing extension of the use cases of the scheme while maintaining losses below 0.5 dB;

recommends

- (1) that $GMSK^{1}$ +PN ranging⁴ shall be used as in figures 2.4.22A-1 and 2.4.22A-2 for space-to-Earth transmissions when considering (a), (c), and (e) are of primary concern;
- (2) that sine-wave shaping shall be used for PN ranging⁴ whereby the impulse response of the ranging channel is given by

$$h(t) = h_{sin}(t) = \begin{cases} \sin(\pi t / T_c) & \text{for } t = [0, T_c] \\ 0 & \text{otherwise} \end{cases}$$

where $T_C = 1/R_{RG}$ is the ranging chip interval, and R_{RG} is the ranging chip rate;

- (3) that the PN ranging⁴ peak modulation index m_{RG} shall be selected
 - without ranging cancellation: between 0.2 and 0.45 rad;
 - with ranging cancellation: between 0.2 and 0.7 rad;
- (4) that the PN ranging⁴ code T4B shall be used when the ranging accuracy is of primary concern and T2B shall be used when the acquisition time is of primary concern;

⁷ This degradation is in addition to the one specified in subsection 3.5.4 of Pseudo-Noise (PN) Ranging Systems, Recommended Standard, Issue 2, CCSDS 414.1-B-2. The actual value depends on the selected configuration (see CCSDS 413.1-G-1).

⁸ This increase is relative to the jitter specified in subsection 3.5.6 of Pseudo-Noise (PN) Ranging Systems, Recommended

Standard, Issue 2, CCSDS 414.1-B-2. The actual value depends on the selected configuration (see CCSDS 413.1-G-1).

 $^{^{9}}$ The actual value depends on the selected configuration (see CCSDS 413.1-G-1).

2.4.22A MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.45–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORY A (Continued)

- (5) that the PN ranging chip rate to telemetry coded symbol rate¹⁰ ratio shall be
 - without ranging cancellation: a non-integer¹¹ number higher than 1, noting that the performance figures of considering (i), (j), (k), and (l) are limited to the range 1 to 3. A ratio slightly smaller than 1 may be used in case of mission need and provided that the link margins are adequate, noting that the performance figures of considering (i), (j), (k), and (l) may not be met;
 - with ranging cancellation: a non-integer¹² number, noting that the performance figures of considering (n) are limited to the range 1/3 to 10;
- (6) that the telemetry signal level shall be set such that the resulting coded symbol error rate at the receiver is better than 0.1.¹³

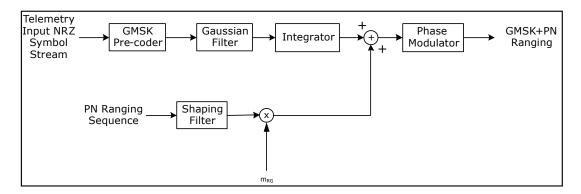


Figure 2.4.22A-1: GMSK+PN Ranging Modulation Schematics

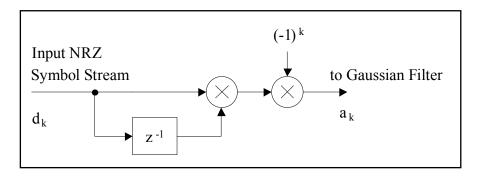


Figure 2.4.22A-2: GMSK Precoder

¹⁰ Recommendation SFCG 5-1R5 limits the occupied bandwidth for telemetry-only transmission to 10 MHz in the 8450-8500 MHz band.

¹¹ Under nominal conditions, PN ranging chip rate and telemetry coded symbol rate are not coherent.

¹² Under nominal conditions, PN ranging chip rate and telemetry coded symbol rate are not coherent.

¹³ This value allows a simpler receiver implementation with telemetry cancellation (subtraction) at coded symbol level. The scheme is able to operate with a coded symbol error rate as high as 0.2 but may require a more complex cancellation or additional system margins.

2.4.22A MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.45–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORY A (Continued)

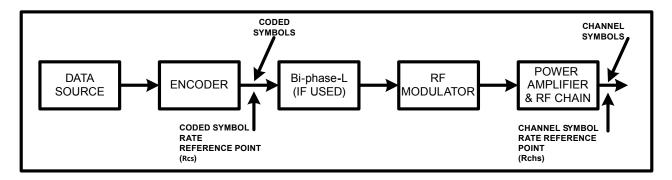


Figure 2.4.22A-3: Telemetry Rates Definition

2.4.22A MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.45–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORY A (Continued)

Annex 1 (Informative)—GMSK+PN Ranging Main Equations

The composite transmitted signal can be expressed as:

$$x(t) = \sqrt{2P_T \cos[2\pi f_c t + \varphi_{TM}(t - \tau_{TM}) + \varphi_{RG}(t - \tau_{RG})]}$$
(1)

where P_T is the transmit power,

 f_c is the carrier frequency,

 $\varphi_{TM}(t)$ is the phase of the pre-coded GMSK signal with coded symbol interval $T_s=1/R_{cs}$ given by:

$$\varphi_{TM}(t) = \pi \sum_{k} a_{k} q(t - kT_{S})$$
⁽²⁾

being

$$q(t) = \int_{-\infty}^{t} \frac{1}{4T_{s}} \left[erfc\left(\frac{\tau - T_{s}}{\sqrt{2\sigma}}\right) - erfc\left(\frac{\tau}{\sqrt{2\sigma}}\right) \right] d\tau$$

with $\lim_{t\to\infty} q(t) = 1/2$

$$\sigma^2 = \ln(2) / (4\pi^2 B^2)$$

 $BT_s = 0.25$

and a_k are the precoded symbols to be transmitted obtained from the ±1 level telemetry symbols by $a_k = (-1)^{k+1} d_k d_{k-1}$ (see figure 2.4.22A-2),

and

$$\varphi_{RG}(t) = m_{RG} \sum_{k} c_k h_{\sin}(t - kT_c)$$
(3)

is the phase of the PN ranging signal, being

 m_{RG} the peak PN ranging modulation index in radians,

 $c_k = \pm 1$ the kth chip of the PN ranging sequence,

 $T_C = 1 / R_{RG}$ the PN ranging chip interval,

$$h(t) = h_{sin}(t) = \begin{cases} \sin(\pi t / T_C) & \text{for } t = [0, T_C] \\ 0 & \text{otherwise} \end{cases}$$
 the PN ranging shaping filter impulse response,

and τ_{TM} and τ_{RG} are random variables that model the absence of synchronization between the telemetry and the ranging signal.

2.4.22A MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.45–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORY A (Continued)

Annex 2 (Informative)—GMSK+PN Ranging Cancellation

Figure 2.4.22A-4 shows an example of a high-level block diagram of a GMSK+PN RG receiver with ranging cancellation.¹⁴

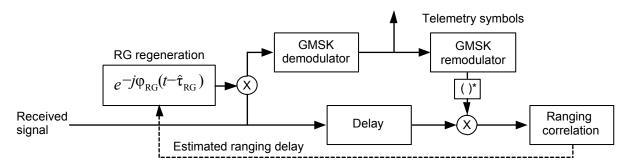


Figure 2.4.22A-4: High-Level Diagram of GMSK+PN RG Receiver with Ranging Cancellation

¹⁴ More information on the ranging cancellation can be found in CCSDS 413.1-G-1.

Earth Stations and Spacecraft

2.4.22B MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.40–8.45 GHz AND 31.8–32.3 GHz BANDS, SPACE-TO-EARTH, CATEGORY B

The CCSDS,

considering

- (a) that GMSK¹ is recommended for high data rate transmissions whenever practicable and in any case for rates in excess of 2 coded Msymbol/s in either the 2 or 8 GHz bands and in excess of 20 coded Msymbol/s in communications systems operating in the 32 GHz band, provided that in no case the transmission bandwidth (B25)² is higher than that recommended² by the SFCG;
- (b) that GMSK¹ transmission is incompatible with simultaneous conventional residual modulation of a ranging signal;
- (c) that some missions have a need for both high coded symbol rate telemetry and high accuracy ranging;
- (d) that the use for telemetry of residual carrier modulations³ when ranging is transmitted and of suppressed carrier modulation when ranging is not transmitted requires a dual-mode modulator, is not spectrally efficient, and may reduce the telemetry data volume significantly;
- (e) that spacecraft design and operations are simplified by using the same modulation scheme for low as well as high coded symbol rate telemetry transmission including also a ranging signal which can be switched on and off with only marginal effect on the emitted spectrum and the telemetry performance and therefore allows for an always-on capability;
- (f) that PN ranging⁴ can be regenerated on board and retransmitted with a small modulation index due to the removal of the on-board thermal noise from the down-link;
- (g) that a phase modulator can be used to combine GMSK¹ telemetry with on-board regenerated PN ranging⁴ and that such scheme is inherently insensitive to on-board transmitter non-linearities because of its constant envelope and allows for a simple and robust spacecraft implementation;
- (h) that before correlating the PN ranging signal, the telemetry receiver can be used to estimate the telemetry signal and subtract it from the received signal;
- (i) that $GMSK^{1}$ +PN ranging⁴ with sine-wave shaping is spectrally efficient, and the telemetry losses⁵ can be bounded to the range⁶ 0.2–1.0 dB by selecting the appropriate ranging modulation index;
- (j) that the PN ranging⁴ SNR degradation,⁷ in terms of acquisition time for a probability of acquisition greater than 99.9 percent, due to $GMSK^1$ telemetry can be bounded to the range 0.3–3.0 dB by limiting the telemetry symbol error rate to 0.1 and compensated by increasing the acquisition time;

¹ Gaussian Minimum Shift Keying ($BT_s = 0.5$), with precoding as in CCSDS Recommendation 401 (2.4.17B) B-1 and 401 (2.4.20B) B-1. Parameter B refers to the one-sided 3-dB bandwidth of the filter (see CCSDS 413.0-G-3).

² SFCG Recommendation 23-1 or latest version.

³ CCSDS Recommendations 401 (2.4.3), 401 (2.4.7), 401 (2.4.14B), and 401 (2.4.15B).

⁴ Section 3 of Pseudo-Noise (PN) Ranging Systems, Recommended Standard, Issue 2, CCSDS 414.1-B-2.

⁵ The actual value depends on the selected configuration (see CCSDS 413.1-G-1).

⁶ All performance numbers reported here are without ranging cancellation, unless otherwise noted.

⁷ These losses are in addition to the ones specified in subsection 3.5.4 of Pseudo-Noise (PN) Ranging Systems, Recommended Standard, Issue 2, CCSDS 414.1-B-2. The actual value depends on the selected configuration (see CCSDS 413.1-G-1).

2.4.22B MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.40–8.45 GHz AND 31.8–32.3 GHz BANDS, SPACE-TO-EARTH, CATEGORY B (Continued)

- (k) that the increase⁸ of PN ranging⁴ signal jitter variance due to GMSK¹ telemetry can be bound to the range 0.3–1.5 dB by limiting the telemetry symbol error rate to 0.1 and compensated by reducing the ranging chip synchronizer bandwidth if allowed by Doppler rate dynamics;
- (1) that the increase of carrier jitter variance⁹ due to PN ranging⁴ can be bounded to the range 0.2– 1.0 dB by selecting the appropriate ranging modulation index and compensated by reducing the carrier synchronizer bandwidth;
- (m) that increasing the PN ranging chip rate to telemetry symbol rate ratio generally improves the performance of the system;
- (n) that the telemetry losses given in considering (i), can be significantly lowered by adding a ranging cancellation before the telemetry demodulation, leading to losses below 0.1 dB for comparable conditions⁹ or allowing extension of the use cases of the scheme while maintaining losses below 0.5 dB;

recommends

- (1) that GMSK¹+PN ranging⁴ shall be used as in figures 2.4.22B-1 and 2.4.22B-2 whenever considering (a), (c), and (e) are of primary concern;
- (2) that sine-wave shaping shall be used for PN ranging⁴ whereby the impulse response of the ranging channel is given by

$$h(t) = h_{sin}(t) = \begin{cases} \sin(\pi t / T_c) & \text{for } t = [0, T_c] \\ 0 & \text{otherwise} \end{cases}$$

where $T_C = 1/R_{RG}$ is the ranging chip interval, and R_{RG} is the ranging chip rate;

- (3) that the PN ranging⁴ peak modulation index m_{RG} shall be selected
 - without ranging cancellation: between 0.2 and 0.45 rad;
 - with ranging cancellation: between 0.2 and 0.7 rad;
- (4) that the PN ranging⁴ code T4B shall be used when the ranging accuracy is of primary concern and T2B shall be used when the acquisition time is of primary concern;

⁸ This increase is relative to the jitter specified in subsection 3.5.6 of Pseudo-Noise (PN) Ranging Systems, Recommended

Standard, Issue 2, CCSDS 414.1-B-2. The actual value depends on the selected configuration (see CCSDS 413.1-G-1).

 $^{^{9}}$ The actual value depends on the selected configuration (see CCSDS 413.1-G-1).

MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF 2.4.22B HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING. SPACE RESEARCH 8.40-8.45 GHz AND 31.8-32.3 GHz BANDS, SPACE-TO-EARTH, **CATEGORY B** (Continued)

- that the PN ranging chip rate to telemetry symbol rate¹⁰ ratio shall be (5)
 - without ranging cancellation: a non-integer¹¹ number higher than 1, noting that the performance figures of considering (i), (j), (k), and (l) are limited to the range 1 to 3. A ratio slightly smaller than 1 may be used in case of mission need and provided that the link margins are adequate, noting that the performance figures of considering (i), (j), (k), and (l) may not be met;
 - with ranging cancellation: a non-integer¹¹ number, noting that the performance figures of considering (n) are limited to the range 1/3 to 10;
- (6) that the telemetry signal level shall be set such that the resulting symbol error rate at the receiver is better than 0.1.¹²

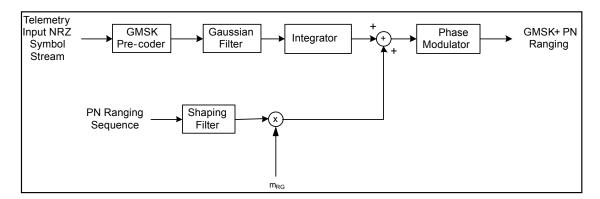


Figure 2.4.22B-1: GMSK+PN Ranging Modulation Schematics

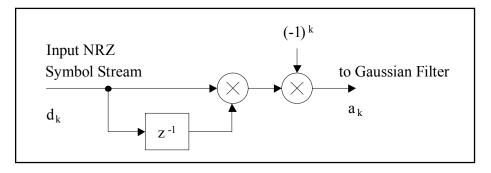


Figure 2.4.22B-2: GMSK Precoder

¹⁰ Under the SFCG (Recommendation 23-1) 12 MHz bandwidth limitation for 8 GHz band non-Mars missions on a non-interfering basis, the maximum telemetry coded symbol rate using GMSK BT_S=0.5 is 9.3 coded Msymbol/s. For 8 GHz band Mars missions and non-Mars missions which interfere with Mars missions, the maximum telemetry coded symbol rate using GMSK BT_s=0.5 is 6.2 coded Msymbol/s.

¹¹ Under nominal conditions, PN ranging chip rate and telemetry coded symbol rate are not coherent.

¹² This value allows a simpler receiver implementation with telemetry cancellation (subtraction) at the coded symbol level. The scheme is able to operate with a coded symbol error rate as high as 0.2 but may require a more complex cancellation or additional system margins.

2.4.22B MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.40–8.45 GHz AND 31.8–32.3 GHz BANDS, SPACE-TO-EARTH, CATEGORY B (Continued)

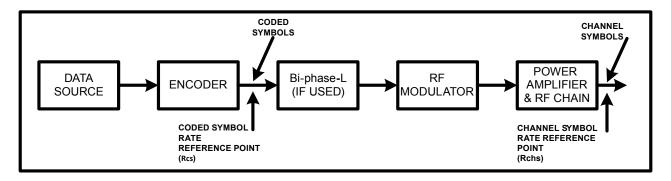


Figure 2.4.22B-3: Telemetry Rates Definition

2.4.22B MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.40–8.45 GHz AND 31.8–32.3 GHz BANDS, SPACE-TO-EARTH, CATEGORY B (Continued)

Annex 1 (Informative)—GMSK+PN Ranging Main Equations

The composite transmitted signal can be expressed as:

$$x(t) = \sqrt{2P_T} \cos[2\pi f_c t + \varphi_{TM} (t - \tau_{TM}) + \varphi_{RG} (t - \tau_{RG})]$$
(1)

where P_T is the transmit power,

 f_c is the carrier frequency,

 $\varphi_{TM}(t)$ is the phase of the pre-coded GMSK signal with coded symbol interval $T_s=1/R_{cs}$ given by:

$$\varphi_{TM}(t) = \pi \sum_{k} a_{k} q(t - kT_{s})$$
⁽²⁾

being

$$q(t) = \int_{-\infty}^{t} \frac{1}{4T_s} \left[erfc\left(\frac{\tau - T_s}{\sqrt{2\sigma}}\right) - erfc\left(\frac{\tau}{\sqrt{2\sigma}}\right) \right] d\tau$$

with

$$\lim_{t \to \infty} q(t) = 1/2$$

$$\sigma^2 = \ln(2)/(4\pi^2 B^2)$$

$$BT_s = 0.5$$

and a_k are the precoded symbols to be transmitted obtained from the ±1 level telemetry symbols by $a_k = (-1)^{k+1} d_k d_{k-1}$ (see figure 2.4.22B-2),

and

$$\varphi_{RG}(t) = m_{RG} \sum_{k} c_k h_{\rm sin}(t - kT_c)$$
(3)

is the phase of the PN ranging signal, being

 m_{RG} the peak PN ranging modulation index in radians,

 $c_k = \pm 1$ the kth chip of the PN ranging sequence,

 $T_C = 1/R_{RG}$ the PN ranging chip interval,

$$h(t) = h_{sin}(t) = \begin{cases} \sin(\pi t / T_c) & \text{for } t = [0, T_c] \\ 0 & \text{otherwise} \end{cases}$$
 the PN ranging shaping filter impulse response,

and τ_{TM} and τ_{RG} are random variables that model the absence of synchronization between the telemetry and the ranging signal.

2.4.22B MODULATION METHODS FOR SIMULTANEOUS TRANSMISSION OF HIGH CODED SYMBOL RATE TELEMETRY AND PN RANGING, SPACE RESEARCH 8.40–8.45 GHz AND 31.8–32.3 GHz BANDS, SPACE-TO-EARTH, CATEGORY B (Continued)

Annex 2 (Informative)—GMSK+PN Ranging Cancellation

Figure 2.4.22B-4 shows an example of a high-level block diagram of a GMSK+PN RG receiver with ranging cancellation.¹³

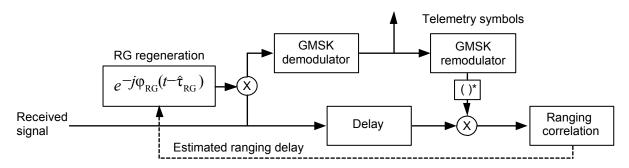


Figure 2.4.22B-4: High-Level Diagram of GMSK+PN RG Receiver with Ranging Cancellation

¹³ More information on the ranging cancellation can be found in CCSDS 413.1-G-1.

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ BAND, SPACE-TO-EARTH

The CCSDS,

considering

- (a) that efficient use of RF spectrum resources is becoming increasingly important with the increasing congestion of the frequency bands;
- (b) that the 25.5–27.0 GHz band is already being used for very high rate transmission from a variety of mission types, and that it is expected that this usage will continue to increase as infrastructure is developed;
- (c) that the SFCG has approved a Recommendation¹ which specifies a spectrum mask for emissions in the 25.5–27.0 GHz band with channel symbol rates equal to or above 10 Msymbol/s;
- (d) that the SFCG has approved a Recommendation² restricting Earth Exploration Satellite Service (EESS) GeoStationary Orbit (GSO) missions' PFD levels to -115 dB (W/m²/MHz) for all angles of arrival at the surface of the Earth, and EESS Non-GSO (NGSO) missions' PFD levels to -133 dB (W/m²/MHz) at any Data Relay Satellite (DRS) location on the geostationary orbit;
- (e) that the SFCG has approved a Recommendation³ suggesting Variable Coding and Modulation (VCM) or Adaptive Coding and Modulation (ACM), where practicable, when operating high data rate EESS space-Earth links in the 25.5–27.0 GHz frequency band;
- (f) that CCSDS 131.0-B-3, CCSDS 131.2-B-1, and CCSDS 131.3-B-1 foresee a number of coding schemes, some of which may be incompatible with the bandwidth-efficient use of the 25.5–27.0 GHz frequency band;
- (g) that only filtered suppressed carrier systems can meet the bandwidth efficiency of SFCG spectrum mask Recommendation¹ for channel symbol rates equal to or above 10 Msymbol/s;
- (h) that with Square Root Raised Cosine (SRRC) filtering QPSK, OQPSK, 8PSK, 16APSK, 32APSK, and 64APSK modulations spectra can meet the SFCG emission mask¹ for channel symbol rates equal to or above 10 Msymbol/s with acceptable end-to-end losses;
- that current technology allows implementing and processing of QPSK, OQPSK, 8PSK, 16APSK, 32APSK, and 64APSK modulations at the rates planned for the 25.5–27.0 GHz frequency band;
- (j) that baseband filtered QPSK, OQPSK, and 8PSK receivers are readily available in most space agencies' ground networks;

¹ See SFCG Recommendation 21-2R4 or latest version.

² See SFCG Recommendation 29-1 or latest version.

³ See SFCG Recommendation 30-2 or latest version.

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ BAND, SPACE-TO-EARTH (Continued)

- (k) that baseband filtered higher-order modulations receivers are becoming readily available through a number of vendors;
- (l) that a phase imbalance of less than 3 degrees and an amplitude imbalance of less than 0.5 dB should result in acceptable performance degradation;
- (m) that a channel with in-band ripple up to 0.1 dB, out-of-band rejection of at least 30 dB, and inband (within channel symbol rate) group-delay variations up to 10 percent of the signal duration⁴ should result in acceptable performance degradations even in case no equalization is used at the receiver;

noting

- (i) that recommended maximum values of phase noise and High Power Amplifier (HPA) linearity are needed to ensure small end-to-end losses;
- (ii) that linearization techniques (pre-distortion) or compensation (equalization, centroid tuning) or both can reduce the channel losses, especially for APSK modulations;
- (iii) that the use of a frame header and of pilot symbols can improve the acquisition time as well as tracking performance;
- (iv) that the constellation bit mappings for coding in accordance with CCSDS 131.0-B-3, CCSDS 131.2-B-1, and CCSDS 131.3-B-1 are different;

- (1) that a mission planning to operate in the 25.5–27.0 GHz band shall use QPSK, OQPSK, 8PSK, 16APSK, 32APSK, or 64APSK, all with SRRC filtering,⁵ as specified in Annex 1 when the channel symbol rate is equal to or above 10 Msymbol/s;
- (2) that a mission planning to operate in the 25.5–27.0 GHz band should use QPSK, OQPSK, or 8PSK, all with SRRC filtering,⁵ as specified in Annex 1 when the channel symbol rate is below 10 Msymbol/s;
- (3) that a mission planning to use this band should select the most bandwidth-efficient channel coding scheme from CCSDS 131.0-B-3, CCSDS 131.2-B-1, or CCSDS 131.3-B-1 compatible with the mission constraints;
- (4) that VCM or ACM techniques should be used where practicable;⁶

⁴ 1 ns at 100 Msymbol/s (channel symbol rate).

⁵ Square Root Raised Cosine filter roll-off α =0.2, 0.25, 0.3, 0.35.

⁶ Related Recommended Practices (Magenta Books) are under preparation.

Earth Stations and Spacecraft

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ BAND, SPACE-TO-EARTH (Continued)

- (5) that linearization techniques (pre-distortion) at the transmitter or compensation (such as equalization or centroid tuning) at the receiver should be used to minimize the end-to-end losses at least for 16APSK and higher order modulations, and in any case if the channel is worse than in considering (m);
- (6) that a frame header (see A1.5) and pilot symbols⁷ should be used to improve the acquisition and tracking performance especially for modulations with order higher than 8PSK;
- (7) that the phase noise of the communication chain should be limited according to the mask given in figure 2.4.23-2 for channel symbol rates equal to or above 10 Msymbol/s for which the lower mask limit shall be 100 Hz; that the same mask should be used for channel symbol rates below 10 Msymbol/s by setting the lower validity limit in accordance with the mission channel symbol rate and PLL loop bandwidth;
- (8) that the modulator's phase imbalance shall not exceed 5 degrees for QPSK, OQPSK, 8PSK, and 16APSK and 3 degrees for 32APSK and 64APSK, and the amplitude imbalance shall not exceed 0.5 dB between the constellation points;
- (9) that the AM/PM slope for the non-linear amplifier shall be less than 5°/dB unless appropriate equalization at the receiver is performed.

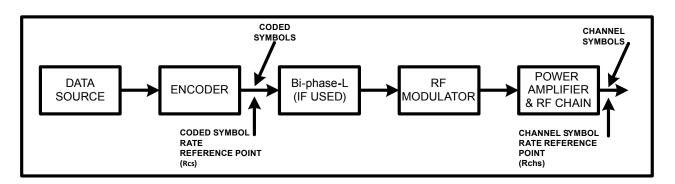


Figure 2.4.23-1: Telemetry Rates Definition

⁷ For systems compliant with CCSDS 131.2-B-1 and CCSDS 131.3-B-1, see relevant standard. For systems compliant with CCSDS 131.0-B-3 (see CCSDS 431.1-R-1).

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1

Modulation Specifications

(NORMATIVE)

A1.1 GENERAL

The modulation formats here specified shall follow the template provided in table 2.4.23-1, with the relevant parameters that define each constellation. For multi-circle constellations, and in particular for 16APSK and 32APSK, different values are provided for the ratio of outer to inner circle radius, optimized based on the code rate used in CCSDS 131.2-B-1 and CCSDS 131.3-B-1.

Item	QPSK and OQPSK	8PSK	16APSK	32APSK	64APSK
Number of constellation concentric circumferences	1	1	2	3	4
Number of uniformly spaced points per circumference	N ₁ =4	N ₁ =8	N ₁ =4, N ₂ =12	N ₁ =4, N ₂ =12, N ₃ =16	N ₁ =4, N ₂ =12, N ₃ =20, N ₄ =28
Ratio of outer circle to inner circle radius	N.A.	N.A.	$\begin{array}{l} \gamma_1 = R_2/R_1 \text{ as} \\ \text{per CCSDS} \\ 131.2\text{-B-1} \text{ and} \\ \text{CCSDS 131.3}\text{-} \\ \text{B-1}; \text{ when} \\ \text{codes from} \\ 131.0\text{-B-3} \text{ are} \\ \text{used as} \\ \text{described in} \\ 431.0\text{-B-1}, \text{ the} \\ \text{ratios in table} \\ 2.4.23\text{-} 2 \text{ shall} \\ \text{be used.} \\ \\ \text{(See also }^8) \end{array}$	$\begin{array}{l} \gamma_1 = R_2/R_1 \text{ and} \\ \gamma_2 = R_3/R_1 \text{ as} \\ \text{per CCSDS} \\ 131.2\text{-}B-1 \text{ and} \\ \text{CCSDS 131.3}\text{-} \\ \text{B-1; when} \\ \text{codes from} \\ 131.0\text{-}B-3 \text{ are} \\ \text{used as} \\ \text{described in} \\ 431.0\text{-}B-1, \text{ the} \\ \text{ratios in table} \\ 2.4.23\text{-}2 \text{ shall} \\ \text{be used.} \\ (\text{See also} \end{symbol{}^9}) \end{array}$	$\begin{array}{l} \gamma_1 = R_2/R_1 = \\ 2.73, \\ \gamma_2 = R_3/R_1 = \\ 4.52, \\ \gamma_3 = R_4/R_1 = \\ 6.31 \end{array}$
Radii relation for unit average channel symbol level (average channel symbol energy =1)	N.A.	N.A.	[R ₁] ² +3[R ₂] ² =4	$[R_1]^2 + 3[R_2]^2 + 4[R_3]^2 = 8$	$[R_1]^2 + 3[R_2]^2 + 5[R_3]^2 + 7[R_4]^2 = 16$
Bit-to-channel-symbol mapping	Bits 2i (MSB) and 2i+1 (LSB)	Bits 3i (MSB), 3i+1 and 3i+2 (LSB)	Bits 4i (MSB), 4i+1, 4i+2, and 4i+3	Bits 5i (MSB), 5i+1, 5i+2, 5i+3, and 5i+4	Bits 6i (MSB), 6i+1, 6i+2, 6i+3, 6i+4,

Table 2.4.23-1: Modulation Definition

⁸ For a multistandard system, the range to be covered for γ_1 varies from 2.57 to 3.15.

⁹ For a multistandard system, the range to be covered for γ_1 varies from 2.53 to 2.84 and the range for γ_2 varies from 4.30 to 5.27.

Item	QPSK and OQPSK	8PSK	16APSK	32APSK	64APSK
	determine the i th QPSK symbol	determine the i th 8PSK symbol	(LSB) determine the i th 16APSK symbol	(LSB) determine the i th 32APSK symbol	and 6i+5 (LSB) determine the i th 64APSK symbol
Constellation proper	(See figure 2.4.23-4)	(See figures 2.4.23-5 and 2.4.23-6)	(See figure 2.4.23-7)	(See figure 2.4.23-8)	(See figure 2.4.23-9)

Table 2.4.23-2: Radii Ratios When Codes from 131.0-B-3 Are Used As Described in 431.0-B-1

VCM Mode	Modulation	Code Rate	$\gamma_1 = R_2/R_1$	$\gamma_2 = R_3 / R_1$
16	16-APSK	1/2	3.15	N.A.
17	16-APSK	2/3	3.15	N.A.
18	16-APSK	4/5	2.75	N.A.
19	16-APSK	223/255	2.60	N.A.
20	32-APSK	1/2	2.84	5.27
21	32-APSK	2/3	2.84	5.27
22	32-APSK	4/5	2.72	4.87
23	32-APSK	223/255	2.57	4.41

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.2 SRRC CHANNEL FILTERING

The transfer function of the SRRC filter shall be:^{10,11}

$$H(f) = 1 \qquad \text{if } |f| < f_N(1-\alpha)$$
$$H(f) = \sqrt{\frac{1}{2} + \frac{1}{2} \sin\left\{\frac{\pi}{2f_N}\left(\frac{f_N - |f|}{\alpha}\right)\right\}} \qquad \text{if } f_N(1-\alpha) \le |f| \le f_N(1+\alpha)$$
$$H(f) = 0 \qquad \text{if } |f| > f_N(1+\alpha)$$

where $f_N = 1/(2 T_{chs}) = R_{chs}/2$ is the Nyquist frequency and α is the roll-off factor.

The specified values for the roll-off factor are $\alpha = 0.2, 0.25, 0.3$ and 0.35.

¹⁰ SRRC filtering can be practically implemented with baseband filters able to fulfill SFCG Recommendation 21-2R4 (see CCSDS 413.0-G-3).

¹¹ This formulation yields an impulse response function with dimensions of Hz (or 1/s). Sometimes in literature, the transfer function is shown with a multiplication factor $\sqrt{T_{chs}}$ in front.

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.3 PHASE NOISE

Figure 2.4.23-2 shows the double-sided phase noise mask 2L(f) in dBc/Hz versus frequency in Hz.

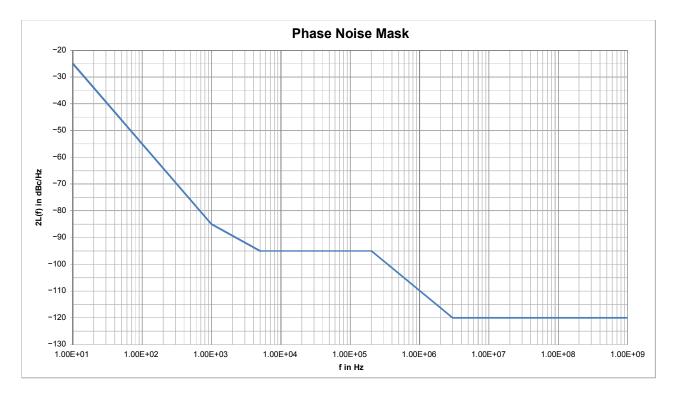


Figure 2.4.23-2: Phase Noise Mask Recommendation

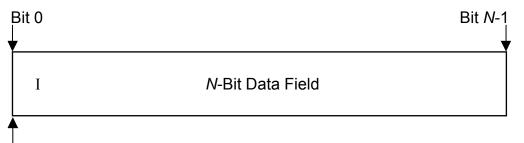
2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.4 BIT MAPPING TO CONSTELLATION

A1.4.1 Overview

The following convention is used to identify each bit in an *N*-bit field. The first bit in the field to be transmitted (i.e., the most left justified when drawing a figure) is defined to be 'Bit 0', the following bit is defined to be 'Bit 1', and so on up to 'Bit N-1'. When the field is used to express a binary value (such as a counter), the Most Significant Bit (MSB) shall be the first transmitted bit of the field, i.e., 'Bit 0' (see figure 2.4.23-3).



First Bit Transmitted = MSB

Figure 2.4.23-3: Bit Numbering Convention

For instance, bits 3i, 3i+1, 3i+2 of the modulator input determine the ith 8PSK symbol, where i = 0, 1, 2,... (*N*/3)–1 and *N* is the block size to be transmitted.

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.4.2 QPSK and OQPSK

Modulations in accordance with CCSDS 131.2-B-1, CCSDS 131.3-B-1 or when used with coding schemes specified in CCSDS 131.0-B-3, shall employ a conventional Gray-coded constellation¹² with absolute mapping (no differential coding) as in figure 2.4.23-4 with associated bit numbering convention as in figure 2.4.23-3.

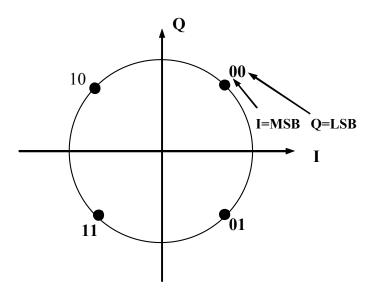


Figure 2.4.23-4: QPSK and OQPSK Symbol Mapping into Constellation

 $^{^{12}}$ The mapping is the same as in recommendation 2.4.10.

Earth Stations and Spacecraft

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.4.3 8PSK

Modulation in accordance with CCSDS 131.2-B-1 shall employ a conventional Gray-coded constellation with absolute mapping (no differential coding) as respectively in figures 2.4.23-5 with associated bit numbering convention as in figure 2.4.23-3.

Modulation in accordance with CCSDS 131.3-B-1 or when used with coding specified in CCSDS 131.0-B-3, shall employ a conventional Gray-coded constellation with absolute mapping (no differential coding) as in figure 2.4.23-6 with associated bit numbering convention as in figure 2.4.23-3.

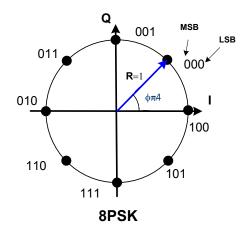


Figure 2.4.23-5: 8PSK Symbol Mapping into Constellation (CCSDS 131.2-B-1)

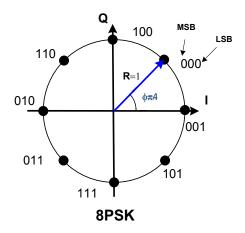


Figure 2.4.23-6: 8PSK Symbol Mapping into Constellation (CCSDS 131.3-B-1 and CCSDS 131.0-B-3)

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.4.4 16APSK

Modulations in accordance with CCSDS 131.2-B-1, CCSDS 131.3-B-1 or when used with coding schemes specified in CCSDS 131.0-B-3, shall employ a constellation as in figure 2.4.23-7 and with associated bit numbering convention as in figure 2.4.23-3.

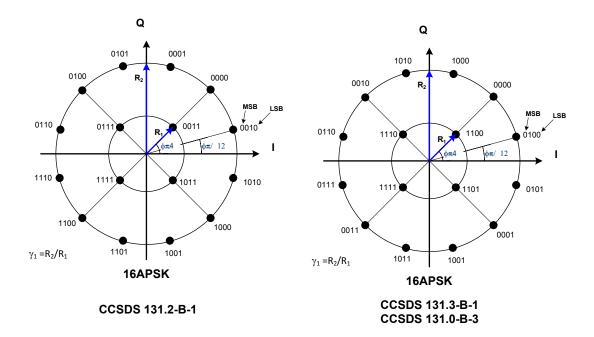


Figure 2.4.23-7: 16APSK Symbol Mapping into Constellation

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.4.5 32APSK

Modulations in accordance with CCSDS 131.2-B-1, CCSDS 131.3-B-1 or when used with coding schemes specified in CCSDS 131.0-B-3, shall employ a constellation as in figure 2.4.23-8 and with associated bit numbering convention as in figure 2.4.23-3.

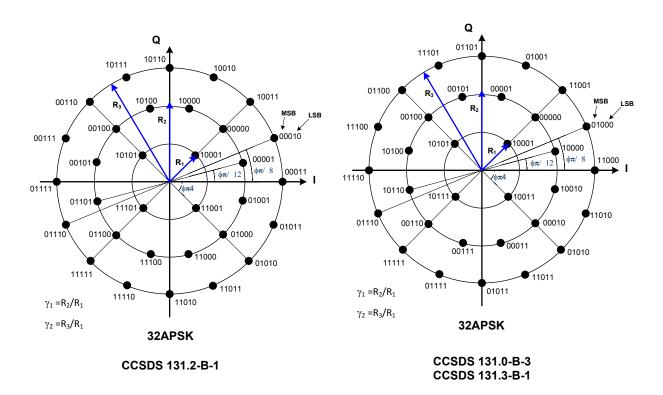


Figure 2.4.23-8: 32APSK Symbol Mapping into Constellation

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.4.6 64APSK

Modulations in accordance with CCSDS 131.3-B-1 or when used with coding schemes specified in CCSDS 131.0-B-3, shall employ a conventional Gray-coded constellation with absolute mapping (no differential coding) as in figure 2.4.23-9 and with associated bit numbering convention as in figure 2.4.23-3.

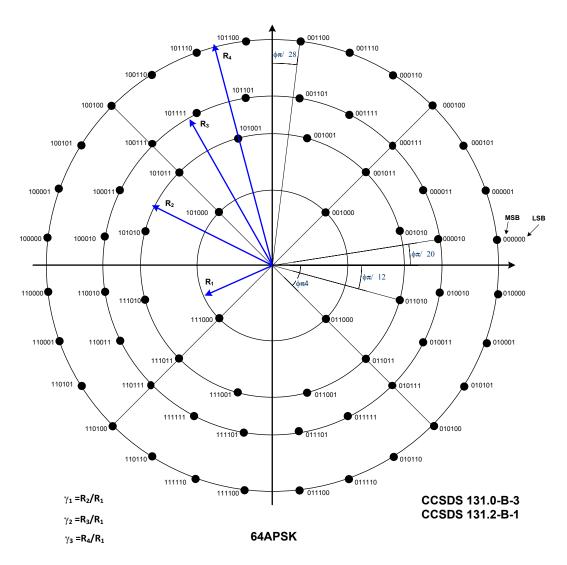


Figure 2.4.23-9: 64APSK Symbol Mapping into Constellation

2.4.23 MODULATION METHODS AT HIGH CODED SYMBOL RATE TRANSMISSIONS, EARTH EXPLORATION SATELLITES (EES) 25.5–27.0 GHZ, SPACE-TO-EARTH (Continued)

ANNEX 1 (Continued)

A1.5 FRAME HEADER MODULATION

The frame header shall consist of $\pi/2$ -BPSK modulated symbols as defined below.

Assuming that the frame header binary sequence of length *N* is denoted as:

$$(x_1, x_2, ..., x_N)$$

then the in-phase (*I*) and the quadrature (*Q*) components of the $N\pi/2$ -BPSK modulated symbols shall be determined according to the following rule:

$$I_{2i-1} = Q_{2i-1} = \frac{1}{\sqrt{2}} \left(1 - 2x_{2i-1} \right)$$

for i = 1, 2, ..., N/2

$$I_{2i} = -Q_{2i} = -\frac{1}{\sqrt{2}} \left(1 - 2x_{2i} \right)$$

Earth Stations and Spacecraft

2.4.24 TELEMETRY RANGING FOR SPACE RESEARCH 8.40–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORIES A AND B, AND 31.8–32.3 GHz BAND, SPACE-TO-EARTH, CATEGORY B

The CCSDS,

considering

- (a) that some missions have a need for simultaneous high-coded-symbol-rate telemetry and high-accuracy ranging;
- (b) that it is advantageous to total telemetry data volume when
 - interference to the telemetry signal from ranging signal(s) is eliminated;
 - the telemetry coded symbol rate is not constrained or dependent on the ranging chip rate;
- (c) that it is advantageous to a ranging system when
 - the full power of the received downlink signal can be used;
 - ranging products are available whenever telemetry is received, providing an always-on capability that simplifies mission planning and operations;
 - no interfering in-band transmission is present;
 - telemetry cancellation is not needed in the ground receiver;
- (d) that the spacecraft transponder tracking an uplink PN ranging signal generated in accordance with CCSDS 414.1-B-2 can be readily modified to record the range code phase and Frame Counter (FC) of a telemetry frame¹ as part of a telemetry ranging system shown in figure 2.4.24-1;
- (e) that ground equipment is available to measure the arrival time of a telemetry frame with sufficiently high accuracy when punctured convolutional codes or punctured concatenated codes are not used, and, together with the range code phase and FC pair, compute range;
- (f) that such telemetry ranging is compatible with GMSK or baseband filtered OQPSK transmissions at telemetry coded symbol rates exceeding 2 Msymbol/s, and with other CCSDS modulations at lower telemetry coded symbol rates;
- (g) that 40 bits can be used to represent the range code phase of a 2 Mchips/s PN sequence generated in accordance with CCSDS 414.1-B-2, to a resolution of 1 ps;
- (h) that such telemetry ranging can achieve a standard deviation in range accuracy of 1 m when the coded symbol rate is 200 ksymbol/s or larger, under the conditions described in appendix B;
- (i) that such telemetry ranging incurs a loss in telemetry throughput up to 4 percent from transmission of range code phase and FC pairs;

¹ Telemetry frames can be TM or AOS Transfer Frames. The type (master or virtual channel), position, and size of the FC depends on the selected frame type.

Earth Stations and Spacecraft

2.4.24 TELEMETRY RANGING FOR SPACE RESEARCH 8.40–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORIES A AND B, AND 31.8–32.3 GHz BAND, SPACE-TO-EARTH, CATEGORY B (Continued)

- (1) that telemetry ranging shall be used for space-to-Earth transmissions when considering (a), (b), and (c) are of primary concern;
- (2) that as part of a telemetry ranging system, the spacecraft shall track an uplink PN ranging signal generated in accordance with CCSDS 414.1-B-2 and latch the range code phase according to a signal triggered by the transmission of the leading edge of a synchronization marker, along with the FC of the associated telemetry frame;
- (3) that each range code phase shall be recorded as a 40-bit number, representing the number of chips times 2²⁰, rounded to an integer, and stored in 5 octets, with bit 0 being the MSB and bit 39 being the LSB;
- (4) that the recorded range code phase and FC pairs shall be subsequently transmitted in telemetry frames;^{2,3}
- (5) that the Earth received time of these telemetry frames shall be determined with sufficient accuracy⁴ to meet mission specific ranging requirements;
- (6) that as part of a telemetry ranging system, punctured convolutional codes or punctured concatenated codes shall not be used.

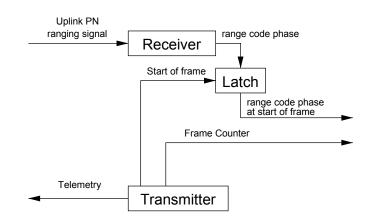


Figure 2.4.24-1: Telemetry Ranging on Spacecraft Transponder

² This recommendation does not prescribe the manner in which the range code phase and FC pairs are formatted or inserted into telemetry frames. Such implementations are mission-specific.

³ A test of telemetry ranging requires the additional capability to generate frames and insert the range code phase and FC pairs into telemetry.

⁴ The ranging performance in considering (h) requires a total timing accuracy from all sources to be below 6 ns.

2.4.24 TELEMETRY RANGING FOR SPACE RESEARCH 8.40–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORIES A AND B, AND 31.8–32.3 GHz BAND, SPACE-TO-EARTH, CATEGORY B (Continued)

Appendix A (Informative)—Telemetry Ranging Equations and Overview

The uplink PN ranging signal, consistent with CCSDS 414.1-B-2, can be expressed as:

$$x(t) = \sqrt{2P_{\rm t}} \cos[2\pi f_{\rm c}t + \phi_{\rm RG}(t - \tau_{\rm RG})],$$

where

- P_{t} is the total received power;
- $f_{\rm c}$ is the carrier frequency in Hz;

$$- \phi_{\rm RG}(t) = m_{\rm RG} \sum_{k=0}^{\infty} c_k h_{\rm sin}(t - kT_{\rm c});$$

- $-m_{\rm RG}$ is the peak modulation index in radians;
- $c_k \in \{-1,+1\}$ is a range code (PN-like sequence) defined in CCSDS 414.1-B-2;
- $h_{sin}(t)$ is the baseband shaping filter impulse response defined in CCSDS 414.1-B-2;
- $T_{\rm c}$ is the chip duration.

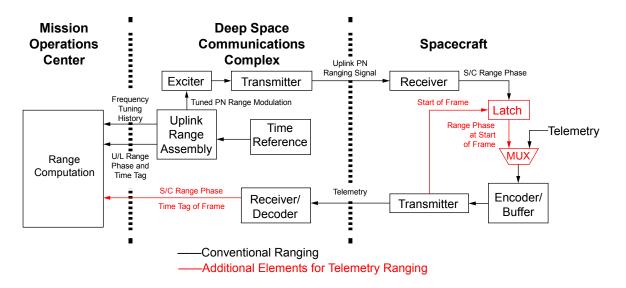
At any time t > 0, the range code phase of x(t) is the number of whole and fractional parts of chips, modulo the chip period, that have been transmitted since time 0, given by:

$$\psi(t) = \frac{t}{T_{\rm c}} \mod L,$$

where L = 1,009,479 is the period of the range code. The range code phase observed on the spacecraft is delayed by the one-way light time. Standard techniques can be used to acquire and track this range code phase: such an implementation is common to conventional 2-way PN ranging and telemetry ranging systems.

Figure 2.4.24-2 shows the structure of the telemetry ranging system in space and on the ground.

2.4.24 TELEMETRY RANGING FOR SPACE RESEARCH 8.40–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORIES A AND B, AND 31.8–32.3 GHz BAND, SPACE-TO-EARTH, CATEGORY B (Continued)



Appendix A (Informative)—Telemetry Ranging Equations and Overview (Continued)

Figure 2.4.24-2: Functional Block Diagram of Telemetry Ranging System

The data flow for making a range computation is as follows:

- A PN ranging signal is sent on uplink; as it is transmitted, its phase is periodically recorded and time-tagged on the ground (as usual with 2-way PN ranging).
- The spacecraft records the phase of the acquired uplink PN signal at the moment when a telemetry frame FC is transmitted. There is no downlink PN ranging signal.
- The range code phase and FC pair is transmitted to the ground as telemetry.
- Range is computed from the known uplink frequency tuning history, time-tagged PN phase from uplink range assembly, PN phase recorded on the spacecraft, and time tag of the telemetry frame. The range computation algorithm remains nearly unchanged.

2.4.24 TELEMETRY RANGING FOR SPACE RESEARCH 8.40–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORIES A AND B, AND 31.8–32.3 GHz BAND, SPACE-TO-EARTH, CATEGORY B (Continued)

Appendix B (Informative)—Range Delay Error Budget

An uplink PN ranging signal transmitted with a half-sine pulse shape and received using a DPLL (a matched filter to the sinewave) has a ranging measurement error variance in m^2 given by⁵

$$\sigma_u^2 = \frac{c^2 T_{\rm c}^2 N_0 B_{\rm L}}{\pi^2 P_{\rm r}}$$

where c is the speed of light, T_c is the PN chip duration, N_0 is the noise spectral density, B_L is the PN chip tracking single-sided loop bandwidth, and $P_r = P_t \sin^2(m_{RG})$ is the ranging power entering the PN tracking loop, where P_t is the total received power and m_{RG} is the range modulation index.

On the downlink, a ground receiver tracking the leading edge of a synchronization marker arrival with a DTTL has a ranging measurement error variance in m^2 given by

$$\sigma_d^2 = \frac{c^2 T_{\rm s}^2 W N_0 B_{\rm L}}{2 S_{\rm L} P_{\rm d}}$$

where T_s is the telemetry coded symbol duration, W is the DTTL window fraction, B_L is the DTTL single-sided loop bandwidth, S_L is the squaring loss of the DTTL, and $P_d = P_t \sin^2(m_{TLM})$ is the received power in the telemetry.

The two-way range error variance of the tracking loops is given by $\sigma_{ud}^2 = \sigma_u^2 + \sigma_d^2$. Additional sources of error relating to uncertainties in the geometry of the ground reference point, and ground and spacecraft delay calibrations may also be present.

A representative example is as follows:

- Uplink (PN transmission):
 - $T_c = 0.5 \times 10^{-6}$ s (i.e., 2 Mchip/s);
 - $P_t / N_0 = 50 \text{ dBHz};$
 - $m_{\rm RG} = 0.4\pi$ radians;
 - DPLL PN tracking loop bandwith $B_{\rm L} = 10$ Hz;
- Downlink (telemetry transmission):
 - $P_t / N_0 = 55 \text{ dBHz};$

⁵ This expression is consistent with Equation (13) of CCSDS 413.1-G-1, noting that the energy per chip is $E_{\text{cl,sin}} = P_{\text{r}}T_{\text{c}}$.

2.4.24 TELEMETRY RANGING FOR SPACE RESEARCH 8.40–8.50 GHz BAND, SPACE-TO-EARTH, CATEGORIES A AND B, AND 31.8–32.3 GHz BAND, SPACE-TO-EARTH, CATEGORY B (Continued)

Appendix B (Informative)—Range Delay Error Budget (Continued)

- Modulation index $m_{\text{TLM}} = 0.4\pi$ radians;
- $T_{\rm S} = 0.5 \times 10^{-6}$ (i.e., 200 ksymbol/s);
- DTTL tracking loop bandwith $B_{\rm L} = 0.5$ Hz;
- W = 1/4.

In this case, the squaring loss is $S_{\rm L} = 0.80$, and plugging into the equations above results in a two-way range error standard deviation of $\sigma_{ud} < 1$ m.

Earth Stations and Spacecraft

2.5.1A MINIMUM EARTH STATION GROUP DELAY CALIBRATION ACCURACY, CATEGORY A

The CCSDS,

considering

- (a) that Earth station group delay calibrations must include all equipment used for ranging measurements;
- (b) that the path used for Earth station group delay calibration is not always identical with the path used for ranging measurements;
- (c) that Earth station group delay calibrations require frequency translation to close the loop between the Earth station's transmitting and receiving equipment;
- (d) that frequency translation requires the use of a transponder or frequency translator which will not be in the path during ranging measurements;
- (e) that the group delay measurement error, exclusive of frequency translation, can reasonably be kept as low as 2 nanoseconds;
- (f) that the group delay measurement error of the frequency translation equipment can also be kept as low as 2 nanoseconds;
- (g) that, where a frequency translator is employed to close the loop between the Earth station's transmitting and receiving equipment, the ranging tone modulation indices used for up- and/or down-link during calibrations are generally not the same as those used during ranging measurements;
- (h) that the group delay variation of the Earth station receiver resulting from the use of different modulation indices does not exceed 4 nanoseconds;
- (i) that the calibration error due to spurious modulation in the Earth station's equipment does not exceed 2 nanoseconds;

recommends

that the Earth station's group delay be calibrated with an accuracy better than, or equal to, 10 nanoseconds for Category A missions.

Earth Stations and Spacecraft

2.5.18 MINIMUM EARTH STATION GROUP DELAY CALIBRATION ACCURACY, CATEGORY B

The CCSDS,

considering

- (a) that Earth station group delay calibrations must include all equipment used for ranging measurement;
- (b) that Earth station group delay calibrations require frequency translation to close the loop between the Earth station's transmitting and receiving equipment;
- (c) that the group delay measurement error of the uplink and downlink ranging system, exclusive of the frequency translation, can reasonably be kept as low as five nanoseconds;
- (d) that the group delay measurement error of the frequency translation equipment can be kept as low as three nanoseconds;
- (e) that other factors affecting group delay measurement error can reasonably be kept as low as three nanoseconds;
- (f) that these measurement error contributions are largely independent, and the total error formed from the root sum squares of the individual error contributions is less than seven nanoseconds $(1-\sigma)$;
- (g) that for navigation purposes, two meter range accuracy $(1-\sigma)$ is sufficient for most Category B missions in the 8400–8450 MHz band;
- (h) that a ground station group delay variation of no more than seven nanoseconds is consistent with two meter range accuracy, allowing for additional range error sources including media and spacecraft delay variation;

recommends

that the Earth station's 7145–7190 MHz uplink/8400–8450 MHz downlink group delay be calibrated with an accuracy better than, or equal to, seven nanoseconds $(1-\sigma)$ for Category B missions.

Earth Stations and Spacecraft

2.5.2A MINIMUM EARTH STATION RANGING GROUP DELAY STABILITY, CATEGORY A

The CCSDS,

considering

- (a) that most space agencies use ranging measurements for spacecraft orbit or trajectory determination;
- (b) that a ranging accuracy of 10 meters is generally adequate to meet the orbit or trajectory determination accuracy required by Category A missions;
- (c) that the accuracy of the ranging measurement is dependent upon the following factors:
 - the accuracy with which the station has been located on a geodetic grid;
 - the accuracy with which the medium can be modeled;
 - the accuracy of the frequency and timing system;
 - the accuracy with which the ranging channel's group delay has been calibrated;
 - the ranging data noise;
 - the group delay variations between calibrations;
- (d) that the ground system's contribution to the total 10 meter ranging error can be limited to 30 percent of the total;
- (e) that the elapsed time between the ranging calibration and the actual measurement can be limited to 12 hours or less;

recommends

that the total group delay variation in the ground station ranging equipment, over any 12-hour period, shall not exceed 20 nanoseconds.

2.5.2B MINIMUM EARTH STATION RANGING GROUP DELAY STABILITY, CATEGORY B

The CCSDS,

considering

- (a) that most space agencies use ranging measurements for spacecraft orbit or trajectory determination;
- (b) that precision range measurements are frequently required to meet the scientific objectives of Category B missions;
- (c) that the ranging data can yield scientific information about the medium and other physical phenomena;
- (d) that the value of the information obtained from the ranging measurement for scientific purposes is directly related to its accuracy;
- (e) that to satisfy the needs of all users, the ranging system should be capable of measurement accuracies of three meters or better;
- (f) that the accuracy of the ranging measurement is dependent upon the following factors:
 - the accuracy with which the station has been located on a geodetic grid;
 - the accuracy with which the medium can be modeled;
 - the accuracy of the frequency and timing system;
 - the accuracy with which the ranging channel's group delay has been calibrated;
 - the ranging data noise;
 - the group delay variations between calibrations;
- (g) that, in order to meet the measurement accuracies set forth in (e) above, it is important to control the magnitude of the error sources listed in (f) above;
- (h) that group delay variations in the ground station ranging equipment, which occur between calibrations of that delay, should not exceed 10 percent of the total error budget;
- (i) that the elapsed time between the ranging calibration and the actual measurement can be limited to 12 hours or less;
- (j) that short term variations in group delay affect range rate measurements which are sometimes required for range measurement;

- (1) that the total group delay variation in the ground station ranging equipment, over any 12 hour period, shall not exceed 2 nanoseconds;
- (2) that the derivative of the group delay (in a mean square sense) with time is within ± 0.1 mm/s.

Earth Stations and Spacecraft

2.5.3A MINIMUM SPACECRAFT RANGING CHANNEL GROUP DELAY STABILITY, CATEGORY A

The CCSDS,

considering

- (a) that most space agencies use ranging measurements for spacecraft orbit or trajectory determination;
- (b) that a distance measurement accuracy of 10 meters is generally adequate to meet the orbit or trajectory determination accuracies required by Category A missions;
- (c) that the highest frequency ranging signal determines the precision of the range measurement;
- (d) that the principal delay encountered by the highest frequency ranging signal results from the narrow band filter in the transponder's ranging channel;
- (e) that, in the absence of thermal noise, the spacecraft transponder's contribution to the total 10-meter ranging error should not exceed 15 percent of the total;
- (f) that transponder ranging channel phase linearity is desirable since it facilitates removing the range ambiguities;
- (g) that a linear phase response of transponder's ranging channel can be achieved with a four-pole Bessel bandpass filter having a one-sided bandwidth of 200 kHz and a group delay of 10 microseconds;
- (h) that a group delay stability of a few percent is easily achievable for such a filter;

- (1) that the delay variation of the highest frequency ranging signal, which occurs in the spacecraft's transponder, shall not exceed \pm 50 nanoseconds;
- (2) that pre-launch calibrations, together with telemetered data (voltage, temperature, static phase error, etc.) be sufficient to permit calculation of the transponder's ranging channel group delay with an accuracy of ± 5 nanoseconds at any time;
- (3) that recommendations (1) and (2) are applicable over the full range of Doppler frequencies, input signal level, temperatures, and voltages encountered during the mission's lifetime.
- NOTE For ranging transponder bandwidth, refer to Recommendation 401 (2.5.4A).

Earth Stations and Spacecraft

2.5.3B MINIMUM SPACECRAFT RANGING CHANNEL GROUP DELAY STABILITY, CATEGORY B

The CCSDS,

considering

- (a) that most space agencies use ranging measurements for spacecraft orbit or trajectory determination;
- (b) that a distance measurement accuracy of 3 meters is generally adequate to meet the orbit or trajectory determination accuracies required by Category B missions;
- (c) that the highest frequency ranging signal determines the precision of the range measurement;
- (d) that the principal delay encountered by the highest frequency ranging signal results from the narrow band filter in the transponder's ranging channel;
- (e) that, in the absence of thermal noise, the spacecraft transponder's contribution to the total 3-meter ranging error should not exceed 25 percent of the total;
- (f) that transponder ranging channel phase linearity is desirable since it facilitates removing the range ambiguities;
- (g) that a linear phase response of transponder's ranging channel can be achieved with a four-pole Bessel bandpass filter having a one-sided bandwidth of 3.5 MHz and a group delay of 600 nanoseconds;
- (h) that a filter group delay stability of a few percent is easily achievable;
- (i) that transponders with two or more space-to-Earth links having frequency diversity provide a means for determining range measurement errors induced by charged particles if the group delay difference(s) between the transponder's ranging channels is known with great accuracy;

- (1) that the delay variation of the highest frequency ranging signal, which occurs in the spacecraft's transponder, shall not exceed \pm 30 nanoseconds;
- (2) that pre-launch calibrations, together with telemetered data (voltage, temperature, static phase error, etc.) be sufficient to permit calculation of the transponder's ranging channel group delay with an accuracy of ± 2.5 nanoseconds at any time;
- (3) that the variation in differential delay between any two channels in a single transponder be less than ± 2 nanoseconds;
- (4) that the above recommendations are applicable over the full range of Doppler frequencies, input signal level, temperatures, and voltages encountered during the mission's lifetime.
- NOTE For ranging transponder bandwidth, refer to Recommendation 401 (2.5.4B).

Earth Stations and Spacecraft

2.5.4A RANGING TRANSPONDER BANDWIDTH FOR RESIDUAL CARRIER SYSTEMS, CATEGORY A

The CCSDS,

considering

- (a) that, for most missions, the ranging signals occupy a larger bandwidth than telecommand or housekeeping telemetry signals;
- (b) that it is important to limit the occupied bandwidth in the Category A mission frequency bands;
- (c) that sine-wave ranging modulation is used for limiting the occupied bandwidth;
- (d) that range measurement precision increases with the frequency of the highest frequency (major) ranging tone;
- (e) that most space agencies presently utilize a 100 kHz major tone as a compromise between range measurement precision and bandwidth occupancy;
- (f) that most space agencies currently employ tones at or above 4 kHz;
- (g) that the spacecraft transponder's ranging filter must reject d.c. and very low frequencies so that the residual carrier energy is not re-modulated on the return link;
- (h) that it is important to minimize Earth-to-space link noise which is re-modulated on the space-to-Earth link;
- (i) that high phase linearity of the spacecraft transponder's ranging channel filter over its bandwidth facilitates removing range ambiguities when multiple range tones are used;
- (j) that the ranging transponder's bandwidth can be adequately controlled using a 4-pole Bessel linear-phase bandpass filter which properly defines the attenuation roll-off characteristics;

- (1) that spacecraft transponders incorporate a bandpass filter in their ranging channel;
- (2) that the transponder ranging channel's baseband frequency response be uniform within ± 0.5 dB within the frequency range 3 kHz to 110 kHz;
- (3) that the transponder's ranging channel be designed to not deviate more than ± 6 degrees from a linear phase-frequency relationship within the bandwidth stated in recommends (2).

2.5.4B RANGING TRANSPONDER BANDWIDTH FOR RESIDUAL CARRIER SYSTEMS, CATEGORY B

The CCSDS,

considering

- (a) that range measurement precision increases with the frequency of the highest frequency (major) range code component;
- (b) that some space tracking systems for Category B missions employ square-wave ranging modulation having range code component frequencies from 1 Hz to 1 MHz;
- (c) that other spacecraft tracking systems for Category B missions employ sine wave tones, which can be selected in frequency from 100 kHz to 1 MHz, which may be phase modulated by a square wave code;
- (d) that these systems are designed to bi-phase-L modulate the high frequency code component with the low frequency code components to reduce interference with the telecommand and telemetry signals;
- (e) that the ranging transponder's bandwidth required to accommodate the ranging codes described in (b) permit flexibility in the selection of the types of ranging codes and modulation techniques;
- (f) that the ranging transponder's bandwidth can be adequately controlled using a 4-pole Bessel linear-phase bandpass filter which properly defines the attenuation roll-off characteristics;
- (g) that some margin should be included in the transponder filter's bandwidth to ensure proper operation with the commonly used 1 MHz tone or code;

- (1) that spacecraft transponders incorporate a bandpass filter in their ranging channel;
- (2) that the transponder ranging channel's baseband frequency response be uniform within ± 0.5 dB within the frequency range 3 kHz to 1.1 MHz;
- (3) that the one-half power (-3 dB) bandpass frequencies of the transponder's ranging channel be greater than 3 MHz and less than 1 kHz;
- (4) that the transponder's ranging channel be designed to not deviate more than ± 6 degrees from a linear phase-frequency relationship within the bandwidth stated in recommends (3);
- (5) that the one-sided equivalent noise bandwidth be limited to 3.5 MHz.

Earth Stations and Spacecraft

2.5.5A PN CODE PHASE SHIFT STABILITY REQUIRED IN SPACECRAFT SPREAD SPECTRUM REGENERATIVE RANGING TRANSPONDERS, CATEGORY A

The CCSDS,

considering

- (a) that most agencies use ranging measurements for spacecraft orbit or trajectory determination;
- (b) that Pseudo-Noise (PN) code sequences are used by some spacecraft transponders to make ranging measurements;
- (c) that, in the ranging mode, these transponders must synchronize an on-board PN code generator to the received PN code;
- (d) that, usually, the Earth-to-space link's signal-to-noise ratio in the ranging code's tracking loop is sufficiently large so that the phase error in the spacecraft's code tracking loop is an insignificant part of the ranging measurement error;
- (e) that a 1-sigma distance measurement accuracy of 10 meters is generally sufficient to meet the orbit or trajectory determination requirements of Category A missions;
- (f) that the spacecraft transponder's contribution to the total 10 meter distance measurement error should not exceed 30 percent of the total;
- (g) that the variation of transponder's temperature is the principal cause of instability in the time delay and is normally measured and recorded prior to launch;

recommends

that the time delay of PN codes through a spacecraft's transponder should not vary from its calibrated, pre-launch value by more than 20 nanoseconds.

2.5.6B DIFFERENTIAL ONE-WAY RANGING FOR SPACE-TO-EARTH LINKS IN ANGULAR SPACECRAFT POSITION DETERMINATION, CATEGORY B

The CCSDS,

considering

- (a) that Very Long Baseline Interferometry (VLBI) measurement allows determination of geometric delay for space radio sources by the simultaneous reception and processing of radio signals at two stations;
- (b) that using the VLBI geometric delay measurements from two stations, the angular position of a spacecraft can be accurately determined for navigational purposes;
- (c) that the VLBI technique requires differencing phase measurements of sinusoidal tones or harmonics¹ (known as Differential One-way Ranging [DOR] tones), modulated on the spacecraft's downlink RF carrier, which have been acquired at two (or more) stations;
- (d) that VLBI accuracy depends, among other parameters, upon a priori knowledge of both the length and orientation of the baseline vector between the stations, the station clock drift, and the media delays;
- (e) that measurement errors can be greatly reduced by observing a quasar or Extra-Galactic Radio source (EGRS) that is angularly near the spacecraft, and then differencing the delay measured from the ERGS observation with the delay measured from observing the spacecraft (Δ DOR);
- (f) that the spacecraft delay measurement's precision depends upon the received DOR tone power-to-noise density ratio (P_{DOR}/N_0) in each of the two most widely spaced DOR tone fundamental harmonics, f_{BW} Hz apart, as shown in the error relationship:

$$\varepsilon_{\tau_{\rm SC}} = \frac{1}{\pi f_{\rm BW} \sqrt{2 \frac{P_{\rm DOR}}{N_0} T_{\rm obs}}} \text{ seconds, where:}$$

$$f_{\rm BW} = \text{DOR tone spanned bandwidth}^2 \text{ (Hz);}$$

$$T_{\rm obs} = \text{observation time (s);}$$

$$P_{\rm DOR}/N_0 = \text{power to noise density ratio of one fundamental harmonic}$$
(lower or upper) of DOR tone (Hz);

- (g) that a narrow spanned bandwidth is needed for integer cycle ambiguity resolution because the Δ DOR time delay ambiguity equals the reciprocal of the minimum spanned bandwidth;
- (h) that delay ambiguities in observables generated from wider bandwidths are resolved successively by using delay estimates from the narrower spanned bandwidths, thereby using multiple tones;
- (i) that, contrary to considering (g), a wide spanned bandwidth is needed for high measurement accuracy;

¹ For each tone that phase modulates the downlink carrier, upper and lower fundamental harmonics are created.

 $^{^{2}}$ The spanned bandwidth is the widest separation between detectable tones in the downlink spectrum. This is usually given as twice the frequency of a sinusoidal 'DOR Tone' modulated onto the carrier.

2.5.6B DIFFERENTIAL ONE-WAY RANGING FOR SPACE-TO-EARTH LINKS IN ANGULAR SPACECRAFT POSITION DETERMINATION, CATEGORY B (Continued)

- (j) that a typical $\triangle DOR$ error budget is dominated by errors due to low quasar SNR, quasar position uncertainty, instrument phase ripple, and the troposphere;
- (k) that EGRS delay measurement precision and instrument errors vary as $1/f_{BW}$;
- (1) that direct phase modulation of a sine-wave DOR tone on the downlink RF carrier is more spectrum efficient than square-wave modulation;
- (m) that the received spacecraft DOR tone power must be adequate for tone detection, with the threshold approximately determined by:

Threshold =
$$\left[\frac{P_{\text{DOR}}}{N_0}\right]$$
 = 13 dBHz if no carrier aiding is used;

(n) that the DOR tone threshold reduces to:

Threshold =
$$\left[\frac{P_{\text{DOR}}}{N_0}\right]$$
 = 1 dBHz

provided that the spacecraft RF carrier's SNR is greater than 13 dB and that the extracted carrier phase is used to aid in tracking the DOR tone whose frequency is a coherent submultiple of the spacecraft's RF carrier frequency;

- (o) that the stability of the spacecraft's RF carrier, over a 1-second averaging time, must be adequate for signal detection;
- (p) that the Space Research service frequency allocation for Category B missions is 10 MHz in the 2 GHz band, 50 MHz in the 8 GHz band, 400 MHz in the 32 GHz band, and 1 GHz in the 37 GHz band;
- (q) that quasar flux is reduced and system noise temperature is higher at 32 and 37 GHz as compared to 8 GHz;
- (r) that DOR tones are used by many interplanetary missions and that the frequency bands used for DOR tones are shared with other satellite and terrestrial users;
- (s) that missions with limited downlink tracking capability will benefit from a lower frequency DOR tone to aid with integer cycle ambiguity resolution;

- (1) that DOR tones shall be sine waves;
- (2) that the DOR tones shall be phase modulated on the Radio Frequency (RF) carrier;
- (3) that either direct tone detection or carrier-aided tone detection shall be used;
- (4) that DOR tones shall be coherent with the downlink RF carrier frequency if carrier-aided detection is used;

2.5.6B DIFFERENTIAL ONE-WAY RANGING FOR SPACE-TO-EARTH LINKS IN ANGULAR SPACECRAFT POSITION DETERMINATION, CATEGORY B (Continued)

- (5) that one DOR tone shall be used in the 2 GHz band, two DOR tones shall be used in the 8 GHz band, and three DOR tones shall be used in the 32 and 37 GHz bands;
- (6) that the approximate DOR tone fundamental harmonics frequencies used in each band shall be those in table 2.5.6B-1;

Space-to-Earth Frequency Band	Number of DOR Tones	Approximate DOR Tone Fundamental Harmonics Frequencies	Notes
2 GHz	1	± 1 or ± 4 MHz	1, 2
8 GHz	2	± 1 or ± 4 MHz and ± 20 MHz	1, 2
32 & 37 GHz	3	± 1 or ± 4 MHz, ± 20 MHz, and ± 76 MHz	1, 2

NOTES

- 1 The lower frequency DOR tone may be chosen as 4 MHz rather than 1 MHz for missions that will have sufficient navigation data to maintain an accurate ephemeris. The delay ambiguity that must be resolved for a 4 MHz tone is 0.25 μ sec. To resolve such an ambiguity with 99-percent probability, the 1-sigma apriori delay must be known to better than (1/6)*(0.25 μ sec). This is easily accomplished for missions with long tracking passes but may not be possible for missions with limited downlink tracking that should therefore select the 1 MHz tone.
- 2 A telemetry signal, such as a subcarrier in the 250 kHz to 1 MHz range, can be used in place of a 1 MHz DOR tone for ambiguity resolution.
- (7) that, if spacecraft DOR data are to be acquired in the one-way mode, the spacecraft's oscillator stability over a 1-second averaging time shall be:

 $\Delta f/f \le 4.0 \times 10^{-10}$ at 2 GHz, $\Delta f/f \le 1.0 \times 10^{-10}$ at 8 GHz, $\Delta f/f \le 0.3 \times 10^{-10}$ at 32 and 37 GHz

where: $\Delta f/f$ denotes the spacecraft oscillator's frequency variations (square root of Allan's variance);

- (8) that sufficient power shall be available in the outermost DOR tone so that the mission requirements in terms of orbit determination accuracy are met (see NOTE, below, and table 2.5.6B-2) provided that in any case P_{DOR} / N_0 shall not exceed 30 dBHz;
- (9) that the capability to further reduce DOR tones power shall be implemented in the spacecraft (e.g., applying in-flight modulation index flexibility);

2.5.6B DIFFERENTIAL ONE-WAY RANGING FOR SPACE-TO-EARTH LINKS IN ANGULAR SPACECRAFT POSITION DETERMINATION, CATEGORY B (Continued)

- (10) that the power flux density on the Earth of DOR tones outside the deep space band shall be limited to -211 dBW/m² in the 8 GHz band and -204 dBW/m² in the 32 GHz band;
- (11) that no DOR tones in the 31.3–31.8 GHz band shall be employed.
- NOTE Good engineering practice recommends limiting the error contribution due to spacecraft delay measurement $\varepsilon_{\tau_{sc}}$ to ¹/₄ of the total measurement accuracy requirement $\varepsilon_{\Delta \tau_{RSS}}$ when all error contributions are considered.

This implies that the minimum received P_{DOR} / N_0 depends on spanned bandwidth f_{BW} and spacecraft observation time T_{obs} , as well as on accuracy requirement. As in considering (f) above, the relation is:

$$\frac{P_{\text{DOR}}}{N_0} = \frac{1}{2\left(\pi f_{\text{BW}} \varepsilon_{\tau_{\text{SC}}}\right)^2 T_{\text{obs}}} \text{ in Hz.}$$

Because of geographical constraints on where stations are actually located, and related mutual visibility issues, a typical observation time T_{obs} of 5 to 10 minutes is used.

Some representative values for P_{DOR} / N_0 based on the above best practice considerations are shown in table 2.5.6B-2.

Table 2.5.6B-2	: Representative P _{DOR} / I	V ₀ for Selected Value	es of System Parameters ³
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$\varepsilon_{\Delta \tau_{\rm RSS}} ({\rm ns})$	$\varepsilon_{\tau_{\rm SC}}({\rm ns})$	$f_{\rm BW}$ (Hz)	$T_{\rm obs}(s)$	$P_{\rm DOR} / N_0 ({\rm dBHz})$
0.4	0.21	38.25×10^{6}	600	1.0
0.22	0.054	38.25×10^{6}	600	13.0
0.12	0.03	38.25×10^{6}	600	18.1
0.06	0.015	38.25×10^{6}	600	24.1
0.06	0.015	38.25×10^{6}	300	27.1
0.03	0.0075	153×10^{6}	300	21.1

³ The best practice of keeping $\varepsilon_{\tau_{SC}}$ to be no more than ¹/₄ of $\varepsilon_{\Delta \tau_{RSS}}$ has been relaxed for the first row since thermal noise on the spacecraft delay measurement would be the dominant error source.

2.6.1 TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 2025–2120 MHz AND 2200–2300 MHz BANDS

The CCSDS,

considering

- (a) that a great number of space missions, which require coherency between the Earth-to-space and space-to-Earth links for development of navigational data, operate in the 2025–2120 MHz and 2200–2300 MHz frequency bands;
- (b) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) must be defined;
- (c) that many CCSDS agencies have used the 221/240 TTFR in their space missions for many years;
- (d) that many CCSDS agencies have developed equipment using this TTFR for their spacecraft and Earth stations which represent a large financial investment;
- (e) that the 221/240 TTFR adequately translates the 2025–2120 MHz band to the 2200–2300 MHz band;

- (1) that CCSDS agencies continue to use the 221/240 TTFR¹ for Category A and Category B space missions which are operating in the above bands;
- (2) that this TTFR is only necessary for those space missions which require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

¹ On-board implementations may result in deviations from this value and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.2 TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 7145–7235 MHz AND 8400–8500 MHz BANDS

The CCSDS,

considering

- (a) that a great number of space missions which require coherency between the Earth-to-space and space-to-Earth links for development of navigational data operate in the 7145–7235 MHz and 8400–8500 MHz frequency bands;
- (b) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) must be defined;
- (c) that some CCSDS agencies have used the 749/880 TTFR for several years and others are planning its use for the near future;
- (d) that some CCSDS agencies have developed equipment using this TTFR for their spacecraft and Earth stations and others are planning to do so in the near future, representing a large financial investment;
- (e) that the 749/880 TTFR adequately translates the 7145–7235 MHz band to the 8400–8500 MHz band;

- (1) that CCSDS agencies use the 749/880 TTFR¹ for their Category A and Category B space missions operating in the 7145–7235 and 8400–8500 MHz bands;
- (2) that this TTFR is only necessary for those space missions which require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

¹ On-board implementations may result in deviations from this value and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.3A TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 2025–2110 MHz AND 8450–8500 MHz BANDS, CATEGORY A

The CCSDS,

considering

- (a) that future Category A space missions will use Earth-to-space links in the 2025–2110 MHz band in conjunction with space-to-Earth links in the 8450–8500 MHz band;
- (b) that these space missions may require coherency between the Earth-to-space and space-to-Earth links for the development of navigational data;
- (c) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) must be defined;
- (d) that the two frequency bands under consideration differ regarding the available bandwidth;
- (e) that the lower and upper parts of the 2025–2110 MHz band are already rather densely occupied by long term missions and, consequently, they should be avoided;
- (f) that for reasons of standardization of the on-board receiver design, a ratio between the two bands under consideration should be chosen in such a way as to contain the number 221 of the 221/240 TTFR for 2 GHz downlink/uplink systems;
- (g) that for reasons of simplicity of on-board transmitter design, aTTFR which can be divided down to small integers should be selected;

- (1) that CCSDS agencies use a TTFR¹ of 221/900 for systems operating in the 2075–2087 MHz and 8450–8500 MHz bands;
- (2) that this TTFR is only necessary for those space missions which require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

¹ On-board implementations may result in deviations from this value and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.4A TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 7190–7235 MHz AND 2200–2290 MHz BANDS, CATEGORY A

The CCSDS,

considering

- (a) that future Category A space missions will use Earth-to-space links in the 7190–7235 MHz band in conjunction with space-to-Earth links in the 2200–2290 MHz band;
- (b) that these space missions may require coherency between Earth-to-space and space-to-Earth links for the development of navigational data;
- (c) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) must be defined;
- (d) that the two frequency bands under consideration differ regarding the available bandwidth;
- (e) that the lower and upper parts of the 2200–2290 MHz band are already rather densely occupied by long term missions and, consequently, they should be avoided;
- (f) that in many cases, the 2 GHz transponder will not be modified, and the 7 GHz Earth-to-space link can be considered as optional;
- (g) that a design goal of the 2/8 GHz transponder should be a simplicity of interfaces and system flexibility;
- (h) that similarity of the circuit layout with the transponders developed for the deep space frequency bands may make hardware reuse possible;

- (1) that CCSDS agencies use a TTFR¹ of 765/240 for systems operating in the 7190–7235 MHz and 2256–2270 MHz bands;
- (2) that this TTFR is only necessary for those space missions which require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

¹ On-board implementations may result in deviations from this value and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.5B TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 2110–2120 MHz AND 8400–8450 MHz BANDS, CATEGORY B

The CCSDS,

considering

- (a) that Category B space missions use Earth-to-space links in the 2110–2120 MHz band in conjunction with space-to-Earth links in the 8400–8500 MHz band;
- (b) that many of these space missions require coherency between the Earth-to-space and space-to-Earth links for the development of navigational data;
- (c) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) must be defined;
- (d) that for reasons of standardization of the on-board receiver design, a ratio between the two bands under consideration should be chosen in such a way as to contain the number 221 of the 221/240 ratio for 2 GHz uplink/downlink systems;
- (e) that for reasons of simplicity of on-board transmitter design, a TTFR which can be divided down to small integers should be selected;
- (f) that some CCSDS agencies utilize a TTFR of 221/880 and others are planning to do so in the near future;

- (1) that CCSDS agencies use a TTFR¹ of 221/880 for their Category B missions operating in the 2110-2120 MHz and 8400-8450 MHz bands;
- (2) that this TTFR is only necessary for those space missions which require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

¹ On-board implementations may result in deviations from this value and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.6B TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 7145–7190 MHz AND 2290–2300 MHz BANDS, CATEGORY B

The CCSDS,

considering

- (a) that Category B space missions will use Earth-to-space links in the 7145–7190 MHz band in conjunction with space-to-Earth links in the 2290–2300 MHz band;
- (b) that many of these space missions require coherency between the Earth-to-space and space-to-Earth links for the generation of navigational data;
- (c) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) must be defined;
- (d) that for reasons of standardization of on-board receiver design, a TTFR containing the number 749 of the 749/880 TTFR for the 7 GHz uplink/8 GHz downlink systems should be selected;
- (e) that for reasons of standardization of on-board transmitter design, a TTFR containing the number 240 of the 221/240 TTFR for 2 GHz uplink/downlink systems should be selected;

- (1) that CCSDS agencies use a TTFR¹ of 749/240 for Category B missions operating in the 7145–7190 MHz and 2290–2300 MHz bands;
- (2) that this TTFR is only necessary for those space missions which require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

¹ On-board implementations may result in deviations from this value and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.7B TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 7145–7190 MHz AND 31.8–32.3 GHz BANDS, CATEGORY B

The CCSDS,

considering

- (a) that many Category B space missions will use Earth-to-space links in the 7145–7190 MHz band in conjunction with space-to-Earth links in the 31.8–32.3 GHz band;
- (b) that many of these space missions require coherency between the Earth-to-space and space-to-Earth links for the generation of navigation data;
- (c) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) that provides a maximum number of coherent channels must be defined;
- (d) that three TTFRs are needed to allow almost full access of the entire 31.8–32.3 GHz band while maintaining coherency between the space-to-Earth link and the Earth-to-space link in the 7145–7190 MHz band;
- (e) that for reasons of standardization, of the on-board receiver design, a TTFR should be chosen in such a way as to contain 749 as the numerator of the ratio for the 7 GHz uplink / 32 GHz downlink system;
- (f) that an odd number (749) has been selected as the uplink factor (numerator of the TTFR) and an even number should be selected as the downlink factor (denominator of the TTFR) to prevent downlink harmonic interference with uplink signals;
- (g) that, if the denominator of the TTFR can be factored into small prime numbers, e.g., \leq 19, then conventional frequency multiplying devices, followed by band-pass filters, can be easily implemented;
- (h) that, if the denominator of the TTFR can be factored into small prime numbers, it offers designers implementation flexibility;
- (i) that a denominator of the TTFR of 3344 has been recommended by CCSDS and implemented on some transponders;
- (j) that a denominator of the TTFR of 3360 has been implemented on at least one transponder and is being implemented on another;
- (k) that a denominator of the TTFR of 3328 would complement the 3344 and 3360 denominators to allow almost full access of the 31.8–32.3 GHz band;
- (1) that existing Earth stations for Category B missions capable of receiving 31.8–32.3 GHz band can readily support multiple transponder turnaround ratios;

recommends

that CCSDS Agencies use the following TTFRs¹ for Category B missions operating in the 7145–7190 MHz and 31.8–32.3 GHz bands:

749/3328; 749/3344; and 749/3360.

¹ On-board implementations may result in deviations from these values and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.8B TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 31.8–32.3 GHz AND 34.2–34.7 GHz BANDS, CATEGORY B

The CCSDS,

considering

- (a) that Category B space missions use Earth-to-space links in the 34.2–34.7 GHz band in conjunction with space-to-Earth links in the 31.8–32.3 GHz band;
- (b) that many of these space missions require coherency between the Earth-to-space and space-to-Earth links for the generation of navigation data;
- (c) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) that provides a maximum number of coherent channels must be defined;
- (d) that for reasons of standardization, of the on-board receiver design, a TTFR should be chosen in such a way as to contain 3344 as the denominator of the ratio for the 34 GHz uplink / 32 GHz downlink system;¹
- (e) that an odd number is selected as the uplink factor (numerator of the TTFR) and an even number is selected as the downlink factor (denominator of the TTFR) to prevent downlink harmonic interference with uplink signals;
- (f) that, if the denominator of the TTFR can be factored into prime numbers \leq 19, then conventional frequency multiplying devices, followed by band-pass filters, can be implemented;
- (g) that, if the difference between the numerator and the denominator of the TTFR can be factored into prime numbers \leq 19, then conventional frequency multiplying devices, followed by bandpass filters, can be implemented;
- (h) that the number of frequency multipliers should be reduced to minimize the delay in the spacecraft receiver's closed phase-locked-loop path;
- (i) that the denominator of the TTFR should be chosen to allow maximum Voltage Controlled Oscillator (VCO), Automatic Gain Control (AGC), and Diplexer implementation flexibility;
- (j) that the denominator of the TTFR should be chosen to generate a minimum number channels that fall into the *Inter-Satellite Service* allocation in the 32–33 GHz band;
- (k) that many Category B space missions carry both X-band and Ka-band transponders and that sharing of transponder hardware and implementation simplicity are desirable;

recommends

that CCSDS Agencies use one of the following TTFRs,² 3599/3344 and 3599/3360, for Category B missions operating in the 34.2–34.7 GHz and 31.8–32.3 GHz bands.

¹ See recommendation 401 (2.6.7B) B-1.

 $^{^{2}}$ On-board implementations may result in deviations from these values and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.9A TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 7190 – 7235 MHz AND 25.5 – 27.0 GHz BANDS, CATEGORY A

The CCSDS,

considering

- (a) that future space missions can use Earth-to-space links in the 7190–7235 MHz band in conjunction with space-to-Earth links in the 25500–27000 MHz band;
- (b) that these space missions may require coherency between Earth-to-space and space-to-Earth links for development of navigational data;
- (c) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) must be defined;
- (d) that the two frequency bands under consideration differ greatly regarding the available bandwidth, and consequently will require many TTFRs;
- (e) that for reasons of similarity of on-board receiver design, a TTFR containing the number 749 in the numerator should be selected to be consistent with the existing TTFR recommendation for the 7145–7235 MHz and 8400–8500 MHz bands;¹
- (f) that an odd number (749) has been selected as an uplink factor (numerator of the TTFR), and thus an even number should be selected as the denominator of the TTFR to prevent downlink harmonic interference with uplink signals;
- (g) that for reasons of simplicity of on-board transmitter, TTFRs whose numerators and denominators can be factored into a minimum set of small integers are preferable;

- (1) that CCSDS agencies use the TTFRs² in table 2.6.9A-1 for systems operating in the 7190–7235 MHz and 25500-27000 MHz bands;
- (2) that these TTFRs are only necessary for those space missions which require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

¹ See recommendation 401 (2.6.2) B-2.

 $^{^{2}}$ On-board implementations may result in deviations from these values and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.9A TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 7190 – 7235 MHz AND 25.5 – 27.0 GHz BANDS, CATEGORY A (Continued)

Table 2.6.9A-1:Transponder Turnaround Frequency Ratios for 7190–7235 MHz and 25.5–27.0GHz Bands

Transponder	Allocated	Available Earth-to-	Allocated Space-	Available Space-to-Earth
Turnaround	Earth-to-Space	Space Coherent	to-Earth Band	Coherent Band ² (MHz)
Frequency Ratio	Band (MHz)	Band ³ (MHz)	(MHz)	
(E-S/S-E)				
749/2652	7190–7235	7201.923-7235	25500-27000	25500.000-25617.116
749/2662	7190–7235	7190–7235	25500-27000	25553.778-25713.712
749/2678	7190–7235	7190–7235	25500-27000	25707.370-25868.264
749/2688	7190–7235	7190–7235	25500-27000	25803.364-25967.860
749/2704	7190–7235	7190–7235	25500-27000	25956.996-26119.413
749/2720	7190–7235	7190–7235	25500-27000	26110.547-26273.965
749/2736	7190–7235	7190–7235	25500-27000	26264.139-26428.518
749/2754	7190–7235	7190–7235	25500-27000	26436.929-26602.390
749/2772	7190–7235	7190–7235	25500-27000	26609.720-26776.262
749/2784	7190–7235	7190–7235	25500-27000	26724.913-26892.176
749/2800	7190–7235	7190–7222.5	25500-27000	26878.505-27000.000

³ The available coherent band refers to the range of frequencies which are coherent with the corresponding Earth-tospace or space-to-Earth band in the opposite direction.

2.6.10A TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 2025 – 2110 MHz AND 25.5 – 27.0 GHz BANDS, CATEGORY A

The CCSDS,

considering

- (a) that future space missions can use Earth-to-space links in the 2025–2110 MHz band in conjunction with space-to-Earth links in the 25500–27000 MHz band;
- (b) that these space missions may require coherency between Earth-to-space and space-to-Earth links for development of navigational data;
- (c) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) must be defined;
- (d) that for reasons of similarity of on-board receiver design, a TTFR containing the number 221 in the numerator should be selected to be consistent with the existing TTFR recommendation for the 2025–2110 MHz and 8450–8500 MHz bands;¹
- (e) that an odd number (221) has been selected as an uplink factor (numerator of the TTFR), and thus an even number should be selected as the denominator of the TTFR to prevent downlink harmonic interference with uplink signals;
- (f) that for reasons of simplicity of on-board transmitter, TTFRs whose numerators and denominators can be factored into a minimum set of small integers are preferable;
- (g) that since the uplink noise is amplified on the downlink by a factor equal to the squared turnaround frequency ratio, a high uplink signal-to-noise ratio may be needed for coherent operations;

- that CCSDS agencies use the TTFRs² in table 2.6.10A-1 for systems operating in the 2025–2110 MHz and 25500–27000 MHz bands;
- (2) that these TTFRs are only necessary for those space missions which require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

¹ See recommendation 401 (2.6.3A) B-1.

 $^{^{2}}$ On-board implementations may result in deviations from these values and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.10A TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 2025 – 2110 MHz AND 25.5 – 27.0 GHz BANDS, CATEGORY A (Continued)

Table 2.6.10A-1:Transponder Turnaround Frequency Ratios for 2025–2110 MHz and 25.5–
27.0 GHz Bands

Transponder Turnaround Frequency Ratio (E-S/S-E)	Allocated Earth-to-Space Band (MHz)	Available Earth-to-Space Coherent Band ³ (MHz)	Allocated Space-to-Earth Band (MHz)	Available Space-to- Earth Coherent Band ² (MHz)
221/2772	2025–2110	2033–2110	25500–27000	25500.000-26465.701
221/2850	2025–2110	2025–2094	25500–27000	26114.253-27000.000

³ The available coherent band refers to the range of frequencies which are coherent with the corresponding Earth-tospace or space-to-Earth band in the opposite direction.

2.6.11A TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 7190– 7250 MHz AND 8025–8400 MHz BANDS, CATEGORY A

The CCSDS,

considering

- (a) that Earth Exploration Satellite Service (EESS) missions can use Earth-to-space links in the 7190–7250 MHz band in conjunction with space-to-Earth links in the 8025–8400 MHz band;
- (b) that these EESS missions may require coherency between Earth-to-space and space-to-Earth links for development of navigational data;
- (c) that for space missions which require coherency, a Transponder Turnaround Frequency Ratio (TTFR) must be defined;
- (d) that the two frequency bands under consideration differ regarding the available bandwidth;
- (e) that multiple TTFRs are needed to allow almost full access of the entire 8025–8400 MHz band while maintaining coherency between the space-to-Earth link and the Earth-to-space link in the 7190–7250 MHz band;
- (f) that for reasons of similarity of on-board receiver design, a TTFR should be chosen in such a way as to contain 749 as the numerator of the ratio for the 7 GHz uplink / 8 GHz downlink system, to be consistent with the existing TTFR recommendation for the 7145–7235 MHz and 8400–8500 MHz bands;¹
- (g) that an odd number (749) has been selected as an uplink factor (numerator of the TTFR), and thus an even number should be selected as the downlink factor (denominator of the TTFR) to prevent downlink harmonic interference with uplink signals;
- (h) that for reasons of simplicity of on-board transmitter design, a TTFR which can be divided down to small integers should be selected;
- (i) that TTFRs resulting in coherent downlink carrier frequencies close to 8400 MHz should be avoided, in order to protect Earth stations of Space Research Service (Category B) missions using the adjacent 8400–8450 MHz band allocation;

- (1) that CCSDS agencies use the TTFRs² in table 2.6.11A-1 for EESS systems operating in the 7190-7250 MHz and 8025-8400 MHz bands;
- (2) that these TTFRs are only necessary for those space missions which require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

¹ See CCSDS Recommendation 401 (2.6.2) B-1.

 $^{^{2}}$ On-board implementations may result in deviations from these values and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

2.6.11A TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 7190– 7250 MHz AND 8025–8400 MHz BANDS, CATEGORY A (Continued)

Table 2.6.11A-1:Transponder Turnaround Frequency Ratios for 7190–7250 MHz and 8025–
8400 MHz Bands

Transponder				
Turnaround	Allocated	Available Earth-to-	Allocated	Available Space-to-
Frequency Ratio	Earth-to-Space	Space Coherent	Space-to-Earth	Earth Coherent
(E-S/S-E)	Band (MHz)	Band (MHz)	Band (MHz)	Band ³ (MHz)
749/836	7190–7250	7190–7250	8025-8400	8025.154-8092.123
749/840	7190–7250	7190–7250	8025-8400	8063.551-8130.841
749/846	7190–7250	7190–7250	8025-8400	8121.148-8188.919
749/850	7190–7250	7190–7250	8025-8400	8159.546-8227.637
749/854	7190–7250	7190-7250	8025-8400	8197.944-8266.355
749/858	7190–7250	7190–7250	8025-8400	8236.342-8305.073
749/864	7190–7250	7190–7250	8025-8400	8293.939-8363.151

³ The available coherent band refers to the range of frequencies that are coherent with the corresponding Earth-tospace or space-to-Earth band in the opposite direction.

2.6.12 SPACECRAFT TRANSPONDER IF AND AGC AMPLIFIER BANDWIDTHS FOR COHERENT OPERATION

This recommendation has been deleted (CCSDS resolution CMC-R-2006-03-008).

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2.6.13 TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR MULTIPLE SPACECRAFT PER APERTURE SUPPORT IN THE 7145–7235 MHz AND 8400–8500 MHz BANDS

The CCSDS,

considering

- (a) that there are several methods,¹ broadly called Multiple Spacecraft Per Aperture (MSPA), whereby a single Earth-station antenna can be used to support multiple spacecraft located within its antenna beamwidth;
- (b) that several space agencies have already used MSPA to simultaneously receive downlink telemetry from multiple spacecraft using a single Earth-station antenna, in cases where each spacecraft is transmitting on a different frequency;
- (c) that there are various approaches¹ for MSPA on the uplink, which have their own relative merits and drawbacks in terms of implementation complexity and operational impacts;
- (d) that the preferred approach¹ for MSPA may be different depending on the time frame being considered;
- (e) that one of the recommended MSPA methods consists of having a common uplink frequency but different downlink frequencies among the MSPA supported spacecraft;
- (f) that this method requires implementation of flexible Transponder Turnaround Frequency Ratios (TTFRs) on some or all of the spacecraft transponders;
- (g) that current space agency plans for MSPA are typically limited to four simultaneous spacecraft or less;
- (h) that software-defined radios may offer the flexibility of implementing an almost arbitrary TTFR, different from transponder designs based on fixed analog multipliers and phase-locked dielectric resonant oscillators;
- (i) that for reasons of maintaining compatibility with existing spacecraft receiver designs and with the TTFR recommendation² for the 7/8 GHz band, the flexible TTFRs should contain the number '749' in the numerator;

recommends

that agencies planning on implementing MSPA with flexible transponder turnaround frequency ratios in the 7/8 GHz bands use the TTFRs³ in Table 2.6.13-1.

¹ See CCSDS Recommendation 401 (3.1.7)

² See CCSDS Recommendation 401 (2.6.2)

³ On-board implementations may result in deviations from these values and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

Transponder	Category A		Catego	ory B
Turnaround				
Frequency	Available Earth-to-	Available Space-	Available Earth-to-	Available Space-to-
Ratio ^{4,5}	Space Frequency	to-Earth Frequency	Space Frequency	Earth Frequency
(E-s/s-E)	Range, ⁶ MHz	Range, MHz	Range, ⁶ MHz	Range, MHz
749/876	7224.943-7235	8450-8461.762	7182.192-7190	8400-8409.132
749/877	7216.705-7235	8450-8471.422	7174.002 - 7190	8400-8418.732
749/878	7208.485-7235	8450-8481.081	7165.831-7190	8400-8428.331
749/879	7200.284–7235	8450-8490.741	7157.679–7190	8400-8437.931
749/880	7192.102-7234.659	8450-8500	7149.545-7190	8400-8447.530
749/881	7190-7226.447	8457.130-8500	7145-7183.939	8404.199-8450
749/882	7190–7218.254	8466.729-8500	7145-7175.794	8413.738 - 8450
749/883	7190-7210.079	8476.328-8500	7145-7167.667	8423.278-8450
749/884	7190-7201.923	8485.928-8500	7145-7159.559	8432.817-8450

Table 2.6.13-1. Recommended 7/8 GHz Flexible TTFRs

⁴ Transponders with flexible turnaround ratios should be designed carefully to avoid self-interference to the on-board uplink receiver from frequency harmonics in the downlink transmitter chain. Particular attention to self-interference should be paid when using the 749/882 TTFR which shares a common factor in the numerator and denominator, and in general for TTFRs with an odd number in both the numerator and denominator.

⁵ The 749/877, 749/881, and 749/883 TTFRs may not be suitable for transponder designs based on analog multipliers and phase-locked dielectric resonant oscillators because of the large prime factor in the denominator (downlink) of the TTFR.

⁶ Only TTFRs whose available Earth-to-space frequency ranges overlap can be used together in an MSPA configuration, since the uplink frequency must be shared.

2.6.14 TRANSPONDER TURNAROUND FREQUENCY RATIOS FOR THE 22.55– 23.15 GHz AND 25.5–27.0 GHz BANDS, SPACE RESEARCH, CATEGORY A

The CCSDS,

considering

- (a) that future space missions may choose to use Earth-to-space links in the 22550–23150 MHz band in conjunction with space-to-Earth links in the 25500–27000 MHz band, particularly for high data rate applications;
- (b) that these space missions may also require coherency between Earth-to-space and space-to-Earth links for development of navigational data such as ranging and Doppler;
- (c) that for space missions that require coherency, Transponder Turnaround Frequency Ratios (TTFRs) must be defined;
- (d) that for these two frequency bands, a minimum of three TTFRs are needed to cover the entire frequency range of the 25.5–27.0 GHz band;
- (e) that the TTFRs should be chosen so as to minimize the possibility of self-interference from harmonics of the on-board transmitter intermediate frequencies to the on-board receiver;
- (f) that for reasons of simplicity of the on-board transmitter design, turnaround ratios that can be divided down to small integers are preferable;

- (1) that CCSDS agencies use TTFRs¹ in table 2.6.14-1 for systems operating in the 22550–23150 MHz and 25500–27000 MHz bands;
- (2) that these TTRFs are only necessary for those space missions that require both cross support from other agencies' Earth stations and coherency between the Earth-to-space and space-to-Earth links.

Transponder		Available		
Turnaround	Allocated	Earth-to-Space	Allocated	
Frequency Ratio	Earth-to-Space	Coherent Band ²	Space-to-Earth	Available Space-to-Earth
(E-S/S-E)	Band (MHz)	(MHz)	Band (MHz)	Coherent Band ¹ (MHz)
2407/2720	22550-23150	22550-23150	25500-27000	25500.000-26160.366
2407/2760	22550-23150	22550-23150	25500-27000	25857.084-26545.077
2407/2816	22550-23150	22550-23150	25500-27000	26381.720-27000.000

Table 2.6.14-1: Recommended TTFRs for the 22.55–23.15 GHz and 25.5–27.0 GHz Bands

¹ On-board implementations may result in deviations from these values and in a significant delay of the downlink carrier relative to the uplink carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

² The available coherent band refers to the range of frequencies that are coherent with the corresponding Earth-tospace or space-to-Earth band in the opposite direction.

2.6.15 **TRANSPONDER TURNAROUND FREOUENCY RATIOS FOR THE 23.15–** 23.55 GHz AND 27.0-27.5 GHz BANDS, INTER-SATELLITE SERVICE

The CCSDS,

considering

- that the 23.15–23.55 GHz and 27.0–27.5 GHz frequency bands are allocated for use by the inter-(a) satellite service;
- that some space agencies have expressed interest in pairing forward links in the 23.15–23.55 GHz (b) band with return links in the 27.0–27.5 GHz band, particularly for proximity communications in the lunar region;
- that the Space Frequency Coordination Group (SFCG) has recommended¹ the use of the 23.15-(c) 23.55 GHz and 27.0–27.5 GHz bands for lunar orbit-to-surface and lunar surface-to-orbit communications, respectively:
- (d) that some inter-satellite links may require coherency between the forward and return frequencies for ranging and Doppler measurements;
- that for space missions which require such coherency. Transponder Turnaround Frequency Ratios (e) (TTFRs) between the forward and return link frequencies must be defined;
- (f) that for forward links in the 23.15–23.55 GHz band, at least two TTFRs are needed to cover the entire return link frequency range in the 27.0–27.5 GHz band;

recommends

that CCSDS agencies use the 2407/2800 and 2407/2816 turnaround ratios (TTFRs)² in table 2.6.15-1 for inter-satellite links requiring frequency coherency between forward links in the 23.15–23.55 GHz band and return links in the 27.0–27.5 GHz band.

Table 2.6.15-1. Recommended	TTFRs for the 23.15–23.55	GHz and 27.0–27.5 GHz Bands
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Transponder				
Turnaround	Allocated	Available Forward	Allocated Earth-	Available Return
Frequency	Forward Link	Link Coherent	to-Space Band	Link Coherent
Ratio	Band (MHz)	Band ³ (MHz)	(MHz)	Band ³ (MHz)
2407/2800	23150-23550	23210.357-23550	27000-27500	27000-27395.098
2407/2816	23150-23550	23150-23505.859	27000-27500	27083.673-27500

¹ See SFCG Recommendation 32-2.

² On-board implementations may result in deviations from these values and in a significant delay of the return carrier relative to the forward carrier; mission designers have to take these factors into consideration when computing the orbit determination performance.

³ The available coherent band refers to the range of frequencies which are coherent with the corresponding space-tospace link in the opposite direction.

Earth Stations and Spacecraft

3.0 POLICY RECOMMENDATIONS

Section 2 concerns itself with Recommendations pertaining to Radio Frequency and Modulation systems' technical characteristics. By contrast, this chapter focuses upon radio frequency spectrum usage.

Rules governing a user's operations in the frequency bands are as important as the equipment's technical specifications. As crowding of the RF spectrum increases, standards become an imperative to maintaining order. In a broad sense, the International Telecommunication Union (ITU) establishes high-level spectrum policy with its Radio Regulations. Here, the principal concern is to establish lower-level Recommendations promoting the most efficient use of the ITU's frequency allocations.

These policies are intended to supplement, not supplant, those promulgated by the ITU. This goal is reached by increasing the relevance of specific ITU regulations to spacecraft communications. Each Recommendation begins with applicable provisions of the ITU's Radio Regulations as a foundation and provides additional guidelines for that particular application.

By establishing the following agreements, the CCSDS agencies hope to significantly reduce spectrum congestion. Then, the potential for mutual interference in spacecraft communications should decrease accordingly.

A significant number of new Recommendations are concerned with *Policy*. Filing all such Recommendations in a single section makes them difficult to locate and promotes disorder. Accordingly, there are now six *Policy* sub-sections:

3.1	Frequency Utilization	3.4	Operational Procedures
3.2	Power Limitations	3.5	Testing Recommendations
3.3	Modulation Methods	3.6	Spacecraft Systems

These sub-sections are intended to be general categories into which *Policy* Recommendations can be filed and which will simplify a reader's task in locating specific items.

FREQUENCY UTILIZATION RECOMMENDATION SUMMARY

RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY	
2 GHz System Parameters	Efficient use of 2 GHz bands for space operation.	
10 MHz Occupied BW	Max Cat A telemetry bandwidth in 8 GHz band.	
8.0 (12.0) MHz Occupied BW	Max Cat B telemetry bandwidth in 8 GHz band.	
	Deleted.	
Constraints	Cat A constraints on use of 14–17 GHz bands.	
	Deleted.	
Channel Frequencies	Cat B channel frequency plan for 2, 7, 8, 32, 34 GHz.	
Methods for Space Research	Earth-station support of multiple spacecraft per aperture.	
	CHARACTERISTICS 2 GHz System Parameters 10 MHz Occupied BW 8.0 (12.0) MHz Occupied BW Constraints Channel Frequencies	

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POWER LIMITATIONS RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
3.2.1A	EIRP Levels	Cat A Limitations on Earth-to-space link.
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MODULATION METHODS RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
3.3.1	Sine Wave; Square Wave	Cat A; Cat B ranging modulation waveforms.
3.3.2A	Spread Spectrum	Cat A criteria for use of spread spectrum. modulation.
3.3.3A	OQPSK	Deleted.
3.3.4		Deleted.

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OPERATIONAL PROCEDURES RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
3.4.1	Simultaneous Rng, Cmd, Tlm	Design spacecraft for simultaneous operations.
3.4.2	Measurement Methods	Charged particle measurement methods.
3.4.3A	Charged Particle Calibration	Cat A, Optimal methods for single station tracking.

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TESTING RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
3.5.1	Test Names	Min spacecraft-Earth station compatibility tests.

Earth Stations and Spacecraft

SPACECRAFT SYSTEMS RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
3.6.1A	Power Spectral Density	Interference reduction in the 2200–2290 MHz bands.
3.6.2A	Power Spectral Density	Constraints on space-to-space links.

3.1.1 EFFICIENT UTILIZATION OF THE 2 GHz BANDS FOR SPACE OPERATION

The CCSDS,

considering

- (a) that the frequency bands 2025–2110 and 2200–2290 MHz are shared co-equally by the Space Research, Space Operation, and Earth Exploration Satellite Services;
- (b) that bands allocated to the Space Operation service may be used for space tracking, space telemetry, and space telecommand (TTC) by other space services;
- (c) that the definition of the Space Operation service (ITU-RR-1.23) postulates that these TTC activities by other space services normally be carried out in their service bands;
- (d) that the bands 2025–2110 and 2200–2290 MHz, which are already now densely occupied, are of prime importance for space science missions of CCSDS agencies and will remain so for many years to come as no comparable alternative frequency allocations are available;

recommends

that, in order to make maximum use of these bands for satellite missions of all kinds, appropriate technical and operational constraints be observed, particularly:

- i Geostationary space systems of space services other than the space science services¹ which are designed to operate in mission bands other than 2025–2110 and 2200–2290 MHz, but which utilize TTC systems within these bands, shall limit the use of such TTC systems to a single frequency pair per satellite and to launch, orbit insertion, and emergency operations.
- ii TTC systems for geostationary satellites of space services other than the space science services should be designed in accordance with the general characteristics as contained in table 3.1.1-1.
- iii Non-geostationary satellites of services other than the space science services avoid using these bands for TTC.

¹ Space Science Services include the Space Research, Space Operations, Earth Exploration Satellite and Meteorological Satellite Services.

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3.1.1 EFFICIENT UTILIZATION OF THE 2 GHz BANDS FOR SPACE OPERATION (Continued)

TABLE 3.1.1-1: TYPICAL SYSTEM PARAMETERS FOR SPACE OPERATION OF
GEOSTATIONARY SATELLITES AT 2 GHz

MODE	SYSTEM PARAMETERS	
Reception at Earth station	Telemetry bandwidth Tracking bandwidth G/T, Earth stations	100 kHz 400 kHz 20 dB/K
Transmission from Earth stations	Telecommand bandwidth Tracking bandwidth EIRP, Earth station	100 kHz 400 kHz 65 dBW

3.1.2A USE OF THE 8450–8500 MHz BAND FOR SPACE RESEARCH, CATEGORY A

The CCSDS,

considering

- (a) that the Radio Regulations permit the use of the 8450–8500 MHz band for Category A and Category B space missions;
- (b) that the band is one of only three primary allocations to the Space Research service below 40 GHz;
- (c) that the band, because of crowding at 2200–2290 MHz, is particularly suitable for distant missions such as to the Libration points;
- (d) that the 8400–8450 MHz band is allocated for and restricted to Category B missions;
- (e) that the 14.0–15.35 GHz and 37–38 GHz bands have been identified by SFCG¹ as appropriate for Category A missions requiring wide (greater than 10 MHz) bandwidth;

- (1) that the 8450–8500 MHz band be used for Category A missions requiring an occupied bandwidth of up to 10 MHz per mission;
- (2) that the band be used in particular for the missions to the Libration points with bandwidth requirements up to 10 MHz;
- (3) that utmost care be taken in the assignment of frequencies in this band in order to make optimum use of the limited bandwidth available to Category A missions and that the maximum bandwidth, postulated in recommends (1) be strictly respected;
- (4) that the 8450–8500 MHz band should not be used for Category B missions.

¹ See SFCG recommendation 5-1R5.

3.1.2B USE OF THE 8400–8450 MHz BAND FOR SPACE RESEARCH, CATEGORY B¹

The CCSDS,

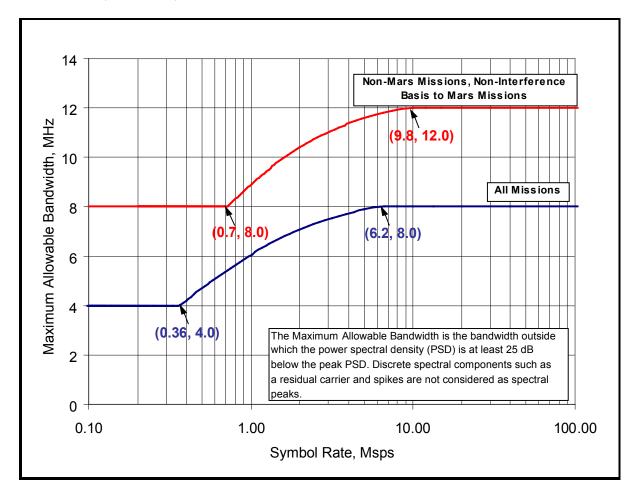
considering

- (a) that the 8400–8450 MHz band is allocated for and restricted to Space Research service, Category B, missions;
- (b) that users and data rates in the 8.4 GHz band continue to increase, and congestion in this band is more severe than in the 2 and 32 GHz bands;
- (c) that spacecraft in the Mars region are much more vulnerable to mutual interference because of lack of spatial separation, and that a single unrestricted high-rate mission could occupy the entire 50 MHz allocation in the 8.4 GHz band, preventing its use by any other user in the Mars region;
- (d) that five or six high rate missions could conceivably coexist in the Mars vicinity in the future, making it necessary to limit the maximum allowable bandwidth for each mission to no more than 8 MHz in the 8.4 GHz band;
- (e) that deep space missions designed for destinations other than Mars should also have restrictions on their maximum allowable bandwidths in the 8.4 GHz band, although at a less severe level since there is usually greater spatial separation between these missions;
- (f) that separating two missions at the point where their power spectral densities are each 25 dB below their own spectral peaks is generally sufficient to prevent mutual interference;
- (g) that an interference spectral power flux density of $-266 \text{ dB}(\text{W/Hz/m}^2)$ would, when received by a 70 meter antenna, be 16 dB below the noise floor of the receiving system and would raise the system temperature by only 0.1 dB;

- (1) that, in the 8400–8450 MHz band, the maximum allowable bandwidth $(B25)^1$ of telemetry signals be limited according to figure 3.1.2B-1,² wherein
 - a) the lower curve applies to all missions;
 - b) a larger bandwidth (B25)¹ is available to the non-Mars-missions as defined by the upper curve, strictly on condition that they would not interfere with the Mars missions;
- (2) that, in the 8400–8450 MHz band, the spectral power flux density outside the maximum allowable bandwidth $(B25)^1$ be limited to $-266 \text{ dB}(W/\text{Hz/m}^2)$ on the surface of the Earth.

¹ See SFCG Recommendation 23-1 or latest version.

 $^{^{2}}$ For the purpose of this Recommendation, the Symbol Rate (R_s) is defined in figure 3.1.2B-2.



3.1.2B USE OF THE 8400–8450 MHz BAND FOR SPACE RESEARCH, CATEGORY B (Continued)

Figure 3.1.2B-1:Maximum Allowable Bandwidth (B25) vs. Symbol Rate (Rs) (in the
Transition Regions, B25 in MHz=k*Rs/(0.41+Rs) where k=8.53 and 12.5 for
All-Missions and Non-Mars Missions, Respectively)

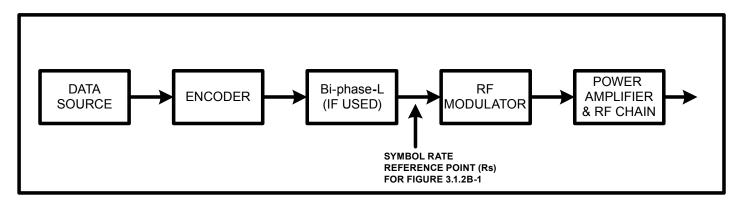


Figure 3.1.2B-2: Symbol Rate Definition for Figure 3.1.2B-1

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3.1.3A USE OF THE 13.25–15.35 GHz BANDS FOR SPACE RESEARCH, CATEGORY A

This recommendation has been deleted (CCSDS resolution MC-S98-3).

3.1.4A CONSTRAINTS ON THE USE OF THE 14.0–15.35 GHz AND THE 16.6–17.1 GHz BANDS FOR SPACE RESEARCH, CATEGORY A

The CCSDS,

considering

- (a) that some missions operating in the *Space Research Service* require very large bandwidths (e.g., spaceborne VLBI, Geodesy, and Geodynamics);
- (b) that bandwidth requirements in excess of 10 MHz are increasingly difficult to satisfy in the frequency bands allocated to the *Space Research Service* below 10 GHz;
- (c) that the 8450–8500 MHz band has been determined to be inappropriate for Category A missions requiring more than 10 MHz bandwidth [see Recommendation 401 (3.1.2A) B-1];
- (d) that the 14.00–15.35 GHz band is densely occupied by the *Fixed Service* (14.30–15.35 GHz) and the Earth-to-space links of the *Fixed Satellite Service* (14.0–14.8 GHz), consequently, assignment of Earth-to-space links for the *Space Research Service* is difficult;
- (e) that the 16.6–17.1 GHz band is allocated to the *Radiolocation Service* (primary), and to the *Space Research Service* (deep space, Earth-to-space, secondary);
- (f) that CCSDS Agencies currently have no plans to use the 16.6–17.1 GHz band for deep space missions operating in the *Space Research Service*;
- (g) that the sharing situation in the 14.00–15.35 GHz and the 16.6–17.1 GHz bands, where the *Space Research Service* has only secondary status, is difficult and does not lend itself to the use of classical modulation schemes which exhibit a high interference potential and which have a high susceptibility to interference;
- (h) that spectrum spreading modulation methods can considerably alleviate the sharing problems noted above;
- (i) that CCSDS Agencies should ensure compatibility between their operations in the 14.00–15.35 GHz and the 16.6–17.1 GHz bands;
- (j) that certain parts of the 14.00–15.35 GHz band have existing and planned assignments to data relay satellite (Earth-to-space and space-to-space);

recommends

(1) that the 14.00–15.35 GHz band be used for space-to-Earth transmissions of Category A missions operating in the *Space Research Service*;¹

¹ The 14.3–14.4 GHz and the 14.47–14.50 GHz bands are not allocated to *Space Research Service* and will have to be used in accordance with the provisions of RR 342.

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3.1.4A CONSTRAINTS ON THE USE OF THE 14.0–15.35 GHz AND THE 16.6–17.1 GHz BANDS FOR SPACE RESEARCH, CATEGORY A (Continued)

- (2) that the 16.6–17.1 GHz band be used for Earth-to-space transmissions of Category A missions operating in the Space Research Service;
- (3) that the spectrum of data transmissions in these bands be sufficiently spread so as to ensure adequate protection for services operating in the band;
- (4) that existing and planned frequency assignments for data relay satellites operating in the 14.00– 15.35 GHz band be protected.

3.1.5B USE OF THE 31.8–34.7 GHz BANDS FOR SPACE RESEARCH, CATEGORY B

This recommendation has been deleted (CCSDS resolution MC-S98-3).

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3.1.6B CHANNEL FREQUENCY PLAN FOR 2, 7, 8, 32, AND 34 GHZ, CATEGORY B

The CCSDS,

considering

- (a) that channel frequency plans for Category B missions exist for the 2, 7, 8, 32, and 34 GHz bands;
- (b) that the sets of channel frequency pairs in these existing plans are based upon the recommended turnaround ratios;
- (c) that members of the Space Frequency Coordination Group (SFCG) have resolved to select frequencies for their Category B missions from the existing channel frequency plans;
- (d) that most past, existing, and planned Category B missions have assigned frequencies that were selected on the basis of these existing channel frequency plans;
- (e) that CCSDS agencies conducting Category B missions have coordinated the selection of frequencies from those embodied in the existing channel frequency plans in order to avoid interference between missions;

recommends

- (1) that CCSDS agencies select frequencies for their Category B missions operating in the 2, 7, 8, 32, and 34 GHz bands from the channel frequency plan contained in table 3.1.6B-1;
- (2) that frequency selection be coordinated with an appropriate organization, such as the SFCG, to ensure the orderly use of the channel frequency plan.

BAND (GHz): FACTOR:	2 E-S 221	2 S-E 240	7 E-S 749	8 S-E 880	32 S-E 3328	32 S-E 3344	32 S-E 3360	34 E-S 3599
CHANNEL		F2DN						
1		2290.185185	7147.286265			# 31909.913578	#32062.592591	# 34343.235337
2		2290.555556	7148.442131			# 31915.074080	#32067.777784	# 34348.789358
3		2290.925926	7149.597994	8400.061729		# 31920.234569	#32072.962964	# 34354.343365
4		2291.296296	7150.753857	8401.419752		# 31925.395057	#32078.148144	# 34359.897372
5	2110.243056	2291.666667	7151.909723	8402.777779		31930.555559	32083.333337	34365.451393
6	2110.584105	2292.037037	7153.065586	8404.135802		31935.716048	32088.518517	34371.005399
7	2110.925154	2292.407407	7154.221449	8405.493825		31940.876536	32093.703696	34376.559406
8	2111.266204	2292.777778	7155.377316	8406.851853		31946.037042	32098.888894	34382.113432
9	2111.607253	2293.148148	7156.533179	8408.209877		31951.197531	32104.074074	34387.667438
10	2111.948303	2293.518519	7157.689045	8409.567903	31803.456798	31956.358033	32109.259267	34393.221459
11	2112.289352	2293.888889	7158.844908	8410.925927	31808.592595	31961.518521	32114.444447	34398.775466
12	2112.630401	2294.259259	7160.000771	8412.283950	31813.728392	31966.679010	32119.629627	34404.329472
13	2112.971451	2294.629630	7161.156637	8413.641977	31818.864203	31971.839512	32124.814820	34409.883493
14	2113.312500	2295.000000	7162.312500	8415.000000	31824.000000	31977.000000	32130.000000	34415.437500
15	2113.653549	2295.370370	7163.468363	8416.358023	31829.135797	31982.160488	32135.185180	34420.991507
16	2113.994599	2295.740741	7164.624229	8417.716050	31834.271608	31987.320990	32140.370373	34426.545528
17	2114.335648	2296.111111	7165.780092	8419.074073	31839.407405	31992.481479	32145.555553	34432.099534
18	2114.676697	2296.481481	7166.935955	8420.432097	31844.543202	31997.641967	32150.740733	34437.653541
19	2115.017747	2296.851852	7168.091821	8421.790123	31849.679012	32002.802469	32155.925926	34443.207562
20	2115.358796	2297.222222	7169.247684	8423.148147	31854.814810	32007.962958	32161.111106	34448.761568
21	2115.699846	2297.592593	7170.403551	8424.506175	31859.950624	32013.123464	32166.296304	34454.315594

TABLE 3.1.6B-1: Channel Frequencies for Category B (Deep-Space) Missions

Note – Channel frequencies marked "#" may be used in conjunction with the corresponding channel in a lower frequency band if that channel is available within the Category B allocation.

F2DN = N(10/27) + 2295 MHz, where N is in the range -13 to +28 for this table. The value of F2DN is rounded to the nearest Hz. Frequencies in the 7 GHz E-S band are then computed from F2DN and rounded to the nearest Hz. Channel numbers are equal to N + 14. Frequencies in other bands are derived from the 7 GHz E-S frequencies by multiplying by the corresponding ratio of frequency factors and then rounding to the nearest Hz. Because of rounding, ratios of the uplink to downlink frequency may differ by 1 or 2 Hz from the exact turnaround ratio in some cases.

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						5- J (F - F	,	
BAND (GHZ): FACTOR:	2 E-S 221	2 S-E 240	7 E-S 749	8 S-E 880	32 S-E 3328	32 S-E 3344	32 S-E 3360	34 E-S 3599
incron.			,	000	0020			0077
CHANNEL		F2DN						
22	2116.040895	2297.962963	7171.559414	8425.864198	31865.086422	32018.283952	32171.481483	34459.869601
23	2116.381944	2298.333333	7172.715277	8427.222221	31870.222219	32023.444441	32176.666663	34465.423607
23	2116.722994	2298.703704	7173.871143	8428.580248	31875.358029	32028.604943	32181.851856	34470.977628
25	2117.064043	2299.074074	7175.027006	8429.938271	31880.493826	32033.765431	32187.037036	34476.531635
26	2117.405092	2299.444444	7176.182869	8431.296295	31885.629624	32038.925920	32192.222216	34482.085642
27	2117.746142	2299.814815	7177.338735	8432.654321	31890.765434	32044.086422	32197.407409	34487.639663
28	2118.087191		7178.494598	8434.012345	#31895.901231	# 32049.246910	#32202.592589	# 34493.193669
29	2118.428241		7179.650464	8435.370372	#31901.037042	# 32054.407412	#32207.777782	# 34498.747690
30	2118.769290		7180.806327	8436.728395	#31906.172839	# 32059.567901	#32212.962962	# 34504.301697
31	2119.110339		7181.962190	8438.086418	#31911.308636	# 32064.728389	#32218.148142	# 34509.855703
32	2119.451389		7183.118057	8439.444446	#31916.444451	# 32069.888895	#32223.333340	# 34515.409729
33	2119.792438		7184.273920	8440.802469	#31921.580248	# 32075.049384	#32228.518520	# 34520.963736
34			7185.429783	8442.160493	#31926.716045	# 32080.209872	#32233.703699	# 34526.517742
35			7186.585649	8443.518520	#31931.851856	# 32085.370374	#32238.888893	# 34532.071763
36			7187.741512	8444.876543	#31936.987653	# 32090.530863	#32244.074073	# 34537.625770
37			7188.897378	8446.234570	#31942.123463	# 32095.691365	#32249.259266	# 34543.179791
38				8447.592593	#31947.259260	# 32100.851853	#32254.444446	# 34548.733798
39				8448.950616	#31952.395058	# 32106.012341	#32259.629625	# 34554.287804
40					#31957.530868	# 32111.172843	#32264.814819	# 34559.841825
41					#31962.666665	# 32116.333332	#32269.999999	# 34565.395832
42					#31967.802462	# 32121.493820	#32275.185178	# 34570.949838

Note – Channel frequencies marked "#" may be used in conjunction with the corresponding channel in a lower frequency band if that channel is available within the Category B allocation.

F2DN = N(10/27) + 2295 MHz, where N is in the range -13 to +28 for this table. The value of F2DN is rounded to the nearest Hz. Frequencies in the 7 GHz E-S band are then computed from F2DN and rounded to the nearest Hz. Channel numbers are equal to N + 14. Frequencies in other bands are derived from the 7 GHz E-S frequencies by multiplying by the corresponding ratio of frequency factors and then rounding to the nearest Hz. Because of rounding, ratios of the uplink to downlink frequency may differ by 1 or 2 Hz from the exact turnaround ratio in some cases.

CCSDS RECOMMENDATIONS FOR RADIO FREQUENCY AND MODULATION SYSTEMS

3.1.7 METHODS FOR SPACE RESEARCH EARTH STATION SUPPORT OF MULTIPLE SPACECRAFT PER APERTURE

The CCSDS,

considering

- (a) that there has been an increasing number of space research missions operating in close proximity to each other, such as in the vicinity of Mars or as part of a flying formation;
- (b) that these missions often require Earth station support for simultaneous telecommand and telemetry as well as Doppler and ranging;
- (c) that space agencies have only a limited number of Earth-station antennas available to support these missions, because of the cost of constructing and operating large aperture antennas;
- (d) that it is desirable to maximize the utilization of the available Earth-station antennas in order to increase the number of missions that can be supported with existing resources;
- (e) that there are several methods, broadly called Multiple Spacecraft Per Aperture (MSPA), whereby a single Earth-station antenna can be used to support multiple spacecraft located within its antenna beamwidth;
- (f) that several space agencies have already used MSPA to simultaneously receive downlink telemetry from multiple spacecraft using a single Earth-station antenna, in cases where each spacecraft is transmitting on a different frequency;
- (g) that there are various approaches for MSPA on the uplink, which have their own relative merits and drawbacks in terms of implementation complexity and operational impacts;
- (h) that the preferred approach for MSPA may be different depending on the time frame being considered;

recommends

that CCSDS agencies use one of the methods described in table 3.1.7-1 when supporting multiple spacecraft using a single Earth-station antennas.

	Method Name	Description	Advantages	Disadvantages	Recommended Time Frame
CCSDS 401 (3 1 7) R-1	Time Multiplexed Uplink MSPA	Earth station transmits an uplink to each spacecraft in its antenna beam sequentially and separated in time. Each spacecraft uses a different uplink and downlink frequency, which are related by the standard CCSDS turnaround ratios.	Least amount of change required. Could be implemented with existing Earth stations and spacecraft.	No simultaneous uplinks; time available for each spacecraft for uplink commands, coherent Doppler, and ranging is reduced as the number of MSPA satellites increases. Earth-station receiver needs to re-acquire downlink each time spacecraft receiver acquires and loses the uplink.	Short term
Page 3.1.7-2	Flexible Turnaround Ratio MSPA	Earth stations transmits an uplink using a single frequency common to all the spacecraft in the antenna beam. Uplink commands are separated using the spacecraft identifier and valid command syntax for each mission. Each spacecraft transponder uses a different turnaround ratio so that the telemetry downlinks are on separate frequencies.	Provides method for simultaneous uplink and downlink MSPA. Existing Software-Defined Radios (SDRs) offer flexibility in programming different turnaround ratios.	Acquisition bandwidth of the spacecraft receiver must accommodate potentially large differences in uplink Doppler frequency between the spacecraft in the antenna beam. Changes in existing non-SDR spacecraft transponders and Earth stations are needed to accommodate flexible turnaround ratios.	Intermediate term (Relevant recommendation 401 (2.1.9) under development; interested reader should consult future version of this book.)
C_7	Multiple Uplink carrier MSPA	The Earth station transmits multiple uplink frequencies simultaneously through the high power amplifier to all spacecraft in its antenna beam. Each spacecraft has a different uplink and downlink frequency, which are related by the standard CCSDS turnaround ratios.	Provides method for simultaneous uplink and downlink MSPA. Requires no change to the existing spacecraft transponder.	Transmission of multiple uplink carriers through the Earth-station High Power Amplifier (HPA) will result in intermodulation products, which could cause interference to other missions or exceed spurious emission limits. Backoff of the HPA to reduce the intermod products will reduce the efficiency of the transmitter and available uplink EIRP. A linearizer is another possible solution, but would require changes to the existing Earth station.	Intermediate term (Relevant recommendation 401 (2.6.13) under development; interested reader should consult future version of this book.)
October 2021	Code Division Multiple Access (CDMA) MSPA	The Earth station transmits simultaneous CDMA uplinks on a single frequency. Each spacecraft will use a different CDMA code. Downlinks will be transmitted on a single frequency also using CDMA codes.	Provides simultaneous uplink and downlink MSPA. CDMA techniques already developed and used for near-Earth orbiters.	Requires significant changes to existing deep space spacecraft transponders and Earth stations. High data rates may be limited by the PN chip rate.	Long term (Studies currently ongoing.)

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Table 3.1.7-1: RECOMMENDED MSPA METHODS

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3.2.1A LIMITATIONS ON EARTH-TO-SPACE LINK POWER LEVELS, CATEGORY A

The CCSDS,

considering

- (a) that spectral occupation of frequency bands used by space agencies is increasing rapidly;
- (b) that in many cases the same frequencies will be shared by several spacecraft;
- (c) that the 2025–2110 MHz band is also shared with space-to-space links from data relay satellites to user satellites, which are limited to relatively small power levels by the provisions of ITU-RR-21.16 and are consequently particularly susceptible to interference;
- (d) that excessive EIRP from Earth stations will make intra-service frequency sharing increasingly difficult and result in inefficient use of the radio frequency spectrum;
- (e) that excessive EIRP from Earth stations likewise unnecessarily complicates the coordination with terrestrial services and may in some cases increase the coordination area;
- (f) that the required EIRP from an Earth station is determined by P_c/N_o , E_b/N_o , and the minimum signal level required by the spacecraft receiver;

recommends

- (1) that CCSDS agencies limit the EIRP on the Earth-to-space links to that required for safe spacecraft operation by means of one or several of the following:
 - CCSDS agencies avoid, whenever practicable, using high power transmitters having a fixed output but instead adjust their transmitted power level to the minimum needed to meet project requirements;
 - CCSDS agencies obtain the required EIRP by using reasonable antenna diameters in order to reduce both sidelobe radiation and transmitter power (Guideline: antenna diameter/RF wavelength equal to or greater than 70);
 - CCSDS agencies make Recommendation ITU-R SA.509 a requirement in antenna specifications;
- (2) that spacecraft equipment designers endeavor to provide similar margins with regard to minimum P_c/N_o , minimum E_b/N_o and the minimum signal required by the spacecraft receiver.

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3.3.1 OPTIMAL RANGING MODULATION WAVEFORMS FOR SIMULTANEOUS RANGING, TELECOMMANDING, AND TELEMETRY OPERATIONS

The CCSDS,

considering

- (a) that two-way transmissions are employed for making range measurements to a distant spacecraft;
- (b) that telecommand and telemetry signals are phase shift-keyed onto the subcarriers and then phase-modulated onto a sinusoidal residual RF carrier [see Recommendations 401 (2.1.1) B-1, 401 (2.4.3) B-1];
- (c) that telemetry signals may also be directly modulated on the RF carrier in conformance with Recommendation 401 (2.4.7) B-1;
- (d) that sine-wave subcarriers are recommended for the telecommand channel [see Recommendation 401 (2.2.2) B-1];
- (e) that sine-wave subcarriers are recommended for Category A missions' telemetry channels, and square-wave subcarriers are recommended for Category B missions' telemetry channels [see Recommendation 401 (2.4.3) B-1];
- (f) that, for simultaneous telecommand and ranging on the Earth-to-space link, the telecommand performance suffers some degradation due to command-ranging cross-modulation components;
- (g) that, for simultaneous telemetry and ranging on the space-to-Earth link, the telemetry performance may be degraded due to interference from the filtered versions of the uplink ranging, feed-through telecommand, cross-modulation components and noise;
- (h) that the timing offset due to different clocks between the telecommand and telemetry may cause serious telemetry bit error rate (BER) degradation;
- (i) that the telecommand BER performance is virtually identical for either sine wave or square wave ranging modulation;
- (j) that, for Category B missions, the telemetry BER performance is insensitive to the type of ranging waveforms used when operated simultaneously with the ranging on the space-to-Earth link;
- (k) that the use of a square-wave ranging signal makes the telemetry BER performance more susceptible to data-to-data interference (resulting from the timing offset due to different clocks between the telecommand and telemetry) than the sine-wave ranging;
- (1) that, for Category A missions, the telemetry BER performance is sensitive to the timing offset when operated simultaneously with either a square-wave or sine-wave ranging signal;
- (m) that for Category B missions, it is important to minimize the required transmitted power level on the space-to-Earth link;

3.3.1 OPTIMAL RANGING MODULATION WAVEFORMS FOR SIMULTANEOUS RANGING, COMMANDING, AND TELEMETRY OPERATIONS (Continued)

- (n) that for Category A missions, it is necessary to minimize the adjacent channels interference;
- (o) that the use of a sine-wave ranging signal will minimize the occupied bandwidth;

recommends

- (1) that, for Category B missions' Earth-to-space links, either a sine wave or a square wave ranging signal may be used when operated simultaneously with the telecommand;
- (2) that, for Category A missions' Earth-to-space links, sine wave ranging shall be used when operated simultaneously with the telecommand;
- (3) that, for Categories A and B missions' space-to-Earth links, sine wave ranging should be used when operated simultaneously with the telemetry.

Earth Stations and Spacecraft

3.3.2A CRITERIA FOR USE OF DIRECT SEQUENCE SPREAD SPECTRUM MODULATION, CATEGORY A

The CCSDS,

considering

- (a) that frequency bands must often be shared between several users which can result in mutual interference;
- (b) that such mutual interference can result in significant link degradation or even unusable links for certain periods of time;
- (c) that spread spectrum systems can be designed to tolerate a high level of interference from other communications systems;
- (d) that, in some cases, spread spectrum modulation can assist in meeting the PFD limits set forth in the International Telecommunication Union's *(ITU) Radio Regulations*;
- (e) that direct sequence spread spectrum systems can be designed to provide ranging measurements by using the spreading code which eliminates the need for a separate ranging signal;

recommends

- (1) that direct sequence spread spectrum modulation be used in any of the following cases:
 - where the intra-service sharing conditions are such that other modulation methods will not provide the required performance or mutual compatibility with other transmissions assigned to the same frequency band;
 - where the inter-service sharing conditions are such that the susceptibility to actual or potential interference from transmissions in other services assigned to the same frequency band cannot be kept within acceptable limits by other modulation methods;
 - where the power flux density limits, as set forth in the *ITU Radio Regulations, Article 21*, cannot be met using other methods;
- (2) that spread spectrum systems shall be designed to minimize unwanted emissions in the same allocated frequency band;
- (3) that unwanted emissions generated by spread spectrum systems shall conform with applicable protection criteria of radio communications services in other frequency bands.

3.3.3A PREFERRED MODULATION FORMATS FOR SUPPRESSED CARRIER SYSTEMS, CATEGORY A

This recommendation has been deleted (CCSDS resolution CMC-R-2013-12-006).

3.3.4 USE OF SUBCARRIERS ON SPACECRAFT TELEMETRY CHANNELS

This recommendation has been deleted (CCSDS resolution MC-E03-01).

Earth Stations and Spacecraft

3.4.1 SIMULTANEOUS TELECOMMAND, TELEMETRY, AND RANGING OPERATIONS

The CCSDS,

considering

- (a) that most space agencies use ranging measurements for spacecraft orbit or trajectory determination;
- (b) that precision range measurements are frequently required to meet the scientific objectives of the mission;
- (c) that the ranging data can yield scientific information about the medium and other physical phenomena;
- (d) that the value of the information obtained from the ranging measurement for scientific purposes is directly related to its accuracy;
- (e) that the Earth stations tend to be large, complex, and expensive to construct and to operate;
- (f) that it is desirable to minimize the amount of Earth station time required for the support of missions;
- (g) that the quantity of telecommands transmitted to a spacecraft may be sufficiently large that it is desirable to have simultaneous telecommand and ranging operations;
- (h) that telemetry transmissions are generally continuous and an interruption for ranging operations may result in the loss of valuable data;
- (i) that the amount and accuracy of ranging required for missions makes it desirable to preserve an ability for simultaneous telecommand, telemetry, and ranging operations;

recommends

that all CCSDS agencies design their spacecraft to permit simultaneous telecommand, telemetry, and ranging operations.

Earth Stations and Spacecraft

3.4.2 CHARGED PARTICLE MEASUREMENTS IN THE TELECOMMUNICATIONS PROPAGATION PATH

The CCSDS,

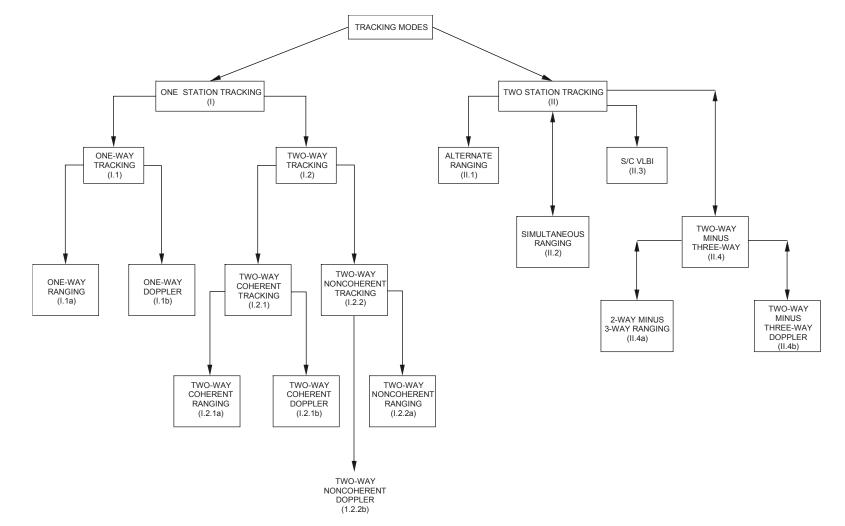
considering

- (a) that the telecommunication system's performance can be affected by the columnar content of charged particles in the propagation path;
- (b) that charged particles in the propagation path can result in errors in the range and range rate measurements reducing navigation accuracy;
- (c) that navigation accuracy requirements can be very high for some Category B missions;
- (d) that the four regions through which a telecommunications signal may pass which contain charged particles are: the Earth's ionosphere, the interplanetary medium, the planetary ionosphere, and the solar corona;
- (e) that the Sun-Earth-Probe (SEP) angle is important in selecting a means for measuring charged particles;
- (f) that geostationary satellites are not useful for measuring charged particle content for regions beyond the Earth's ionosphere;
- (g) that Differenced Range vs. Integrated Doppler (DRVID) only measures variations in the total columnar electron content;
- (h) that method(s) utilized for measuring the charged particle content in the propagation path depends upon the region involved;
- (i) that figure 3.4.2-1 shows alternative spacecraft tracking methods which provide information about charged particles in the propagation path;

recommends

- (1) that CCSDS agencies utilize the appropriate methods in table 3.4.2-1 to measure charged particles in the propagation path for the specified operating modes;
- (2) that CCSDS agencies utilize the methods and signal sources in table 3.4.2-2 to measure charged particles in the named regions and to correct the specified data.

CHARGED PARTICLE MEASUREMENTS IN THE TELECOMMUNICATIONS PROPAGATION PATH (Continued)



3.4.2

Earth Stations and Spacecraft

3.4.2 CHARGED PARTICLE MEASUREMENTS IN THE TELECOMMUNICATIONS **PROPAGATION PATH (Continued)**

TABLE 3.4.2-1: RECOMMENDED CHARGED PARTICLE CALIBRATION METHODS

TRACKING MODE	FARAD	AY ROT	DRVID	DU	JAL FREQ	
(see figure 3.4.2-1)	user s/c	geo sat	user s/c	user s/c	geo sat	slic ^(b)
I.1a (1-way range)	Х	Х		Х	Х	Х
I.1b (1-way Doppler)	Х	X	X	Х	Х	Х
I.2.1a (2-way coh Rng)	X	X		Х	Х	Х
I.2.1b (2-way coh Dop)	X	X	X	Х	Х	Х
I.2.2.a (2-way non-coh Rng)						
I.2.2b (2-way non-coh Dop)			X			
II.1 Alternate Rng	Х	Х		Х	Х	Х
II.2 Simultaneous Rng	Х	Х		Х	Х	Х
II.3 S/C VLBI	Х	Х		(a)		Х
II.4a (2-way; 3-way Rng)	X	X		Х	Х	Х
II.4b (2-way; 3-way Dop)	Х	Х	Х	Х	Х	Х
NOTES						

1 (a) is applicable to 2/8 GHz downlink only.

TABLE 3.4.2-2: APPLICABILITY OF CALIBRATION METHODS

		EARTH'S	BEYOND		RANGE
CALIBRATION	SOURCE	IONO-	IONO-	RANGE	RATE
METHOD	USED	SPHERE	SPHERE	ERROR	ERROR
FARADAY	S/C	Х		X(1)	X(1)
ROTATION	Geo Sat	Х		X(2)	X(2)
	S/C	Х	Х	X(3)	X(3)
DUAL	Geo Sat	Х		X(2)	X(2)
FREQUENCY	slic	Х		X(2)	X(2)
DIFFERENCED RANGE vs.	S/C	Х	Х		
INTEGRATED DOP	Geo Sat				

NOTES

should not be used for SEPs below 5 degrees. 1

2 must translate ray path to user S/C line of sight.

3 2/8 GHz downlink only, inaccurate below SEP = 20 deg.

² (b) slic is satellite L-band ionospheric calibration.

Earth Stations and Spacecraft

3.4.3A OPTIMAL CHARGED PARTICLE CALIBRATION TECHNIQUES FOR RANGING DATA UNDER VARIOUS PROPAGATION CONDITIONS, SINGLE STATION TRACKING, CATEGORY A

The CCSDS,

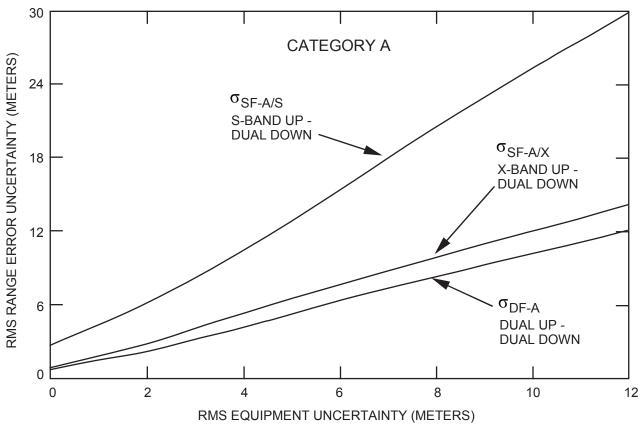
considering

- (a) that the Total (Columnar) Electron Content (TEC) in the telecommunications ray path may be a function of both the spacecraft-Earth station distance and the Sun-Earth-Probe (SEP) angle;
- (b) that, for Category A missions, the Round-Trip-Light-Time (RTLT) is always less than or equal to 13.3 seconds;
- (c) that, for RTLTs typically found with Category A missions, the TEC results primarily from the Earth's ionosphere and is substantially independent of SEP angle;
- (d) that for short telecommunications RTLTs, the TEC is relatively invariant with time;
- (e) that, for Category A missions, the charged-particle environment can be assumed to be identical for both up and down links because of the short RTLTs;
- (f) that it may be necessary to calibrate the TEC for Category A missions which have very high navigational or orbit positional accuracy requirements;
- (g) that charged particle calibration techniques such as dual frequency up/dual frequency down (or round-trip dual frequency), single frequency up/dual frequency down, and Faraday rotation can be used to calibrate the range data;
- (h) that, for Category A missions, use of the round-trip dual frequency technique does not offer any significant improvements in range error uncertainty when compared to a single frequency X-band (or higher) uplink/dual frequency downlink technique (see figure 3.4.3A-1);
- (i) that the use of Faraday Rotation technique may not provide the desired accuracy because it may require a mapping of total columnar electron content (TEC) to the line-of-sight for range error calibration;
- (j) that selection of the simplest qualified charged particle calibration technique should be based upon the (1) maximum permissible range error and RMS range error uncertainty, (2) minimum hardware requirements, and (3) requirement for a capability to calibrate the range data errors resulting from the variation of the total columnar electron content;

recommends

- (1) that space agencies use the single frequency up/dual frequency down technique to measure the TEC and then correct the range data;
- (2) that space agencies use the highest available frequency band for transmitting the single frequency uplink.

3.4.3A OPTIMAL CHARGED PARTICLE CALIBRATION TECHNIQUES FOR RANGING DATA UNDER VARIOUS PROPAGATION CONDITIONS, SINGLE STATION TRACKING, CATEGORY A (Continued)



ANNEX TO RECOMMENDATION

6445-445ab

Figure 3.4.3A-1: Ranging Accuracy versus RMS Equipment Uncertainty for Single Station, Category A¹

Legend

- $\sigma_{SF-A/S}$ = RMS range error uncertainty in meters for single frequency, S-Band up/dual frequencies S and X down for single station Category A missions.
- $\sigma_{SF-A/X}$ = RMS range error uncertainty in meters for single frequency X-Band up/dual frequency S and X down for single station Category A missions.
- σ_{DF-A} = RMS range error uncertainty in meters for dual frequency S and X up/dual frequency S and X down for single station Category A missions.
- NOTE See *Report of the Proceedings of the Subpanel 1E Meeting at the Ames Research Center*, "Optimal Charged-Particle Calibration Technique for Ranging Data Channel," p 215, September 1989.

Earth Stations and Spacecraft

3.5.1 MINIMUM SET OF SPACECRAFT-EARTH STATION TESTS REQUIRED TO ENSURE COMPATIBILITY

The CCSDS,

considering

- (a) that cross support will frequently be required for Telemetry, Tracking, and/or Command operation;
- (b) that it is desirable to assure compatibility of the spacecraft with the ground network before the launch of a spacecraft;
- (c) that this compatibility is usually verified by compatibility tests;
- (d) that all parties have a common understanding of the tests;

recommends

that, in accordance with the required cross support, all relevant tests set forth in table 3.5.1-1 shall be performed.

Earth Stations and Spacecraft

3.5.1 MINIMUM SET OF SPACECRAFT-EARTH STATION TESTS REQUIRED TO ENSURE COMPATIBILITY (Continued)

TABLE 3.5.1-1

TEST TYPES ¹
SPACECRAFT RADIO FREQUENCY
Transmitter frequency and frequency stability Transmitter residual carrier phase jitter Transmitter RF spectrum measurement Receiver rest frequency determination Receiver acquisition frequency range and rate Receiver tracking frequency range and rate Receiver signal level monitoring (AGC level) TELEMETRY
Telemetry modulation index Telemetry receiver carrier threshold Telemetry frame and/or bit error rate Telemetry spectrum False locking of carrier Telemetry degradation due to transient response of ranging channel
TELECOMMAND
S/C receiver command and carrier threshold S/C receiver telecommand tolerance to phase modulation index variation Telecommand receiver spurious carrier immunity Telecommand receiver spurious modulation immunity Telecommand receiver Doppler tracking performance
RANGING
Transponder ranging delay Ranging downlink modulation index vs. uplink modulation index Ranging downlink and uplink spectrum Ranging downlink modulation index vs. uplink signal-to-noise power
DOPPLER
Measurement of transponder turnaround ratio Doppler tracking accuracy
EARTH STATION ANTENNA TRACKING SYSTEM
Receiver carrier signal level threshold

¹ Performance measurements shall be carried out from maximum power level down to the operational threshold.

Earth Stations and Spacecraft

3.6.1A INTERFERENCE REDUCTION IN THE 2200–2290 MHz BANDS, CATEGORY A

The CCSDS,

considering

- (a) that the planned increase in the number of links in the 2 GHz bands will raise the likelihood of harmful interference;
- (b) that channel coding techniques, such as the CCSDS concatenated codes, can reduce the power spectral density by more than 10 dB while lowering the susceptibility to interference;
- (c) that the use of suppressed carrier modulation techniques as recommended by the CCSDS, can further reduce the power spectral density;

recommends

that the power spectral density of space radiocommunication links be reduced by using appropriate modulation techniques and channel coding in accordance with CCSDS Recommendations in order to reduce the potential for harmful interference.

Earth Stations and Spacecraft

3.6.2A INTERFERENCE FROM SPACE-TO-SPACE LINKS BETWEEN NON-GEOSTATIONARY SATELLITES TO OTHER SPACE SYSTEMS IN THE 2025–2110 AND 2200–2290 MHz BANDS, CATEGORY A

The CCSDS,

considering

- (a) that space-to-space transmissions between two or more non-geostationary satellites shall not impose any constraints on other space transmissions (ITU-RR-5.392);
- (b) that the planned increase in the number of space-to-space links between non-geostationary satellites will raise the likelihood of harmful interference;

recommends

that the power spectral density of space-to-space links between any two non-geostationary satellites be reduced by using appropriate modulation techniques and channel coding in accordance with CCSDS Recommendations, in order to reduce the potential for harmful interference to space-to-Earth, Earth-to-space, and space-to-space transmissions involving at least one geostationary satellite.

Earth Stations and Spacecraft

4.0 **PROCEDURAL RECOMMENDATIONS**

As telecommunications systems become more sophisticated, it is imperative that aids be developed to assist in the design, performance evaluation, and perhaps even validation of these systems. Early on, the CCSDS was only concerned with technical Recommendations which promoted a uniformity in data systems. However, Subpanel 1E soon discovered that efficient use of the radio frequency spectrum was an imperative. Such efficient use implies constraints, and the first Blue Book included a *Policy* section. This section contained many Recommendations limiting CCSDS Agencies' use of radio frequencies beyond those already imposed by the ITU's Radio Regulations.

However, efficient use also implies optimal designs. The more efficiently a communications link can be made to operate, the more the frequency spectrum can be shared with other users. Use of the radio frequency bands is increasing so rapidly that sharing has become essential.

This section contains procedural Recommendations intended to assist the CCSDS Agencies to efficiently design and operate their telecommunications links. These Recommendations are, in effect, tools to optimize the design and performance of those links. Presently, Recommendations in this section will be found in two categories.

4.1 Design Tools 4.2 Computational Algorithms

However, it is likely that future Recommendations will also cover such areas as performance evaluation and validation.

Earth Stations and Spacecraft

DESIGN TOOLS RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
4.1.1	Mod Index Determination	Procedure for optimizing.
4.1.2	Link Design Control Table	Standard form for information exchange.
4.1.3	Terminology	Definitions of terms found in DCT.
4.1.4	Probability Density Functions	Default values for link performance computation.
4.1.5	P_C/P_T , P_D/P_T , P_R/P_T	Modulation loss equations used in CCSDS DCT.
4.1.6	Reserved for DCT	
4.1.7	Reserved for DCT	

Earth Stations and Spacecraft

COMPUTATIONAL ALGORITHMS RECOMMENDATION SUMMARY

REC. NO.	RECOMMENDED CHARACTERISTICS	RECOMMENDATION SUMMARY
4.2.1	B/W PCM/PM	Approximations for calculating.
4.2.2	B/W with sine wave subcarrier	Approximations for calculating.
4.2.3	B/W with square wave subcarrier	Approximations for calculating.

4.1.1 SELECTION OF OPTIMUM MODULATION INDICES FOR SIMULTANEOUS RANGING, TELECOMMAND, AND TELEMETRY OPERATIONS

The CCSDS,

considering

- (a) that two-way transmissions are generally employed for making range measurements to a distant spacecraft;
- (b) that, for simultaneous telecommand and ranging on the Earth-to-space link, the telecommand performance may suffer some degradation due to telecommand-ranging cross-modulation components;
- (c) that, for simultaneous telemetry and ranging on the space-to-Earth link, the telemetry performance may be degraded due to interference from the filtered versions of the uplink ranging, feed-through telecommand, cross-modulation components and noise;
- (d) that the timing offset due to asynchronous clocks between the telecommand and telemetry may cause serious telemetry bit error rate (BER) degradation when the two subcarriers are not separated in frequency sufficiently;
- (e) that the performance degradation in the telecommand and telemetry due to the factors named in considerations in (b), (c), and (d) can be minimized if the modulation indices for telecommand, range, telemetry are chosen properly;
- (f) that the ranging receiver is usually not susceptible to interference from unwanted emissions which fall outside the receiver's bandwidth;
- (g) that the selected modulation indices will provide the optimum power division between the data (telecommand/telemetry) and the ranging channels for a required ranging accuracy, and a specified bit error rate degradation in the data channel;
- (h) that the selected modulation indices will result in adequate power for carrier tracking without degrading the specified data channel performance;
- (i) that the selected modulation indices will provide the required link performance margins for the carrier, range and data signals;

recommends

that the CCSDS agencies utilize the technique illustrated in Annex 1 and figure 4.1.1-1 to select the optimum set of modulation indices for simultaneous ranging, telecommand, and telemetry operations.

4.1.1 SELECTION OF OPTIMUM MODULATION INDICES FOR SIMULTANEOUS RANGING, TELECOMMAND, AND TELEMETRY OPERATIONS (Continued)

ANNEX 1

The constants A₁, A₂, A₃, A₄, A₅, and the design factor K shown in figure 4.1.1-1 are defined as follows:

$$A_{1} = \frac{(SNR)_{D}}{(SNR)_{C}}$$

$$A_{2} = \frac{(SNR)_{D}}{(SNR)_{R}}$$

$$A_{3} = \frac{(SNR)_{R}}{(SNR)_{D}} = \frac{1}{A_{2}}$$

$$A_{4} = (SNR)_{R}$$

$$A_{5} (dB) = 10 \log_{10} [10 \frac{\{\Delta_{D}(dB)/10\}}{-1}]$$

$$k = \left[\frac{(SNR)_R}{(SNR)_D} \right] \times \left[\frac{1}{\Delta S} \right]$$

where:

 $\Delta_{\rm D}$ (dB) = Degradation in the Data Channel due to the interference from the ranging channel.

$$\Delta s (dB) = A_5 (dB) - [(SNR)_{REQ} + P_I (dB)].$$

- $(SNR)_{REQ}$ = Required data signal-to-noise ratio to achieved a desired bit error rate.
- P_I = The maximum ranging channel power level which falls into the data channel.
- $(SNR)_D$ = Threshold signal-to-noise power density ratio in the data channel.
- $(SNR)_C$ = Threshold signal-to-noise power density ratio in the carrier channel.
- $(SNR)_R$ = Threshold signal-to-noise power density in the ranging channel.

4.1.1 SELECTION OF OPTIMUM MODULATION INDICES FOR SIMULTANEOUS RANGING, TELECOMMAND, AND TELEMETRY OPERATIONS (Continued)

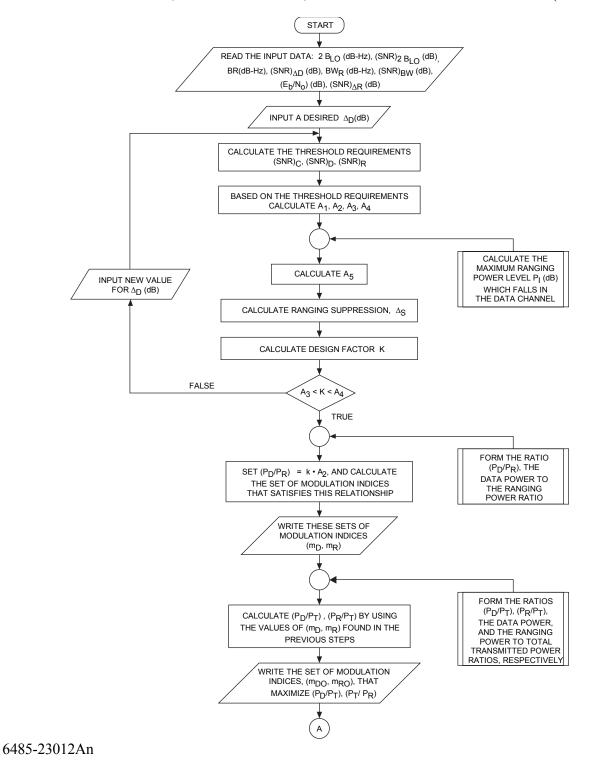


Figure 4.1.1-1: MT's Algorithm: An Algorithm to Search for a Set of Optimum Modulation Indices for Simultaneous Command/Telemetry/Range Operations

4.1.1 SELECTION OF OPTIMUM MODULATION INDICES FOR SIMULTANEOUS RANGING, TELECOMMAND, AND TELEMETRY OPERATIONS (Continued)

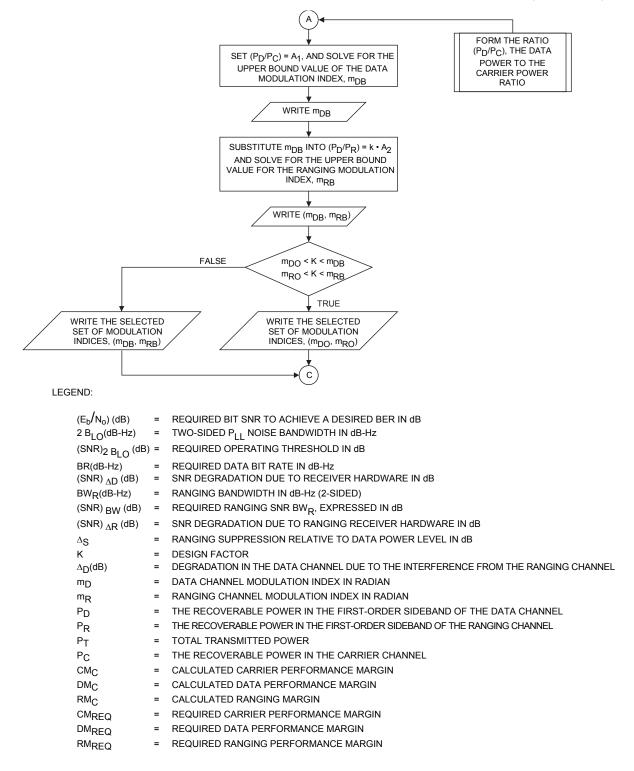


Figure 4.1.1-1: MT's Algorithm: An Algorithm to Search for a Set of Optimum Modulation Indices for Simultaneous Command/Telemetry/Range Operations (Continued)

4.1.1 SELECTION OF OPTIMUM MODULATION INDICES FOR SIMULTANEOUS RANGING, TELECOMMAND, AND TELEMETRY OPERATIONS (Continued)

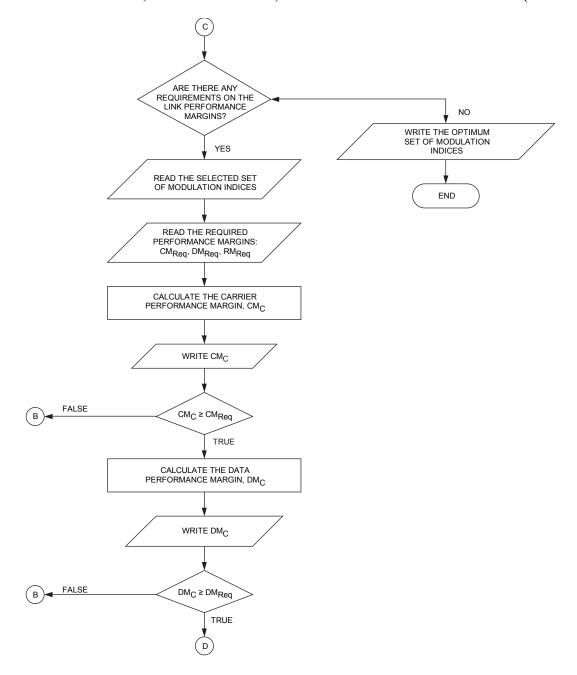
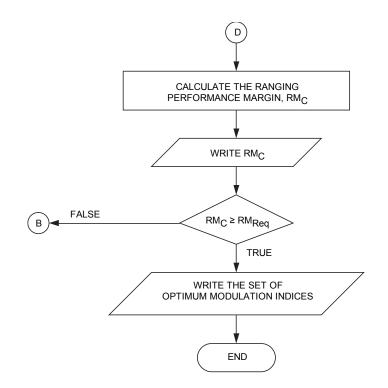


Figure 4.1.1-1: MT's Algorithm: An Algorithm to Search for a Set of Optimum Modulation Indices for Simultaneous Command/Telemetry/Range Operations (Continued)

4.1.1 SELECTION OF OPTIMUM MODULATION INDICES FOR SIMULTANEOUS RANGING, TELECOMMAND, AND TELEMETRY OPERATIONS (Continued)



6485-23012Dn

Figure 4.1.1-1: MT's Algorithm: An Algorithm to Search for a Set of Optimum Modulation Indices for Simultaneous Command/Telemetry/Range Operations (Continued)

4.1.2 TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE (Link and Weather Not Combined)

The CCSDS,

considering

- (a) that an ability to exchange telecommunications link performance information is necessary for agencies to engage in cooperative space missions, conduct joint space ventures, and provide ground station cross support to another agency's spacecraft;
- (b) that a uniform method for presenting link parameters and calculating link performance will facilitate the exchange of information;
- (c) that a uniform Design Control Table (DCT) is a convenient method for displaying telecommunications link performance information;
- (d) that the order in which the parameters are arranged in the Design Control Table can affect its clarity and the ease with which a signal can be traced through a telecommunications system;
- (e) that nominal link parameter values, representing the expected performance by the link, are important to an understanding of the telecommunications system;
- (f) that favorable and adverse tolerances on the nominal link parameter values are required to provide confidence in the link's performance;

recommends

- (1) that the uniform Design Control Table, consisting of the general information and link performance pages contained in the Annex, be used as a means for comparing telecommunications link performance calculations between agencies;
- (2) that in computing favorable and adverse tolerances on the nominal performance values, agencies should use 3-sigma values for the telecommand system and use 2-sigma values for all other systems.

Earth Stations and Spacecraft

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Earth Stations and Spacecraft

4.1.2 ANNEX—TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE

CCSDS LINK DESIGN CONTROL TABLE GENERAL INFORMATION (Link and Weather Not Combined)

Page 1

·		
1	Owner CCSDS Agency	
2	Name of Mission	
3	Name of Spacecraft	
4	Mission Category a. A = Alt.<2,000,000 km b. B = Alt.>2,000,000 km	
5	Link Budget Number	
6	Revision No. / Conditions	
7	Date	
8	File Name	
9	Project Name: Cognizant Person Title: Address: Telephone No: Fax No: Email:	
10	Network Name: Cognizant Person Address:	
	Telephone No: FAX No: Email:	

4.1.2 ANNEX (Cont.)—TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE

CCSDS LINK DESIGN CONTROL TABLE COMMUNICATIONS SYSTEM OPERATING CONDITIONS (Link and Weather Not Combined)

					Page			
	EARTH-SPACE LINI	κ		SPACE-EARTH LINK				
E/S TRANSMITTING RF CHANNEL:				S/C TRANSMITTING RF CHANNEL:				
1	RF Carrier Modulation		11					
	a. Ch 1 Type			a. Ch 1 Type				
	b. Ch 1 Format			b. Ch 1 Format				
	c. Ch 2 Type			c. Ch 2 Type				
	d. Ch 2 Format			d. Ch 2 Format				
E/S T	RANSMITTING DATA CHANNE	L:	S/C TRA	NSMITTING DATA CHANNEL:				
2	Baseband Data		12	Baseband Data				
	a. Ch 1 Bit Rate, b/s			a. Ch 1 Bit Rate, kb/s				
	b. Ch 1 Bit Error Rate			b. Ch 1 Bit Error Rate				
	c. Ch 2 Bit Rate, b/s			c. Ch 2 Bit Rate, kb/s				
	d. Ch 2 Bit Error Rate			d. Ch 2 Bit Error Rate				
3	Data Coding		13	Data Coding				
	a. Ch 1 Type			a. Ch 1 Rate				
	b. Ch 1 No. Info Bits			b. Ch 1 Constraint Length				
	c. Ch 1 Block Length			c. Ch 1 Concatenated Code				
				d. Ch 1 Data/Total Bits				
	d. Ch 2 Type			e. Ch 2 Rate				
	e. Ch 2 No. Info Bits			f. Ch 2 Constraint Length				
	f. Ch 2 Block Length			g. Ch 2 Concatenated Code				
				h. Ch 2 Data/Total Bits				
4	Subcarrier		14	Subcarrier				
	a. Ch 1 Waveform			a. Ch 1 Waveform				
	b. Ch 1 Frequency			b. Ch 1 Frequency				
	c. Ch 1 Mod Type			c. Ch 1 Modulation Type				
	d. Ch 2 Waveform			d. Ch 2 Waveform				
	e. Ch 2 Frequency			e. Ch 2 Frequency				
	f. Ch 2 Mod Type			f. Ch 2 Modulation Type				
E/S T	RANSMITTING RNG CHANNEL	.:	S/C-E/S	RNG CHANNEL:				
5	a. System Type		15	a. Code Regeneration				
	b. Tone/Code Wavfrm]	b. Coherent Ops Reqd				
	c. Highest Frequency]	c. Required Accuracy (m)				
	d. Lowest Frequency			d. Bandwidth T/C 1 (Hz)				
	e. Total Comp No.			e. Bandwidth T/C 2 (Hz)				
EART	H-TO-SPACE PATH PERFORM	IANCE:	SPACE-	TO-EARTH PATH PERFORMA	NCE:			
6	a. Weather Avail (%)		16	a. Weather Avail (%)				
	b. S/C Distance (km)			b. S/C Distance (km)				

4.1.2 ANNEX (Cont.)—TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE

CCSDS LINK DESIGN CONTROL TABLE EARTH-SPACE LINK INPUT DATA SHEET (Link and Weather Not Combined)

			eather No		incuj			Pag
MISSION AND SPACECRAFT			0	HANNEL	1	CHANNEL 2		
		UNITS	DESIGN VALUE	FAV TOL	ADV TOL	DESIGN VALUE	FAV TOL	ADV TOL
E/S TRA	NSMITTING RF CARRIER CHANI							
1	Transmitter Power	dBW						
2	Transmitter Frequency	MHz						
3	Antenna Gain	dBi						
4	Antenna Circuit Loss	dB						
5	Antenna Pointing Loss	dB						
E/S TRA	NSMITTING DATA CHANNEL PA	RAMETERS	:		•	•		
6	Information Bit Rate	b/s						
7	Subcarrier Frequency	kHz						
8	Subcarrier Waveform	Sin-Sq						
9	RF Modulation Index	Rad-pk						
<u>E/S TR</u> A	NSMITTING RANGING CHANNEL	PARAMET	ERS:					
10	Simultaneous With Data	Yes-No						
11	Ranging Waveform	Sin-Sq						
12	a. Mod Index Tone/Code 1	Rad-pk						
	b. Mod Index Tone/Code 2	Rad-pk						
EARTH-	TO-SPACE PATH PARAMETERS							
13	Topocentric Range	km						
14	Atmospheric Attenuation	dB						
15	Ionospheric Loss	dB						
16	Antenna Elevation Angle	deg						
S/C REC	CEIVING RF CARRIER CHANNEL	PARAMETE	RS:					
17	Antenna Gain	dBi						
18	Polarization Loss	dB						
19	Antenna Pointing Loss	dB						
20	Antenna Circuit Loss	dB						
21	Carrier Circuit Loss	dB						
22	Total Noise Temperature	К						
	a. Receiver Operating Temp	К						
	b. Feed Through Noise	K						
	c. Hot Body Noise	K						
23	Threshold Loop Noise BW	Hz						
24	Reqd Threshold SNR in 2 BLO	dB						
	CEIVING DATA CHANNEL PARAM		· · · · ·					
25	Phase Jitter Loss	dB						
26	Demodulator / Detector Loss	dB						
27	Waveform Distortion Loss	dB						
28	Max Rng Interference to Data	dB						
29	Reqd Data E _b /N _o	dB						
	CEIVING RNG CHANNEL PARAM		<u>г </u>					
30	Ranging Demodulator Loss	dB						
31	Ranging Filter Bandwidth	MHz						
32	Required Tone/Code 1 SNR	dB						
33	Required Tone/Code 2 SNR	dB						

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4.1.2 ANNEX (Cont.)—TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE

CCSDS LINK DESIGN CONTROL TABLE SPACE-EARTH LINK INPUT DATA SHEET (Link and Weather Not Combined)

MISSION AND SPACECRAFT		ION AND SPACECRAFT CHANNEL 1			1	CHANNEL 2		
		UNITS	DESIGN	FAV	ADV	DESIGN	FAV	ADV
S/C TD/	ANSMITTING RF CARRIER CHAN			TOL	TOL	VALUE	TOL	TOL
<u>5/C / K/</u> 51	Transmitter Power	dBW			T			
52	Transmitter Frequency	MHz	1					
53		dBi	1					
<u> </u>	Antenna Gain Antenna Circuit Loss	dB						
54 55		dB dB						
	Antenna Pointing Loss							
<u>5/C TRA</u> 56	Information Bit Rate	kb/s				1		
57	Subcarrier Frequency	kD/S kHz						
58	Subcarrier Waveform	Sin-Sq	1					
59	RF Modulation Index	Rad-pk	1					
	ANSMITTING RNG CHANNEL PAI		<u> </u>					
<u>60</u>	Simultaneous With Data	Yes-No	, 					
61	Mod Index Tone/Code	Rad-pk						
	-TO-EARTH PATH PARAMETERS							
62	Topocentric Range	km						
63	Atmospheric Attenuation	dB						
64	Ionospheric Loss	dB						
65	Antenna Elevation Angle	deg						
	CEIVING RF CARRIER CHANNEL		FRS:					
66	Ant Gain	dBi						
67	Polarization Loss	dB						
68	Antenna Pointing Loss	dB						
69	Antenna Circuit Loss	dB						
70	Total Noise Temperature	K						
	a. Receiver Operating Temp	K						
	b. Feed Through Noise	K						
	c. Hot Body Noise	K						
	d. Weather Temp Increase	K						
71	Threshold Loop Noise BW	Hz						
72	Reqd Threshold SNR in 2 BLO	dB						
E/S REC	CEIVING DATA CHANNEL PARAI	IETERS:						
73	Phase Jitter Loss	dB						
74	Demodulator / Detector Loss	dB						
75	Waveform Distortion Loss	dB			1			
76	Max Rng Interference to Data	dB	1					
77	Required Data E _b /N _o	dB	1					
	CEIVING RNG CHANNEL PARAM		1 1		1			1
78	Ranging Demodulator Loss	dB						
79	Required Tone/Code 1 Pwr/No	dB-Hz						
80	Required Tone/Code 2 Pwr/No	dB-Hz	1 1		1	1		

4.1.2 ANNEX (Cont.)—TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE

CCSDS LINK DESIGN CONTROL TABLE EARTH-TO-SPACE LINK LINK COMPUTATIONS (Link and Weather Not Combined)

	(,		Page
M	ISSION AND SPACECRAFT	UNITS	DESIGN VALUE	MEAN VALUE	VARI- ANCE	PDF REF	REMARKS
E/S TRA	ANSMITTING RF CARRIER CHAN	NEL PERFO				1	
101	Transmitter Power	dBW				TRI	
102	Transmit Antenna Gain [Effect]	dB				UNI	
103	Transmit EIRP	dBW				TRI	
104	Transmit Carrier Power	dBW				TRI	
105	Transmit Carrier Power/PT	dB				TRI	
E/S TRA	ANSMITTING DATA CHANNEL PE	RFORMAN	CE:				
106	Transmit Ch 1 Data Power	dBW				TRI	
107	Transmit Ch 1 Data Power/PT	dB				TRI	
108	Transmit Ch 2 Data Power	dBW				TRI	
109	Transmit Ch 2 Data Power/PT	dB				TRI	
E/S TRA	ANSMITTING RNG CHANNEL PER	FORMANC	E:				
110	Tone - Code 1 Power	dBW				TRI	
111	Tone - Code 1 Power/P _T	dB				TRI	
112	Tone - Code 2 Power	dBW				TRI	
113	Tone - Code 2 Power/PT	dB				TRI	
EARTH	-TO-SPACE PATH PERFORMANC	E:					
114	Free Space Loss	dB				TRI	
115	Atmospheric Attenuation	dB				GAU	
116	Ionospheric Loss	dB				GAU	
S/C RE	CEIVING RF CARRIER CHANNEL	PERFORM	ANCE:				
117	Receiving Antenna Gain [Effect]	dBi				UNI	
118	Noise Spectral Density	dBW/Hz				GAU	
119	Threshold Loop BW, 2 B _{LO}	Hz				TRI	
120	Received Carrier Power	dBW				TRI	
121	Carrier Performance Margin	dB				TRI	
S/C RE	CEIVING DATA CHANNEL PERFO	RMANCE:					
122	Ch 1 Data Loss Due to Rng	dB				TRI	
123	Received Ch 1 E _b /N _o	dB				TRI	
124	Required Ch 1 E _b /N _o	dB					
125	Ch 1 Data Performance Margin	dB				TRI	
126	Ch 2 Data Loss Due to Rng	dB				TRI	
127	Received Ch 2 E _b /N _o	dB				TRI	
128	Required Ch 2 E _b /N _o	dB					
129	Ch 2 Data Performance Margin	dB				TRI	
S/C RE	CEIVING RNG CHANNEL PERFOR	RMANCE:					
130	Received Code 1 Power / No	dB-Hz				TRI	
131	Received Code 2 Power / No	dB-Hz				TRI	
132	Received Total Rng Power / No	dB-Hz				TRI	
133	Ranging Margin	dB				TRI	

4.1.2 ANNEX (Cont.)—TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE

CCSDS LINK DESIGN CONTROL TABLE SPACE-TO-EARTH LINK LINK COMPUTATIONS (Link and Weather Not Combined)

		ink and v	veather N	ot Combi	incuj		Pag
MISSION AND SPACECRAFT		UNITS	DESIGN VALUE	MEAN VALUE	VARI- ANCE	PDF REF	REMARKS
S/C TRA	ANSMITTING RF CARRIER CHAN	NEL PERFO				I	
151	Transmitter Power	dBW				TRI	
152	Transmit Antenna Gain [Effect]	dB				UNI	
153	Transmit EIRP	dBW				TRI	
154	Transmit Carrier Power	dBW				TRI	
155	Transmit Carrier Power/P _T	dB				TRI	
S/C TRA	ANSMITTING DATA CHANNEL PE	RFORMAN	CE:				
156	Transmit Ch 1 Data Power	dBW				TRI	
157	Transmit Ch 1 Data Power/PT	dB				TRI	
158	Transmit Ch 2 Data Power	dBW				TRI	
159	Transmit Ch 2 Data Power/PT	dB				TRI	
S/C TRA	ANSMITTING RNG CHANNEL PER	FORMANC	:E:				
160	Tone - Code 1 Power	dBW				TRI	
161	Tone - Code 1 Power/P _T	dB				TRI	
162	Tone - Code 2 Power	dBW				TRI	
163	Tone - Code 2 Power/PT	dB				TRI	
SPACE	TO-EARTH PATH PERFORMANC	E:				•	
164	Free Space Loss	dB				TRI	
165	Atmospheric Attenuation	dB				GAU	
166	Ionospheric Loss	dB				GAU	
E/S REC	CEIVING RF CARRIER CHANNEL	PERFORM	ANCE:			•	
167	Receiving Antenna Gain [Effect]	dBi				UNI	
168	Noise Spectral Density	dBW/Hz				GAU	
169	Threshold Loop BW, 2 BLO	Hz				TRI	
170	Received Carrier Power	dBW				TRI	
171	Carrier Performance Margin	dB				GAU	
E/S STA	TION RECEIVING DATA CHANNI	EL PERFOR	RMANCE:			1	
172	Ch 1 Data Loss Due to Rng	dB				TRI	
173	Received Ch 1 E _b /N _o	dB				TRI	
174	Required Ch 1 E _b /N _o	dB					
175	Ch 1 Data Performance Margin	dB				GAU	
176	Ch 2 Data Loss Due to Rng	dB				TRI	
177	Received Ch 2 E _b /N _o	dB				TRI	
178	Required Ch 2 E _b /N _o	dB					
179	Ch 2 Data Performance Margin	dB				GAU	
E/S STA	ATION RECEIVING RNG CHANNE	L PERFORI	MANCE:			I	
180	Received Code 1 Power / No	dB-Hz				TRI	
181	Received Code 2 Power / No	dB-Hz				TRI	
182	Received Total Rng Power / No	dB-Hz				TRI	
183	Ranging Performance Margin	dB	1			GAU	

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4.1.2 ANNEX (Cont.)—TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE

CCSDS LINK DESIGN CONTROL TABLE EXPLANATION OF REMARKS (Link and Weather Not Combined)

Page 7

UPLI 1	Design value of the Transmitted Carrier Power (dBW) is computed using the design value of Pc/Pt
1	
	and the EIRP. The design value of P _c /P _t is computed by using the design values of the several modulation indices.
2	Mean Transmitted Carrier Power (dBW) is computed by examining all combinations of favorable and adverse tolerances for the several modulation indices, selecting the best and worst cases, and computing P_c/P_t and EIRP. The variance on Transmitted Carrier Power (dBW) is computed by using the EIRP with the favorable and adverse tolerances of P_c/P_t .
2A	A triangular probability density is used for P_c/P_t ratio.
3	Design value of the Transmitted Data Power (dBW) is computed using design value of P_d/P_t and of the EIRP. The design value of P_d/P_t is computed by using the design values of the several modulation indices.
4	Mean Transmitted Data Power (dBW) is computed by examining all combinations of favorable and adverse tolerances for the several modulation indices, selecting the best and worst cases, and computing P_d/P_t and EIRP. The variance on Transmitted Data Power (dBW) is computed by using the EIRP with the favorable and adverse tolerances of P_d/P_t .
4A	A triangular probability density is used for the P_d/P_t ratio.
5	Design value of the Transmitted Ranging Power (dBW) is computed using the design value of P_r/P_t and of the EIRP. The design value of P_r/P_t is computed by using the design values of the several modulation indices.
6	Mean Transmitted Ranging Power (dBW) is computed by examining all combinations of favorable and adverse tolerances for the several modulation indices, selecting the best and worst cases, and computing P_r/P_t and EIRP. The variance of Transmitted Ranging Power (dBW) is computed by using the EIRP with the favorable and adverse tolerances of P_r/P_t .
6A	A triangular probability density is used for the $P_{\rm I}/P_{\rm t}$ ratio.
7,8	Space Loss: Mean and variance on the space loss are computed using the favorable and adverse tolerances of the Earth station-spacecraft range.
9	Atmospherics losses are assumed to be statistically independent of the link when computing margins. Mean and variance are computed using the appropriate weather model.
	NLINK
10	
10	Same as remark 1 but applied for the downlink. Same as remark 2 but applied for the downlink.
11A	Same as remark 2 but applied for the downlink.
12	Same as remark 3 but applied for the downlink.
13	Same as remark 4 but applied for the downlink.
13A	Same as remark 4a but applied for the downlink.
14	Same as remark 5 but applied for the downlink.
15	Same as remark 6 but applied for the downlink.
15A	Same as remark 6a but applied for the downlink.
16	Same as remark 7 but applied for the downlink.
	Same as remark 8 but applied for the downlink.
17	

Earth Stations and Spacecraft

4.1.2 ANNEX (Cont.)—TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE

CCSDS LINK DESIGN CONTROL TABLE EXPLANATION OF REMARKS (Cont.) (Link and Weather Not Combined)

Page 8

	DTES::::::
UF	PLINK
1.	Required Tone/Code 1 SNR means the required tone / code 1 ranging power divided by the noise power in the ranging bandwidth. The user is expected to enter the value in sheet 3, line 32.
2.	When the ranging margin is computed, the ranging bandwidth is used to obtain the required power- to-noise density ratio. Thereafter, this required ranging power-to-noise density ratio is subtracted from the received ranging power-to-noise density ratio to obtain the ranging margin.
DC	OWNLINK
1.	Required ranging Tone/Code Pwr/No ratio is computed automatically for DSN square wave and
	GSFC sidetone sine-wave ranging systems. For other systems, the Required Tone/Code Pwr/N $_{ m O}$
	ratio must be provided by the user. The following steps should be followed.
	a. Specify Tone/Code integration time needed meet accuracy requirements.
	b. Compute effective bandwidth (e.g., 1/Integration Time).
	c. Using appropriate data for the ranging system in use, find required P_T/N_0 needed to meet the
	specified accuracy, given the desired integration time, and enter this value on line 79.
2.	Ranging margin is based on effective bandwidth computed in 1b above.

Earth Stations and Spacecraft

4.1.3 STANDARD TERMINOLOGY FOR TELECOMMUNICATIONS LINK PERFORMANCE CALCULATIONS

The CCSDS,

considering

- (a) that a uniform method for computing telecommunications link performance is desirable in order to facilitate the exchange of information among agencies;
- (b) that it is necessary to agree upon the definitions of certain key terms before a uniform method for computing telecommunications link performance can be adopted;
- (c) that definitions which have been adopted by internationally recognized organizations for such key terms should be used whenever possible;

recommends

- (1) that the terms listed in Article 1 of the Radio Regulations and in the Annex below be used together with the meaning ascribed to them in the corresponding definition;
- (2) that the telecommunications link, together with the noise sources and losses, be described as shown in figure 4.1.3-1.

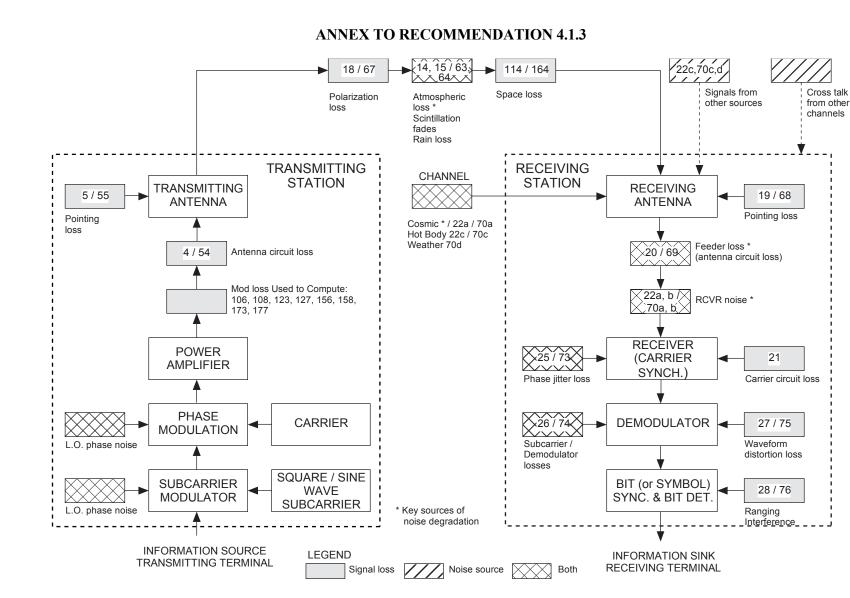


Figure 4.1.3-1: Typical Space Communications Link Showing Loss and Noise Sources

Earth Stations and Spacecraft

4.1.3 ANNEX TO RECOMMENDATION

TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE (Link and Weather Not Combined): A set of informational and input data tables for the user to provide the salient Earth-to-space and space-to-Earth telecommunications equipment and link characteristics together with tables containing the computed performance of these links without regard to weather induced effects.

GENERAL INFORMATION

- (1) *OWNER CCSDS AGENCY*: The CCSDS member agency having primary responsibility for the success or failure of the mission.
- (2) *NAME OF MISSION*: The name given to the mission by the CCSDS member agency owner.
- (3) *NAME OF SPACECRAFT*: The name given to a specific spacecraft, which is part of the named mission, by the CCSDS member agency owner.
- (4) *MISSION CATEGORY*: The mission's category, either Category B for deep space missions (missions whose altitude above the Earth's surface exceeds 2×10.6 km) or Category A for non-deep space missions (those whose altitude above the Earth's surface are less than, or equal to, 2×10^6 km).
- (5) *LINK BUDGET NUMBER*: A number which is assigned to this link budget study under the conditions and with the configuration stated on the following pages to distinguish it from other such studies.
- (6) *REVISION No. / CONDITIONS*: The most recent revision of this telecommunications link budget study, which is contained in this table, for the named spacecraft and mission together with a short description of the study conditions (e.g., transmitter power, station used, etc.).
- (7) *DATE*: The date that this study or revision was made.
- (8) *FILE NAME*: The name or number of the file, whether on a computer disk or other media, where this DCT is stored.
- (9) *PROJECT COGNIZANT PERSON*:

Name: The name of the cognizant person in the owner agency with whom inputs to, or outputs from, this Design Control Table should be discussed and approved.

Title: The cognizant person's position or title.

Address: The full agency center's name and address which is required to contact the cognizant person in an efficient manner.

Telephone No: The cognizant person's telephone number, including country and area code.

FAXNo: The cognizant person's FAX number, including country and area code.

Email: The cognizant person's full email address, including any relevant node.

Earth Stations and Spacecraft

4.1.3 ANNEX TO RECOMMENDATION (Continued)

GENERAL INFORMATION (Continued)

(10) NETWORK COGNIZANT PERSON:

Name: The name of the cognizant person in the agency operating the supporting network with whom inputs to, or outputs from, this Design Control Table should be discussed and approved.

Address: The full network agency center's name and address which is required to contact the cognizant person in an efficient manner.

Telephone No: The cognizant person's telephone number, including country and area code.

FAX No: The cognizant person's FAX number, including country and area code.

Email: The cognizant person's full email address, including any relevant node.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

COMMUNICATIONS SYSTEM OPERATING CONDITIONS

EARTH-TO-SPACE LINK

EARTH STATION (E/S) TRANSMITTING RF CHANNEL

- (1) *RF CARRIER MODULATION*:
- (1a) *Ch 1 Type*: The Earth station's carrier modulation method. Generally, only phase modulation is recommended by the CCSDS for the RF carrier.
- (1b) *Ch 1 Format*: The method used in the Earth station to represent the modulated Telecommand coded symbols on the carrier (e.g., NRZ-L, NRZ-M, bi-phase-L, etc.).
- (1c) *CH 2 Type*: Same definition as (1a) above except that it is applicable to RF channel 2.
- (1d) *Ch 2 Format*: Same definition as (1b) above except that it is applicable to RF channel 2.

EARTH STATION (E/S) TRANSMITTING DATA CHANNEL

- $(2) \qquad BASEBAND DATA:$
- (2a) *Ch 1 Bit Rate, b/s*: The rate, usually the maximum, at which uncoded telecommand or other data on channel 1 is to be transmitted from the Earth station and for which the link performance is to be evaluated, expressed in b/s.
- (2b) *Ch 1 Bit Error Rate*: The maximum information bit error rate providing acceptable performance for data channel 1 under consideration, expressed as a dimensionless fraction.
- (2c) *Ch 2 Bit Rate*, b/s: Same definition as (2a) above except that it is applicable to channel 2.
- (2d) *Ch 2 Bit Error Rate*: Same definition as (2b) above except that it is applicable to channel 2.
- $(3) \qquad DATA \ CODING:$
- (3a) *Ch 1 Type*: The type or name (e.g., block, Reed-Solomon, etc.) of the error detecting-correcting code used on data channel 1 by the Earth station.
- (3b) *Ch 1 No. Info Bits*: The number of information bits contained in a block code on data channel 1 which is transmitted from the Earth station, expressed as a number.
- (3c) *Ch 1 Block Length*: The total length of the block used on data channel 1 from the Earth station, expressed as a number.
- (3d) *Ch 2 Type*: Same definition as (3a) above except that it is applicable to data channel 2.

Earth Stations and Spacecraft

4.1.3 ANNEX TO RECOMMENDATION (Continued)

- (3e) *Ch 2 No. Info Bits*: Same definition as (3b) above except that it is applicable to channel 2.
- (3f) *Ch 2 Block Length*: Same definition as (3c) above except that it is applicable to channel 2.
- (4) *SUBCARRIER*:
- (4a) *Ch 1 Waveform*: The Earth station's subcarrier waveform on data channel 1. Sine wave subcarriers are recommended by the CCSDS for telecommand.
- (4b) *Ch 1 Frequency*: The Earth station's subcarrier frequency on data channel 1, expressed in kHz.
- (4c) *Ch 1 Mod Type*: The method used by the Earth station for modulating the subcarrier with the data. PSK modulation is recommended by the CCSDS for telecommand subcarriers.
- (4d) *Ch 2 Waveform*: Same definition as (4a) except that it is applicable to channel 2.
- (4e) *Ch 2 Frequency*: Same definition as (4b) above except that it is applicable to channel 2.
- (4f) *Ch 2 Mod Type*: Same definition as (4c) above except that it is applicable to channel 2.

EARTH STATION (E/S) TRANSMITTING RNG CHANNEL

- (5a) *System Type*: The name, or descriptive term used to identify the specific ranging equipment (e.g., sidetone, square wave, DLR sine wave, CNES sine wave, ESA sine wave, DSN square wave, etc.).
- (5b) *Tone/Code Wavfrm*: The ranging tone or code waveform (e.g., sine or square).
- (5c) *Highest Frequency*: The highest ranging tone or code frequency to be used for this mission, expressed in kHz.
- (5d) *Lowest Frequency*: The lowest ranging tone or code frequency to be used for this mission, expressed in kHz.
- (5e) *Total Comp No:* The total number of ranging tone or code components which will be used in measuring the range, expressed as a number.

EARTH-TO-SPACE PATH PERFORMANCE

- (6a) *Weather Avail (%)*: The amount of time that the Earth-to-space link must be available when considering the degradation due to weather, expressed as a percent.
- (6b) *S/C Distance (km):* The distance, measured along a ray path, between the Earth station transmitting antenna's radiation point and the spacecraft receiving antenna's reference point, expressed in kilometers (km).

4.1.3 ANNEX TO RECOMMENDATION (Continued)

COMMUNICATIONS SYSTEM OPERATING CONDITIONS (Cont.)

SPACE-TO-EARTH LINK

SPACECRAFT (S/C) TRANSMITTING RF CHANNEL

- (11) *RF CARRIER MODULATION*:
- (11a) *Ch 1 Type*: The spacecraft's carrier modulation method. Generally, only phase modulation is recommended by the CCSDS for the RF carrier.
- (11b) *Ch 1 Format*: The method used by the spacecraft to represent the modulated Telemetry coded symbols on the carrier (e.g., NRZ-L, NRZ-M, bi-phase-L, etc.).
- (11c) *CH 2 Type*: Same definition as (11a) above except that it is applicable to RF channel 2.
- (11d) *Ch 2 Format*: Same definition as (11b) above except that it is applicable to RF channel 2.

SPACECRAFT (S/C) TRANSMITTING DATA CHANNEL

- (12) BASEBAND DATA:
- (12a) *Ch 1 Bit Rate, kb/s*: The rate, usually the maximum, at which uncoded telemetry or other data on channel 1 is to be transmitted from the spacecraft and for which the link performance is to be evaluated, expressed in kilo b/s.
- (12b) *Ch 1 Bit Error Rate*: The maximum information bit error rate providing acceptable performance for data channel 1 under consideration, expressed as a dimensionless fraction.
- (12c) *Ch 2 Bit Rate, kb/s*: Same definition as (12a) above except that it is applicable to channel 2.
- (12d) *Ch 2 Bit Error Rate*: Same definition as (12b) above except that it is applicable to channel 2.
- (13) DATA CODING:
- (13a) *Ch 1 Rate*: The ratio of the number of data bits to the total number of convolutionally encoded symbols transmitted from the spacecraft, generally expressed as a fraction (e.g., 1/2 for the CCSDS recommended code).
- (13b) *Ch 1 Constraint Length*: The constraint length of the convolutional encoder on the spacecraft, expressed as a number (e.g., 7 for the CCSDS recommended code).
- (13c) *Ch 1 Concatenated Code*: The type or name of the code which is concatenated with the convolutional code on the spacecraft (e.g., Reed-Solomon, Golay, block, etc.). The CCSDS recommends Reed-Solomon.

Earth Stations and Spacecraft

4.1.3 ANNEX TO RECOMMENDATION (Continued)

- (13d) *Ch 1 Data/Total Bits*: The number of data bits to total bits in a spacecraft block code, expressed as a ratio (e.g., 223/255 for the CCSDS recommended Reed-Solomon code).
- (13e) *Ch 2 Rate*: Same definition as (13a) above except that it is applicable to channel 2.
- (13f) *Ch 2 Constraint Length*: Same definition as (13b) above except that it is applicable to channel 2.
- (13g) *Ch 2 Concatenated Code*: Same definition as (13c) above except that it is applicable to channel 2.
- (13h) *Ch 2 Data/Total Bits*: Same definition as (13d) above except that it is applicable to channel 2.
- (14) SUBCARRIER:
- (14a) Ch 1 Waveform: The spacecraft's subcarrier waveform on data channel 1 (e.g., sine or square).
- (14b) *Ch 1 Frequency*: The spacecraft's subcarrier frequency on data channel 1, expressed in kHz.
- (14c) *Ch 1 Modulation Type*: The spacecraft's method used for modulating the subcarrier with the data. PSK modulation is recommended by the CCSDS for telemetry subcarriers.
- (14d) *Ch 2 Waveform*: Same definition as (14a) above except that it is applicable to channel 2.
- (14e) *Ch 2 Frequency*: Same definition as (14b) above except that it is applicable to channel 2.
- (14f) *Ch 2 Modulation Type*: Same definition as (14c) above except that it is applicable to data channel 2.

SPACECRAFT (S/C)-EARTH STATION (E/S) RNG CHANNEL

- (15a) *Code Regeneration*: A statement (Yes or No) indicating whether the spacecraft regenerates the ranging code prior to transmitting it to the Earth station.
- (15b) *Coherent Ops Reqd*: A statement (Yes or No) indicating whether the Earth station's ranging equipment requires a coherent spacecraft RF channel.
- (15c) Required Accuracy (m): The required ranging measurement accuracy, expressed in meters.
- (15d) Bandwidth T/C 1 (Hz): The Earth station's effective bandwidth (1/integration time) required to obtain the ranging measurement accuracy stated in 5c, above, with the P_r / N_o stated on the Input Data Sheet, expressed in Hz.
- (15e) *Bandwidth T/C 2 (Hz)*: The Earth station's effective bandwidth (1/integration time) required to obtain the required probability of success in the ranging measurement, expressed in Hz.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

SPACE-TO-EARTH PATH PERFORMANCE

- (16a) *Weather Avail (%)*: The amount of time that the space-to-Earth link must be available when considering the degradation due to weather, expressed as a percent.
- (16b) *S/C Distance (km):* The distance, measured along a ray path, between the spacecraft transmitting antenna's radiation point and the Earth station receiving antenna's reference point, expressed in kilometers (km).

Earth Stations and Spacecraft

4.1.3 ANNEX TO RECOMMENDATION (Continued)

INPUT DATA SHEET FOR EARTH-TO-SPACE LINK

EARTH STATION (E/S) TRANSMITTING RF CARRIER CHANNEL PARAMETERS

- (1) *TRANSMITTER POWER*: That power actually produced at the transmitter power amplifier's output terminals, expressed as a positive or negative value in dBW (10 Log_{10} [Watts]).
- (2) *TRANSMITTER FREQUENCY*: The unmodulated transmitter carrier frequency, expressed in Megahertz (MHz).
- (3) ANTENNA GAIN (ITU/RR/154): "The ratio, usually expressed in decibels, of the power required at the input of a loss-free reference antenna to the power supplied to the input of the given antenna to produce, in a given direction, the same field strength or power flux-density at the same distance. When not specified otherwise, the gain refers to the direction of maximum radiation." In this application, the reference antenna is an isotropic antenna located in free space. The antenna's gain is expressed as a positive or negative value in dBi.

Placing the network's name (e.g., DSN) in the box to the right of Antenna Gain and the antenna's diameter (e.g., 70) in box to the right of the network in row 3 will cause the computer program to consult its data base for all required information regarding that station.

- (4) *ANTENNA CIRCUIT LOSS*: The attenuation in rf power occurring between the output terminals of the transmitting power amplifier and the point of electromagnetic radiation from that antenna, expressed as a negative value in dB.
- (5) ANTENNA POINTING LOSS: The reduction in signal power at the receiving antenna resulting from imperfect pointing of the transmitting antenna such that the actual ray path from transmitting antenna to receiving antenna differs from the optimum ray path containing the point of maximum transmitting antenna gain, expressed as a negative value in dB.

EARTH STATION (E/S) TRANSMITTING DATA CHANNEL PARAMETERS

- (6) *INFORMATION BIT RATE*: The rate at which uncoded Telecommand information bits are to be sent from the transmitting station to the receiving station, expressed in bits per second (b/s).
- (7) SUBCARRIER FREQUENCY: The unmodulated Telecommand subcarrier's frequency, either 8 kHz or 16 kHz, expressed in kHz.
- (8) SUBCARRIER WAVEFORM: The Telecommand subcarrier's waveform is always sine wave.
- (9) *RF MODULATION INDEX*: The angle by which the rf carrier is phase shifted, with respect to the unmodulated rf carrier, as a result of the data on Telecommand channel of the modulator, expressed in radians peak.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

EARTH STATION (E/S) TRANSMITTING RANGING CHANNEL PARAMETERS

- (10) *SIMULTANEOUS WITH DATA*: A statement showing whether the computed performance is based upon simultaneous ranging and telecommanding operations (e.g., Yes or No).
- (11) *RANGING WAVEFORM*: The waveform of the ranging modulation, sine wave for tone modulation, square wave for code modulation, expressed as Sin or Sq.
- (12a) *MOD INDEX TONE / CODE 1*: The angle by which the rf carrier is phase shifted, with respect to the unmodulated rf carrier, as a result of the highest frequency (major) ranging Tone / Code modulation, expressed in radians peak (Rad-pk).
- (12b) *MOD INDEX TONE / CODE 2*: The angle by which the rf carrier is phase shifted, with respect to the unmodulated rf carrier, as a result of the lower frequency (minor) ranging Tones / Codes modulation, expressed in radians peak (Rad-pk).

EARTH-TO-SPACE PATH PARAMETERS

- (13) *TOPOCENTRIC RANGE*: The distance, measured along a ray path, between the Earth station transmitting antenna's radiation point and the spacecraft receiving antenna's reference point, expressed in kilometers (km).
- (14) *ATMOSPHERIC ATTENUATION*: The reduction in signal power at the receiving antenna, considering such factors as the Earth station's antenna elevation angle, weather, and geographical location, which results from absorption, reflection, and scattering of the rf signal as it passes through the Earth's atmosphere, expressed as a negative value in dB.
- (15) *IONOSPHERIC LOSS*: The reduction in signal power at the receiving antenna, considering such factors as the Earth station's elevation angle and communication's frequency, resulting from the dispersive loss in radiated signal as it passes through the Ionosphere of the Earth and/or other bodies, expressed as a negative value in dB.
- (16) *ANTENNA ELEVATION ANGLE*: The angle between a ray, representing the boresight of the Earthstation's antenna beam pattern, and a locally horizontal line, when both ray and line are contained in a vertical plane which also contains the center of the Earth, expressed in degrees (deg).

SPACECRAFT (S/C) RECEIVING CARRIER RF CHANNEL PARAMETERS

(17) ANTENNA GAIN: The ratio of the power flux density required at the input of a loss-free isotropic antenna to that power flux density needed at the input of the spacecraft's receiving antenna which produces the same output at the antenna's terminals for a source which is at equal distance from both antennas. The gain of the spacecraft's receiving antenna refers to the direction of maximum sensitivity, except in the case of a non-directional antenna in which case the gain refers to a minimum value corresponding to the antenna's specified coverage. The gain of the subject antenna, at the receiving frequency, is expressed as a positive or negative value in dBi.

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4.1.3 ANNEX TO RECOMMENDATION (Continued)

- (18) *POLARIZATION LOSS*: The reduction in transferred signal power between transmitting and receiving stations resulting from differences in the radiated and received polarization patterns between the two antennas, expressed as a negative value in dB.
- (19) *ANTENNA POINTING LOSS*: Same definition as (5) above except that it is applicable to the pointing error of the spacecraft's receiving antenna, expressed as a negative value in dB.
- (20) *ANTENNA CIRCUIT LOSS*: The attenuation in rf power occurring between the point of electromagnetic radiation on the spacecraft's antenna and the input terminals of the low noise amplifier, expressed as a negative value in dB.
- (21) *CARRIER CIRCUIT LOSS*: The sum of resistive (cable and circuitry), transmission line mismatches, and other implementation losses, expressed as a negative value in dB.
- (22) TOTAL NOISE TEMPERATURE: The sum of the following noise temperature components (a) + (b) + (c), expressed in dBK. This sum is a computed entry and is <u>not</u> supplied by the user.
 - (a) *Receiver Operating Temp*: Overall Noise Temperature (CCIR/Rec 573-1): "For an antenna, or a receiving system including the antenna, the value to which the temperature of the resistive component of the source impedance should be brought, if it were the only source of noise, to cause the noise power at the output of the receiver to be the same as in real case."

In the Link Design Control Table, this parameter represents a receiving system reference temperature, at the received frequency, which excludes all contributions enumerated in (b), and (c), below, expressed in Kelvin. The reference temperature is measured at the input to the low-noise amplifier with the antenna viewing a cold sky background and which includes contributions from the: 1) cosmic background; 2) low noise amplifier and/or receiver.

- (b) *Feed Through Noise*: The increase in the receiver's operating temperature resulting from a portion of the transmitted signal leaking into the receiver's low-noise amplifier, expressed in Kelvin (K).
- (c) *Hot Body Noise*: The predicted increase from the reference temperature (Tr), resulting from the receiving antenna being directed toward a body having a temperature greater than that of the cold sky reference, expressed in Kelvin (K).
- (23) *THRESHOLD LOOP NOISE BW*: The total (2-sided) bandwidth of the rf carrier's phase-locked-loop, measured at the point when the SNR in that phase-locked-loop is +10 dB (carrier threshold), expressed in Hz.
- (24) REQD THRESHOLD SNR IN 2 B_{LO} : The ratio of received carrier power in 2 B_{LO} to the noise power density required to maintain receiver lock at threshold which has been defined to be +10 dB, expressed as a positive or negative value in dB.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

SPACECRAFT (S/C) RECEIVING DATA CHANNEL PARAMETERS

- (25) *PHASE JITTER LOSS*: The loss in coded symbol detection efficiency resulting from phase noise on the received rf carrier, which produces a non-orthogonal, noisy phase relationship between the demodulator's reference and the rf carrier to be demodulated, plus losses from the partial tracking of the modulated symbols by the rf carrier phase locked loop, expressed as a negative value in dB.
- (26) *DEMODULATOR / DETECTOR LOSS*: The loss in data demodulation and detection efficiency resulting from phase noise on the demodulated subcarrier, which produces a non-orthogonal, noisy phase relationship between the demodulator's reference and the subcarrier to be demodulated, plus losses from the partial tracking of the data bits by the subcarrier loop, plus losses due to timing errors in the coded symbol synchronizer's tracking loop, plus losses from non-linearities in the demodulator, which reduce the device's efficiency, expressed as a negative value in dB.
- (27) *WAVEFORM DISTORTION LOSS*: The loss in the recovered data signal power resulting from distortion in the modulated signal's (subcarrier and data) waveform, which has been introduced by filtering and non-linearities in the data channel or medium, expressed as a negative value in dB.
- (28) *MAX RNG INTERFERENCE TO DATA*: The ratio of the ranging modulation's maximum spectral power level, lying within the data spectrum bandwidth [*data spectrum bandwidth equals the coded symbol rate in Hz*], to the total ranging power level, expressed as a negative value in dB.
- (29) REQUIRED DATA E_b / N_o : The energy per data bit divided by the noise spectral density which is required to obtain the stated Bit Error Rate, considering the improvement due to coding, expressed in dB.

SPACECRAFT (S/C) RECEIVING RANGING (RNG) CHANNEL PARAMETERS

- (30) *RANGING DEMODULATOR LOSS*: The loss in ranging demodulation and detection efficiency resulting from phase noise on the demodulated subcarrier, which produces a non-orthogonal, noisy phase relationship between the demodulator's reference and the i.f. carrier to be demodulated, plus losses from non-linearities in the demodulator, which reduce the device's efficiency, expressed as a negative value in dB.
- (31) *RANGING FILTER BANDWIDTH*: The bandwidth of the ranging channel filter in the spacecraft receiver, expressed in Megahertz (MHz).
- (32) *REQUIRED TONE/CODE 1 SNR*: The ranging tone/code 1 signal-to-noise ratio required in the spacecraft's transponder to achieve the desired ranging measurement accuracy, expressed as a positive or negative number in dB.
- (33) *REQUIRED TONE/CODE 2 SNR*: The ranging tone/code 2 signal-to-noise ratio required in the spacecraft's transponder to achieve the desired ranging ambiguity resolution, expressed as a positive or negative number in dB.

Earth Stations and Spacecraft

4.1.3 ANNEX TO RECOMMENDATION (Continued)

INPUT DATA SHEET FOR SPACE-TO-EARTH LINK

SPACECRAFT (S/C) TRANSMITTING RF CARRIER CHANNEL PARAMETERS

- (51) *TRANSMITTER POWER*: That power actually produced at the transmitter power amplifier's output terminals, expressed as a positive or negative value in dBW (10Log₁₀ [Watts]).
- (52) *TRANSMITTER FREQUENCY*: The unmodulated transmitter carrier frequency, expressed in Megahertz.
- (53) ANTENNA GAIN: The ratio of the power required at the input terminals of a loss-free isotropic antenna to the power supplied to the input terminals of the spacecraft's transmitting antenna which is needed to produce, in a specified direction, the same field strength (power flux density at an equivalent distance). The gain refers to the direction of maximum radiation except for non-directional antennas, in which case, the gain refers to a minimum value corresponding to the specified antenna coverage. The gain of the subject antenna, at the transmitting frequency, is expressed as a positive or negative value in dBi.
- (54) *ANTENNA CIRCUIT LOSS*: The attenuation in rf power occurring between the output terminals of the transmitting power amplifier and the point of electromagnetic radiation from that antenna, expressed as a negative value in dB.
- (55) ANTENNA POINTING LOSS: The reduction in signal power at the receiving antenna resulting from imperfect pointing of the transmitting antenna such that the actual ray path from transmitting antenna to receiving antenna differs from the optimum ray path containing the point of maximum transmitting antenna gain, expressed as a negative value in dB.

SPACECRAFT (S/C) TRANSMITTING DATA CHANNEL PARAMETERS

- (56) *INFORMATION BIT RATE*: The basic telemetry data rate generated by the flight data system, prior to any encoding or spectrum spreading procedures, for transmission to the receiving Earth station, expressed in kilo-bits per second (kb/s).
- (57) *SUBCARRIER FREQUENCY*: The unmodulated Telemetry subcarrier's frequency, expressed as a positive value in kilo-Hertz (kHz).
- (58) *SUBCARRIER WAVEFORM*: The waveform of the Telemetry subcarrier, either sine wave or square wave, expressed as Sin or Sq.
- (59) *RF MODULATION INDEX*: The angle by which the rf carrier is phase shifted, with respect to the unmodulated rf carrier, as a result of the data on Telemetry channel of the modulator, expressed in radians peak.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

SPACECRAFT (S/C) TRANSMITTING RANGING (RNG) CHANNEL PARAMETERS

- (60) *SIMULTANEOUS WITH DATA*: A statement showing whether or not the computed performance is based upon simultaneous ranging and telemetry operations (e.g., Yes or No).
- (61) *MOD INDEX TONE / CODE*: The angle by which the rf carrier is phase shifted, with respect to the unmodulated rf carrier, as a result of the ranging Tones / Codes modulation, expressed in radians peak (Rad-pk).

SPACE-TO-EARTH PATH PARAMETERS

- (62) *TOPOCENTRIC RANGE*: The distance, measured along a ray path, between the spacecraft antenna's radiation point and ground station antenna's reference point, expressed in kilometers (km).
- (63) *ATMOSPHERIC ATTENUATION*: The reduction in signal power at the receiving antenna, considering such factors as the Earth station's antenna elevation angle, weather, and geographical location, which results from absorption, reflection, and scattering of the rf signal as it passes through the Earth's atmosphere, expressed as a negative value in dB.
- (64) *IONOSPHERIC LOSS*: The reduction in signal power at the receiving antenna, considering such factors as the Earth station's elevation angle and communication's frequency, resulting from the dispersive loss in radiated signal as it passes through the Ionosphere of the Earth and/or other bodies, expressed as a negative value in dB.
- (65) *ANTENNA ELEVATION ANGLE*: The angle between a ray, representing the boresight of the Earthstation's antenna beam pattern, and a locally horizontal line, when both ray and line are contained in a vertical plane which also contains the center of the Earth, expressed in degrees (Deg).

EARTH STATION (E/S) RECEIVING RF CARRIER CHANNEL PARAMETERS

- (66) *ANTENNA GAIN*: Same definition as (3) above except that it is applicable to the Earth station's receiving frequency. See second paragraph of (3) above to access Earth station data base.
- (67) *POLARIZATION LOSS*: The reduction in transferred signal power between transmitting and receiving stations resulting from differences in the radiated and received polarization patterns between the two antennas, expressed as a negative value in dB.
- (68) *ANTENNA POINTING LOSS*: Same definition as (5) above except that it is applicable to the pointing error of the Earth station's receiving antenna.
- (69) *ANTENNA CIRCUIT LOSS*: The attenuation in rf power occurring between the point of electromagnetic radiation on the Earth station's antenna and the input terminals of the low noise amplifier, expressed as a negative value in dB.

Earth Stations and Spacecraft

4.1.3 ANNEX TO RECOMMENDATION (Continued)

- (70) TOTAL NOISE TEMPERATURE: The sum of the following noise temperature components (a) + (b) + (c) + (d), expressed in dBK. This sum is a computed entry and is <u>not</u> supplied by the user.
 - (a) *Receiver Operating Temp*: Overall Noise Temperature (CCIR/Rec 573-1): "For an antenna, or a receiving system including the antenna, the value to which the temperature of the resistive component of the source impedance should be brought, if it were the only source of noise, to cause the noise power at the output of the receiver to be the same as in real case."

In the Link Design Control Table, this parameter represents a receiving system reference temperature, at the received frequency, which excludes all contributions enumerated in (b), and (c), below, expressed in Kelvin. The reference temperature is measured at the input to the low-noise amplifier with the antenna viewing a cold sky background and which includes contributions from the: 1) cosmic background; 2) low noise amplifier and/or receiver.

- (b) *Feed Through Noise*: The increase in the receiver's operating temperature resulting from a portion of the transmitted signal leaking into the receiver's low-noise amplifier, expressed in Kelvin (K).
- (c) *Hot Body Noise*: The predicted increase from the reference temperature (Tr), resulting from the receiving antenna being directed toward a body having a temperature greater than that of the cold sky reference, expressed in Kelvin (K).
- (d) *Weather Temp Increase*: The predicted increase from the reference temperature, resulting from the selected ground station weather model, and which excludes contributions from Atmospheric Attenuation (63), Ionospheric Loss (64), and from (b) and (c) above, expressed as a positive value in Kelvin.
- (71) *THRESHOLD LOOP NOISE BW*: The total (2-sided) bandwidth of the rf carrier phase-locked-loop, measured at carrier threshold, expressed in Hz.
- (72) *REQD THRESHOLD SNR IN 2 B_{LO}*: The ratio of received carrier power in 2 B_{LO} to the noise power density required to maintain receiver lock at threshold which has been defined to be +10 dB, expressed in dB.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

EARTH STATION (E/S) RECEIVING DATA CHANNEL PARAMETERS

- (73) *PHASE JITTER LOSS*: The loss in coded symbol detection efficiency resulting from phase noise on the received rf carrier, which produces a non-orthogonal, noisy phase relationship between the demodulator's reference and the rf carrier to be demodulated, plus losses from the partial tracking of the modulated symbols by the rf carrier phase locked loop, expressed as a negative value in dB.
- (74) *DEMODULATOR / DETECTOR LOSS*: The loss in data demodulation and detection efficiency resulting from phase noise on the demodulated subcarrier, if any, which produces a non-orthogonal, noisy phase relationship between the demodulator's reference and the subcarrier to be demodulated, plus losses from the partial tracking of the data bits by the subcarrier loop, plus losses due to timing errors in the coded symbol synchronizer's tracking loop, plus losses from non-linearities in the demodulator, which reduce the device's efficiency, expressed as a negative value in dB.
- (75) *WAVEFORM DISTORTION LOSS*: The loss in the recovered data signal power, which results from distortion in the modulated signal's (subcarrier and data) waveform, which has been introduced by non-linearities in the data channel or medium, expressed as a negative value in dB.
- (76) *MAX RNG INTERFERENCE TO DATA*: The ratio of the ranging modulation's maximum spectral power level, lying within the data spectrum bandwidth [*data spectrum bandwidth equals the coded symbol rate in Hz*], to the total ranging power level, expressed as a negative value in dB.
- (77) REQUIRED DATA E_b / N_o : The energy per data bit divided by the noise spectral density which is required to obtain the stated Bit Error Rate, considering the improvement due to coding, expressed in dB.

EARTH STATION (E/S) RECEIVING RANGING (RNG) CHANNEL PARAMETERS

- (78) *RANGING DEMODULATOR LOSS*: The loss in ranging demodulation and detection efficiency resulting from phase noise on the demodulated subcarrier, which produces a non-orthogonal, noisy phase relationship between the demodulator's reference and the i.f. carrier to be demodulated, plus losses from non-linearities in the demodulator, which reduce the device's efficiency, expressed as a negative value in dB.
- (79) REQUIRED TONE/CODE 1 PWR / N_o : The magnitude of range tone/code 1 ST/N_o required to achieve the desired Range accuracy, expressed as a positive or negative value in dB-Hz.
- (80) REQUIRED TONE/CODE 2 PWR / N_o : The magnitude of range tone/code 2 ST/N_o required to achieve the desired probability of error in the ranging measurement, expressed as a positive or negative value in dB-Hz.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

LINK COMPUTATIONS FOR EARTH-TO-SPACE LINK

EARTH STATION (E/S) TRANSMITTING RF CARRIER CHANNEL PERFORMANCE

- (101) *TRANSMITTER POWER*: That power actually produced at the transmitter power amplifier's output terminals, expressed as a positive or negative value in dBW (10 Log₁₀ [Watts]).
- (102) *TRANSMIT ANTENNA GAIN [Effect]*: The computed antenna gain found by subtracting the Antenna Circuit Loss and Antenna Pointing Loss from the Antenna Gain [(3)]-[(4)+(5)], expressed as a positive or negative value in dBi.
- (103) *TRANSMIT EIRP*: The computed effective isotopically radiated power found by adding Transmitter Power and Effective Antenna Gain [(101)+(102)], expressed as a positive or negative value in dBW.
- (104) *TRANSMIT CARRIER POWER*: The computed portion of the total transmitted power remaining in the rf carrier channel after subtracting the power in the sidebands due to the modulating signals, expressed as a positive or negative value in dBW.
- (105) *TRANSMIT CARRIER POWER* / P_T : The power computed in (104) above, divided by the total Earth station transmitted power, expressed as a negative value in dB.

EARTH STATION (E/S) TRANSMITTING DATA CHANNEL PERFORMANCE

- (106) *TRANSMIT CH 1 DATA POWER*: The computed power in the rf carrier's data sidebands resulting from the modulating signal on data Channel 1, expressed as a positive or negative value in dBW.
- (107) TRANSMIT CH 1 DATA POWER / P_T : The Channel 1 data power computed in (106) above, divided by the total Earth station transmitted power, expressed as a negative value in dB.
- (108) *TRANSMIT CH 2 DATA POWER*: The computed power in the rf carrier's data sidebands resulting from the modulating signal on data Channel 2, expressed as a positive or negative value in dBW.
- (109) TRANSMIT CH 2 DATA POWER / P_T : The Channel 2 data power computed in (108) above, divided by the total Earth station transmitted power, expressed as a negative value in dB.

EARTH STATION (E/S) TRANSMITTING RANGING (RNG) CHANNEL PERFORMANCE

- (110) *TONE CODE 1 POWER*: The computed power in the rf carrier's ranging sidebands resulting from either Tone 1 (major) or Code 1 modulation, expressed as a positive or negative value in dBW.
- (111) TONE CODE 1 POWER $/P_T$: The Tone or Code 1 power computed in (110) above, divided by the total Earth station transmitted power, expressed as a negative value in dB.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

- (112) *TONE CODE 2 POWER*: The computed power in the rf carrier's ranging sidebands resulting from either Tone 2 (minor) or Code 2 modulation, expressed as a positive or negative value in dBW.
- (113) TONE CODE 2 POWER $/P_T$: The Tone or Code 2 power computed in (112) above, divided by the total Earth station transmitted power, expressed as a negative value in dB.

EARTH-TO-SPACE PATH PERFORMANCE

- (114) *FREE SPACE LOSS*: The computed loss resulting from the spreading of the signal as it propagates from transmitting to receiving stations, expressed as a negative value in dB.
- (115) *ATMOSPHERIC ATTENUATION*: The reduction in signal power at the receiving antenna, considering such factors as the Earth station's antenna elevation angle, weather, and geographical location, which results from absorption, reflection, and scattering of the rf signal as it passes through the Earth's atmosphere, placed on this page for reference purposes, expressed as a negative value in dB.
- (116) *IONOSPHERIC LOSS*: The reduction in signal power at the receiving antenna, considering such factors as the Earth station's elevation angle and communication's frequency, resulting from the dispersive loss in radiated signal as it passes through the Ionosphere of the Earth and/or other bodies, placed on this page for reference purposes, expressed as a negative value in dB.

SPACECRAFT (S/C) RECEIVING RF CARRIER CHANNEL PERFORMANCE

- (117) RECEIVING ANTENNA GAIN [Effect]: The computed antenna gain found by subtracting the Polarization Loss, Antenna Pointing Loss, and Antenna Circuit Loss from the Antenna Gain (17)-[(18)+(19)+(20)], expressed as a positive or negative value in dBi.
- (118) *NOISE SPECTRAL DENSITY*: The computed noise, generally resulting from the receiver's low noise amplifier, in a 1 Hz bandwidth, expressed in dBW/Hz.
- (119) THRESHOLD LOOP BW, 2 B_{LO} : Same definition as (24) above, placed on this page for reference purposes.
- (120) *RECEIVED CARRIER POWER*: The computed carrier power in the receiver's phase locked loop bandwidth of 2 B_{LO}, expressed as a positive or negative value in dBW.
- (121) *CARRIER PERFORMANCE MARGIN*: The computed excess in rf carrier signal over +10 dB SNR in the phase-locked-loop, which is defined to be carrier threshold, expressed in dB.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

SPACECRAFT (S/C) RECEIVING DATA CHANNEL PERFORMANCE

- (122) *CH 1 DATA LOSS DUE TO RNG*: The computed loss in data channel's performance resulting from the presence of a simultaneous ranging signal on the Earth-to-space link, expressed in dB.
- (123) *RECEIVED CH 1 E_b* / N_o : The computed received energy per bit in Data Channel 1 divided by the noise spectral density, expressed in dB.
- (124) REQUIRED CH 1 E_b / N_o : The computed energy per bit divided by the noise spectral density (E_b / N_o) found by adding the Phase Jitter Loss, Demodulator/Detector Loss, Waveform Distortion Loss, and Maximum Ranging Interference to Data to the Required Data E_b / N_o [(29)] + [(25)+(26)+(27)+(28)], expressed in dB.
- (125) CH 1 DATA PERFORMANCE MARGIN: The computed excess of Channel 1 received E_b/N_o over the required E_b/N_o , [(123)-(124)], expressed in dB.
- (126) *CH 2 DATA LOSS DUE TO RNG*: Same definition as (122) above except that it is applicable to data channel 2.
- (127) RECEIVED CH 2 E_b / N_o : Same definition as (123) above except that it is applicable to data channel 2.
- (128) REQUIRED CH 2 E_b / N_o : Same definition as (124) above except that it is applicable to data channel 2.
- (129) CH 2 DATA PERFORMANCE MARGIN: The computed excess of Channel 2 received E_b / N_o over the required E_b / N_o,[(127)-(128)], expressed in dB.

SPACECRAFT (S/C) RECEIVING RANGING (RNG) CHANNEL PERFORMANCE

- (130) RECEIVED CODE 1 POWER / N_o : The computed received power (P_R) in the ranging Tone 1 (major) or Code 1 divided by the noise spectral density, expressed as a positive or negative value in dB-Hz.
- (131) RECEIVED CODE 2 POWER / N_o : Same definition as (130) above except that it is applicable to Tone or Code 2.
- (132) RECEIVED TOTAL RNG POWER $/N_o$: The computed total received power in all ranging Tones or Codes, if several are present simultaneously, or Tone/Code 1 if Tones or Codes are transmitted sequentially, divided by the noise spectral density, expressed as a positive or negative value in dB-Hz.
- (133) *RANGING MARGIN*: The computed excess of received total ranging power over the required ranging power, expressed in dB.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

LINK COMPUTATIONS FOR SPACE-TO-EARTH LINK

SPACECRAFT (S/C) TRANSMITTING RF CARRIER CHANNEL PERFORMANCE

- (151) *TRANSMITTER POWER*: That power actually produced at the transmitter power amplifier's output terminals, expressed as a positive or negative value in dBW (10 Log₁₀ [Watts]).
- (152) *TRANSMIT ANTENNA GAIN [Effect]*: The computed antenna gain found by subtracting the Antenna Circuit Loss and Antenna Pointing Loss from the Antenna Gain (53)-[(54)+(55)], expressed as a positive or negative value in dBi.
- (153) *TRANSMIT EIRP*: The computed effective isotopically radiated power found by adding Transmitter Power and Effective Antenna Gain [(151)+(152)], expressed as a positive or negative value in dBW.
- (154) *TRANSMIT CARRIER POWER*: The computed portion of the total transmitted power remaining in the rf carrier channel after subtracting the power in the sidebands due to the modulating signals, expressed as a positive or negative value in dBW.
- (155) *TRANSMIT CARRIER POWER* / P_T : The power computed in (154) above, divided by the total spacecraft transmitted power, expressed as a negative value in dB.

SPACECRAFT (S/C) TRANSMITTING DATA CHANNEL PERFORMANCE

- (156) *TRANSMIT CH 1 DATA POWER*: The computed power in the rf carrier's data sidebands resulting from the modulating signal on data Channel 1, expressed as a positive or negative value in dBW.
- (157) TRANSMIT CH 1 DATA POWER / P_T : The Channel 1 data power computed in (156) above, divided by the total spacecraft transmitted power, expressed as a negative value in dB.
- (158) *TRANSMIT CH 2 DATA POWER*: The computed power in the rf carrier's data sidebands resulting from the modulating signal on data Channel 2, expressed as a positive or negative value in dBW.
- (159) TRANSMIT CH 2 DATA POWER / P_T : The Channel 2 data power computed in (158) above, divided by the total spacecraft transmitted power, expressed as a negative value in dB.

SPACECRAFT (S/C) TRANSMITTING RANGING (RNG) CHANNEL PERFORMANCE

- (160) *TONE CODE 1 POWER*: The computed power in the rf carrier's ranging sidebands resulting from either Tone 1 (major) or Code 1 modulation, expressed as a positive or negative value in dBW.
- (161) TONE CODE 1 POWER $/P_T$: The Tone or Code 1 power computed in (160) above, divided by the total Earth station transmitted power, expressed as a negative value in dB.

Earth Stations and Spacecraft

4.1.3 ANNEX TO RECOMMENDATION (Continued)

- (162) *TONE CODE 2 POWER*: The computed power in the rf carrier's ranging sidebands resulting from either Tone 2 (major) or Code 2 modulation, expressed as a positive or negative value in dBW.
- (163) TONE CODE 2 POWER / P_T : The Tone or Code 2 power computed in (162) above, divided by the total spacecraft transmitted power, expressed as a negative value in dB.

SPACE-TO-EARTH PATH PERFORMANCE

- (164) *FREE SPACE LOSS*: The computed loss resulting from the spreading of the signal as it propagates from transmitting to receiving station, expressed as a negative value in dB.
- (165) *ATMOSPHERIC ATTENUATION*: The reduction in signal power at the receiving antenna, considering such factors as the Earth station's antenna elevation angle, weather, and geographical location, which results from absorption, reflection, and scattering of the rf signal as it passes through the Earth's atmosphere, placed on this page for reference purposes, expressed as a negative value in dB.
- (166) *IONOSPHERIC LOSS*: The reduction in signal power at the receiving antenna, considering such factors as the Earth station's elevation angle and communication's frequency, resulting from the dispersive loss in radiated signal as it passes through the Ionosphere of the Earth and/or other bodies, placed on this page for reference purposes, expressed as a negative value in dB.

EARTH STATION (E/S) RECEIVING RF CARRIER CHANNEL PERFORMANCE

- (167) RECEIVING ANTENNA GAIN [Effect]: The computed antenna gain found by subtracting the Polarization Loss, Antenna Pointing Loss, and Antenna Circuit Loss from the Antenna Gain (66) - [(67) + (68) + (69)], expressed as a positive or negative value in dBi.
- (168) *NOISE SPECTRAL DENSITY*: The computed noise, generally resulting from the receiver's low noise amplifier, in a 1 Hz bandwidth, expressed in dBW/Hz.
- (169) THRESHOLD LOOP BW, 2 B_{LO} : Same definition as (71) above, placed on this page for reference purposes.
- (170) *RECEIVED CARRIER POWER*: The computed carrier power in the receiver's phase locked loop bandwidth of 2 B_{LO}, expressed in dBW.
- (171) *CARRIER PERFORMANCE MARGIN*: The computed excess in rf carrier signal over +10 dB SNR in the phase-locked-loop, which is defined to be carrier threshold, expressed in dB.

4.1.3 ANNEX TO RECOMMENDATION (Continued)

EARTH STATION (E/S) RECEIVING DATA CHANNEL PERFORMANCE

- (172) *CH 1 DATA LOSS DUE TO RNG*: The computed loss in data channel's performance resulting from the presence of a simultaneous ranging signal on the space-to-Earth link, expressed in dB.
- (173) *RECEIVED CH 1 E_b / N_o*: The computed received energy per bit in Data Channel 1 divided by the noise spectral density, expressed in dB.
- (174) REQUIRED CH 1 E_b / N_o : The computed energy per bit divided by the noise spectral density (E_b / N_o) found by adding the Phase Jitter Loss, Demodulator/Detector Loss, Waveform Distortion Loss, and Maximum Ranging Interference to Data to the Required Data E_b / N_o [(77)] + [(73)+(74)+(75)+(76)], [includes the improvement due to coding], expressed in dB.
- (175) *CH 1 DATA PERFORMANCE MARGIN*: The computed excess of Channel 1 received E_b / N_o over the required E_b / N_o, [(173)-(174)], expressed in dB.
- (176) *CH 2 DATA LOSS DUE TO RNG*: Same definition as (172) above except that it is applicable to data channel 2.
- (177) RECEIVED CH 2 E_b / N_o : Same definition as (173) above except that it is applicable to data channel 2.
- (178) REQUIRED CH 2 E_b / N_o : Same definition as (174) above except that it is applicable to data channel 2.
- (179) *CH 2 DATA PERFORMANCE MARGIN*: The computed excess of Channel 2 received E_b / N_o over the required E_b / N_o, [(177)-(178)], expressed in dB.

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- (180) RECEIVED CODE 1 POWER / N_o : The computed received power (P_R) in the ranging Tone 1 (major) or Code 1 divided by the noise spectral density, expressed as a positive or negative value in dB-Hz.
- (181) RECEIVED CODE 2 POWER / N_o : Same definition as (180) above except that it is applicable to Tone or Code 2.
- (182) RECEIVED TOTAL RNG POWER / N_0 : The computed total received power in all ranging Tones or Codes, if several are present simultaneously, or Tone/Code 1 if Tones or Codes are transmitted sequentially, divided by the noise spectral density, expressed as a positive or negative value in dB-Hz.
- (183) *RANGING PERFORMANCE MARGIN*: The computed excess of received total ranging power over the required ranging power, expressed in dB.

4.1.4 DEFAULT PROBABILITY DENSITY FUNCTIONS FOR LINK COMPUTATION IN THE CCSDS TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE

The CCSDS,

considering

- (a) that several parameters used in the telecommunications link Design Control Table (DCT) [CCSDS Recommendation 401 (4.1.2) B-1] are random variables whose values can only be estimated using statistical methods;
- (b) that such statistical computations require a knowledge of the statistical properties of the nondeterministic parameters;
- (c) that a Probability Density Function (PDF) is useful in representing the statistical properties of a random variable;
- (d) that the PDFs, shown in figure 4.1.4-1 of the Annex, are considered to be sufficient to estimate the statistical values of the parameters in the telecommunications link DCT;
- (e) that empirical evidence, obtained from many years of experience in telecommunication link design, demonstrates that the PDFs, published in References [1] and [2] and reproduced in tables 4.1.4-1 and 4.1.4-2 of the Annex hereto, provide the best estimates of the statistical values for the named parameters;
- (f) that many of the non-deterministic parameters found in the CCSDS link DCT [CCSDS Recommendation 401 (4.1.2) B-1] are independent of the specific implementation methods used by agencies in their Earth stations and spacecraft while others are not;

recommends

- (1) that CCSDS agencies employ the three PDFs shown in figure 4.1.4-1 of the Annex to approximate the PDFs of parameters found in the CCSDS link DCT, when computing their statistical values;
- (2) that, when a CCSDS Agency has not independently specified some, or all, of the PDFs, the default set of PDFs shown in tables 4.1.4-1 and 4.1.4-2 of the Annex hereto should be used to estimate the value of the correspondingly named parameter;
- (3) that, where a CCSDS Agency has specified some, or all, of the PDFs for non-deterministic parameters, either the Agency's set of PDFs or the default set of PDFs, found in tables 4.1.4-1 and 4.1.4-2, may be used.

REFERENCES

- [1] Joseph Yuen, Editor, "Deep Space Telecommunications Systems Engineering," Plenum Press, New York, 1983, Chapter 1.
- [2] Joseph Yuen, "A Practical Statistical Model for Telecommunications Performance Uncertainty," Technical Memorandum 33-732, NASA/Jet Propulsion Laboratory, California Institute Of Technology, Pasadena, June 15, 1975.

4.1.4 DEFAULT PROBABILITY DENSITY FUNCTIONS FOR LINK COMPUTATION IN THE CCSDS TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE (Continued)

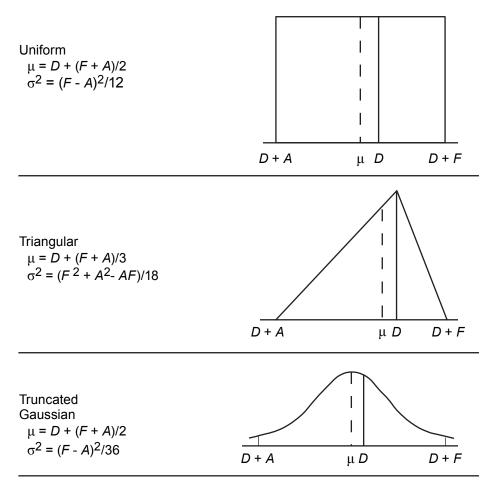


Figure 4.1.4-1: Probability Density Functions

- D = Design value, in dB.
- μ = Mean value, in dB.
- $\sigma^2 = Variance, in dB^2$.
- A = Adverse tolerance, which is defined as the worst case of a parameter minus the design value in dB. Adverse tolerance generally has a negative value for all link parameters except noise spectral density and noise bandwidth.
- F = Favorable tolerance, which is defined as the best case of a parameter minus the design value in dB. Favorable tolerance generally has a positive value for all link parameters except noise spectral density and noise bandwidth.

NOTE – The above formulas assume that (D + A) and (D + F) are at $(\mu \pm 3\sigma)$ points.

4.1.4 DEFAULT PROBABILITY DENSITY FUNCTIONS FOR LINK COMPUTATION IN THE CCSDS TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE (Continued)

ANNEX

TABLE 4.1.4-1: EARTH-TO-SPACE (UPLINK) DATA ELEMENT TYPES

Element Number	Description	Probability Density Function
3	Antenna Gain	Uniform
4	Antenna Circuit Loss (TX)	Uniform
5	Antenna Pointing Loss (TX)	Uniform
17	S/C Antenna Gain	Triangular
18	Polarization Loss (RX)	Uniform
19	S/C Antenna Pointing Loss (RX)	Triangular
20	S/C Antenna Circuit Loss (RX)	Uniform
21	Carrier Circuit Loss	Triangular
25	Phase Jitter Loss	Uniform
26	Demodulator/Detector Loss	Triangular
27	Waveform Distortion Loss	Uniform
28	Maximum Ranging Interference to Data	Deterministic
30	Ranging Demodulation Loss	Triangular
101	Station Transmitter Power	Triangular
102	Trans. Ant. Gain [Effective]	Uniform
103	Transmitting EIRP	Triangular
104	Transmitted Carrier Power	Triangular
105	Trans. Carrier Power/P _T	Triangular
106	Trans. Chan. 1 Data Power	Triangular
107	Trans. Chan. 1 Data Power/P _T	Triangular
108	Trans. Chan. 2 Data Power	Triangular
109	Trans. Chan. 2 Data Power/P _T	Triangular
110	Tone - Code 1 Power	Triangular

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4.1.4 DEFAULT PROBABILITY DENSITY FUNCTIONS FOR LINK COMPUTATION IN THE CCSDS TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE (Continued)

ANNEX

TABLE 4.1.4-1: EARTH-TO-SPACE (UPLINK) DATA ELEMENT TYPES (Continued)

Element Number	Description	Probability Density Function
111	Tone - Code 1 Power/P _T	Triangular
112	Tone - Code 2 Power	Triangular
113	Tone - Code 2 Power/P _T	Triangular
114	Free Space Loss	Triangular
115	Atmospheric Attenuation	Gaussian
116	Ionospheric Loss	Gaussian
117	Receiving Antenna Gain [Effective]	Uniform
118	Noise Spectral Density	Gaussian
119	Threshold Loop BW, 2BLO	Triangular
120	Received Carrier Power	Triangular
121	Carrier Performance Margin	Triangular
122	Channel 1 Data Loss Due to Ranging	Triangular
123	Received Channel 1 Eb/No	Triangular
124	Required Channel 1 Eb/No	Deterministic
125	Channel 1 Data Performance Margin	Triangular
126	Channel 2 Data Loss Due to Ranging	Triangular
127	Received Channel 1 Eb/No	Triangular
128	Required Channel 2 Eb/No	Deterministic
129	Channel 2 Data Performance Margin	Triangular
130	Received Code 1 Power/No	Triangular
131	Received Code 2 Power/No	Triangular
132	Rcvd Total Ranging Power/No	Triangular
133	Ranging Power at S/C Receiver Output	
	(Uplink Ranging Margin)	Triangular

4.1.4 DEFAULT PROBABILITY DENSITY FUNCTIONS FOR LINK COMPUTATION IN THE CCSDS TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE (Continued)

ANNEX

TABLE 4.1.4-2: SPACE-TO-EARTH (DOWNLINK) DATA ELEMENT TYPES

Description	Probability Density Function
S/C Antenna Gain	Triangular
S/C Antenna Circuit Loss (TX)	Uniform
Antenna Pointing Loss (TX)	Triangular
E/S Antenna Gain	Uniform
Polarization Loss (RX)	Uniform
Antenna Pointing Loss (RX)	Uniform
Antenna Circuit Loss (RX)	Uniform
Receiver Operating Temperature	Gaussian
Feed Through Noise	Gaussian
Hot Body Noise	Gaussian
Weather Temperature Increase	Gaussian
Phase Jitter Loss	Uniform
Demodulator/Detector Loss	Triangular
Waveform Distortion Loss	Uniform
Maximum Ranging Interference to Data	Deterministic
Ranging Demodulation Loss	Triangular
S/C Transmitter Power	Triangular
Trans. Ant. Gain [Effective]	Uniform
Transmitting EIRP	Triangular
Transmitted Carrier Power	Triangular
Trans. Carrier Power/P _T	Triangular
Trans. Chan. 1 Data Power	Triangular
Trans. Chan. 1 Data Power/P _T	Triangular
Trans. Chan. 2 Data Power	Triangular
	 S/C Antenna Gain S/C Antenna Circuit Loss (TX) Antenna Pointing Loss (TX) E/S Antenna Gain Polarization Loss (RX) Antenna Pointing Loss (RX) Antenna Circuit Loss (RX) Receiver Operating Temperature Feed Through Noise Hot Body Noise Weather Temperature Increase Phase Jitter Loss Demodulator/Detector Loss Waveform Distortion Loss Maximum Ranging Interference to Data Ranging Demodulation Loss S/C Transmitter Power Trans. Ant. Gain [Effective] Transmitting EIRP Transmitted Carrier Power Trans. Chan. 1 Data Power/P_T

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4.1.4 DEFAULT PROBABILITY DENSITY FUNCTIONS FOR LINK COMPUTATION IN THE CCSDS TELECOMMUNICATIONS LINK DESIGN CONTROL TABLE (Continued)

ANNEX

TABLE 4.1.4-2: SPACE-TO-EARTH (DOWNLINK) DATA ELEMENT TYPES (Continued)

Element Number	Description	Probability Density Function
159	Trans. Chan. 2 Data Power/P _T	Triangular
160	Tone - Code 1 Power	Triangular
161	Tone - Code 1 Power/P _T	Triangular
162	Tone - Code 2 Power	Triangular
163	Tone - Code 2 Power/P _T	Triangular
164	Free Space Loss	Triangular
165	Atmospheric Attenuation	Gaussian
166	Ionospheric Loss	Gaussian
167	Receiving Antenna Gain [Effective]	Uniform
168	Noise Spectral Density	Gaussian
169	Threshold Loop BW, 2BLO	Triangular
170	Received Carrier Power	Triangular
171	Carrier Performance Margin	Gaussian
172	Chan. 1 Data Loss Due to Ranging	Triangular
173	Received Channel 1 Eb/No	Triangular
174	Required Channel 1 Eb/No	Deterministic
175	Channel 1 Data Performance Margin	Gaussian
176	Chan. 2 Data Loss Due to Ranging	Triangular
177	Received Channel 2 Eb/No	Triangular
178	Required Channel 2 Eb/No	Deterministic
179	Channel 2 Data Performance Margin	Gaussian
180	Received Code 1 Power/No	Triangular
181	Received Code 2 Power/No	Triangular
182	Rcvd Total Ranging Power/No	Triangular
183	Ranging Margin	Gaussian

4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE

The CCSDS,

considering

- (a) that the term "modulation loss" as used in the CCSDS Link Design Control Table (DCT) [CCSDS Recommendation 401 (4.1.2) B-1] means "that fraction of the total transmitted power allotted to a designated channel";
- (b) that the computation of the carrier, telecommand, telemetry, and ranging link margins found in the CCSDS DCT requires an evaluation of the modulation losses;
- (c) that the CCSDS DCT employs a statistical technique for computing the mean and variance of modulation losses for which most input parameters in the DCT require the specification of a design value together with its favorable and adverse tolerances;
- (d) that computation of the modulation loss tolerances are based upon variations at the peak phase deviations (peak modulation indices);
- (e) that the calculation of the variance on link performance can be tedious because it requires the designer to evaluate the several modulation losses for all possible combinations of favorable and adverse tolerances;
- (f) that, for systems employing a coherent-turnaround ranging channel with a constant-power AGC simultaneously with telecommand and telemetry (figure 4.1.5-1), there are 512 possible combinations of favorable and adverse tolerances affecting the several modulation losses which must be evaluated to compute the telemetry channel's performance;
- (g) that computing a telecommunication system's performance by evaluating all possible combinations of favorable and adverse tolerances on the several modulation loss input parameters results in an unnecessary increase in computational complexity since the combinations producing the extreme performance variations are deterministic;
- (h) that based on the mathematical expressions for the modulation losses, simple algorithms can be developed to avoid this unnecessary increase in computational complexity;
- (i) that, due to the modulation schemes recommended by the CCSDS [CCSDS Recommendations 401 (2.2.2) B-1, 401 (2.2.3) B-1, 401 (2.2.4) B-1, 401 (2.2.7) B-1, 401 (2.3.1) B-1, 401 (2.4.3) B-1 and 401 (2.4.7) B-1], computation of tolerances on the several modulation losses requires the use of Bessel, trigonometric, and exponential functions;
- (j) that, when the maximum modulation indices are less than 1.4 radians [see CCSDS Recommendations 401 (2.1.6) B-1 and 401 (2.3.8) B-2], the maximum and minimum values of some modulation losses do not occur when all modulation indices are simultaneously at their upper or lower bounds, respectively (see figure 4.1.5-2);
- (k) that a common set of computation algorithms will ease the information exchange between space agencies;

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

recommends

- (1) that parameters defined in tables 4.1.5-1a and 4.1.5-1b be used in the formulas for the computation of the maxima and minima of the modulation losses;
- (2) that Algorithm 1 be used to compute the mean and variance of the Earth-to-space modulation losses¹ for simultaneous range and telecommand operations;
- (3) that Algorithm 2 be used to compute the mean and variance of the space-to-Earth modulation losses¹ when a power-controlled AGC is employed on the turnaround ranging channel.

¹ For reader's convenience, sine-wave telecommand is used to identify telecommand modulations in accordance with 401 (2.2.2) B-1, and square-wave telecommand is used to identify telecommand modulations in accordance with 401 (2.2.7) B-1. Sine-wave telemetry is used to identify telemetry modulations in accordance with recommends (3) of 401 (2.4.3) B-1; square-wave telemetry is used to identify telemetry modulations in accordance with recommends (4) of 401 (2.4.3) B-1 or recommends (3) of 401 (2.4.7) B-1.

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5

TABLE 4.1.5-1a: Definitions of Parameters in Uplink Modulation Loss Formulas

 m_{CD} = telecommand peak modulation index;

 $m_{R_{11}}$ = uplink peak ranging modulation index for tone 1;

 $m_{R_{12}}$ = uplink peak ranging modulation index for tone 2; for a one-tone ranging $m_{R_{12}} = 0$;

 P_{T_1} = total uplink signal power;

 $P_{C_1}, P_{CD}, P_{R_1}, P_{R_2}$ = uplink carrier, telecommand and tone 1 and 2 ranging powers, respectively;

 N_{01} = uplink noise power spectral density.

TABLE 4.1.5-1b: Definitions of Parameters in Downlink Modulation Loss Formulas

 m_{TM1} , m_{TM2} = telemetry channels 1 and 2 peak modulation indices;

 $m_{R_{2}}$ = pre-set downlink peak ranging modulation index;

 $P_{T_{a}}$ = total downlink signal power;

 $P_{C_2}, P_{TM1}, P_{TM2}, P_{R_{21}}, P_{R_{22}} =$ powers of downlink carrier, of telemetry channels 1 and 2, and of ranging channels 1 and 2, respectively.

Ranging Modulation Type Coefficient: $\gamma = \frac{8}{\pi^2}$ if only the fundamental harmonic of an uplink ranging square-wave signal passes through the transponder's ranging filter; $\gamma = 1$ if a sinusoidal ranging signal is modulated on the uplink carrier.

Actual (noise-modified) modulation indices for feed-through command (peak), ranging channels 1 and 2 (peak) and noise (rms), respectively:

$$\tau_1 = m_{R_2} \sqrt{\frac{\alpha_C}{\alpha_C + \alpha_R + 1}}, \quad \tau_{21} = m_{R_2} \sqrt{\frac{\alpha_{R_{11}}}{\alpha_C + \alpha_R + 1}}, \quad \tau_{22} = m_{R_2} \sqrt{\frac{\alpha_{R_{12}}}{\alpha_C + \alpha_R + 1}}, \quad \tau_3 = \frac{m_{R_2}}{\sqrt{2(\alpha_C + \alpha_R + 1)}}$$

Where

$$\alpha_{R} = \gamma \left(\frac{P_{R_{11}} + P_{R_{12}}}{N_{01}B_{R}} \right) = \alpha_{R_{11}} + \alpha_{R_{12}}, \ \alpha_{R_{11}} = \gamma \left(\frac{P_{R_{11}}}{N_{01}B_{R}} \right), \ \alpha_{R_{12}} = \gamma \left(\frac{P_{R_{12}}}{N_{01}B_{R}} \right)$$

: ranging signal-to-noise power ratio at the output of the transponder filter;
$$\alpha_{C} = \frac{P_{CD}}{N_{01}B_{R}}$$

: feed-through telecommand-to-noise power ratio at the transponder ranging filter's output (with no telecommand signal attenuation);

 B_R = one-sided bandwidth of the transponder ranging channel.

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

ALGORITHM 1 : Computation of the Mean and Variance of Uplink Modulation Losses.

- 1. Compute the maxima and minima of uplink ranging and telecommand modulation indices by adding the favorable and adverse tolerances to the nominal values of the modulation indices.
- 2. Compute the nominal uplink modulation losses by substituting the nominal (design) modulation index values in the modulation loss equations in tables 4.1.5-2a and 4.1.5-2b:

Channel	Square-Wave Two-Tone Ranging	Sinusoidal Two-Tone Ranging
Carrier	$\frac{P_{C_1}}{P_{T_1}} = \cos^2(m_{R_{11}})\cos^2(m_{R_{12}})J_0^2(m_{CD})$	$\frac{P_{C_1}}{P_{T_1}} = J_0^2(m_{R_{11}})J_0^2(m_{R_{12}})J_0^2(m_{CD})$
Telecommand	$\frac{P_{CD}}{P_{T_1}} = 2\cos^2(m_{R_{11}})\cos^2(m_{R_{12}})J_1^2(m_{CD})$	$\frac{P_{CD}}{P_{T_1}} = 2J_0^2(m_{R_{11}})J_0^2(m_{R_{12}})J_1^2(m_{CD})$
Ranging Tone 1	$\frac{P_{R_{11}}}{P_{T_1}} = \sin^2(m_{R_{11}})\cos^2(m_{R_{12}})J_0^2(m_{CD})$	$\frac{P_{R_{11}}}{P_{T_1}} = 2J_1^2(m_{R_{11}})J_0^2(m_{R_{12}})J_0^2(m_{CD})$
Ranging Tone 2	$\frac{P_{R_{12}}}{P_{T_1}} = \cos^2(m_{R_{11}})\sin^2(m_{R_{12}})J_0^2(m_{CD})$	$\frac{P_{R_{12}}}{P_{T_1}} = 2J_0^2(m_{R_{11}})J_1^2(m_{R_{12}})J_0^2(m_{CD})$

TABLE 4.1.5-2a: Nominal Uplink Modulation Losses, Sine-Wave Telecommand

TABLE 4.1.5-2b: Nominal Uplink Modulation Losses, Square-Wave Telecommand

Channel	Square-Wave Two-Tone Ranging	Sinusoidal Two-Tone Ranging
Carrier	$\frac{P_{C_1}}{P_{T_1}} = \cos^2(m_{R_{11}})\cos^2(m_{R_{12}})\cos^2(m_{CD})$	$\frac{P_{C_1}}{P_{T_1}} = J_0^2(m_{R_{11}})J_0^2(m_{R_{12}})\cos^2(m_{CD})$
Telecommand	$\frac{P_{CD}}{P_{T_1}} = \cos^2(m_{R_{11}})\cos^2(m_{R_{12}})\sin^2(m_{CD})$	$\frac{P_{CD}}{P_{T_1}} = J_0^2(m_{R_{11}})J_0^2(m_{R_{12}})\sin^2(m_{CD})$
Ranging Tone 1	$\frac{P_{R_{11}}}{P_{T_1}} = \sin^2(m_{R_{11}})\cos^2(m_{R_{12}})\cos^2(m_{CD})$	$\frac{P_{R_{11}}}{P_{T_1}} = 2J_1^2(m_{R_{11}})J_0^2(m_{R_{12}})\cos^2(m_{CD})$
Ranging Tone 2	$\frac{P_{R_{12}}}{P_{T_1}} = \cos^2(m_{R_{11}})\sin^2(m_{R_{12}})\cos^2(m_{CD})$	$\frac{P_{R_{12}}}{P_{T_1}} = 2J_0^2(m_{R_{11}})J_1^2(m_{R_{12}})\cos^2(m_{CD})$

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

3. Compute minima and maxima of uplink modulation losses using the equations of tables 4.1.5-2a and 4.1.5-2b, as shown in tables 4.1.5-3a, 4.1.5-3b, 4.1.5-3c, and 4.1.5-3d.

TABLE 4.1.5-3a:Minima and Maxima of Uplink Modulation Losses: Sine-Wave
Telecommand with Square-Wave Ranging

Carrier	$\left[\left(\frac{P_{C_1}}{P_{T_1}}\right)_{\max} = \cos^2(m_{R_{11}}(\min))\cos^2(m_{R_{12}}(\min))J_0^2(m_{CD}(\min))$	$\left(\frac{P_{C_1}}{P_{T_1}}\right)_{\min} = \cos^2(m_{R_{11}}(\max))\cos^2(m_{R_{12}}(\max))J_0^2(m_{CD}(\max))$
Tele- command	$\left(\frac{P_{CD}}{P_{T_1}}\right)_{\max} = 2\cos^2(m_{R_{11}}(\min))\cos^2(m_{R_{12}}(\min))J_1^2(m_{CD}(\max))$	$\left(\frac{P_{CD}}{P_{T_1}}\right)_{\min} = 2\cos^2(m_{R_{11}}(\max))\cos^2(m_{R_{12}}(\max))J_1^2(m_{CD}(\min))$
Ranging Tone 1	$\left(\frac{P_{R_{11}}}{P_{T_1}}\right)_{\max} = \sin^2(m_{R_{11}}(\max))\cos^2(m_{R_{12}}(\min))J_0^2(m_{CD}(\min))$	$\left(\frac{P_{R_{11}}}{P_{T_1}}\right)_{\min} = \sin^2(m_{R_{11}}(\min))\cos^2(m_{R_{12}}(\max))J_0^2(m_{CD}(\max))$
Ranging Tone 2	$\left(\frac{P_{R_{12}}}{P_{T_1}}\right)_{\max} = \cos^2(m_{R_{11}}(\min))\sin^2(m_{R_{12}}(\max))J_0^2(m_{CD}(\min))$	$\left(\frac{P_{R_{12}}}{P_{T_1}}\right)_{\min} = \cos^2(m_{R_{11}}(\max))\sin^2(m_{R_{12}}(\min))J_0^2(m_{CD}(\max))$

TABLE 4.1.5-3b:Minima and Maxima of Uplink Modulation Losses: Sine-Wave
Telecommand with Sine-Wave Ranging

Carrier	$\left(\frac{P_{C_1}}{P_{T_1}}\right)_{\max} = J_0^2(m_{R_{11}}(\min))J_0^2(m_{R_{12}}(\min))J_0^2(m_{CD}(\min))$	$\left(\frac{P_{C_1}}{P_{T_1}}\right)_{\min} = J_0^2(m_{R_{11}}(\max))J_0^2(m_{R_{12}}(\max))J_0^2(m_{CD}(\max))$
Tele- command	$\left(\frac{P_{CD}}{P_{T_1}}\right)_{\max} = 2J_0^2(m_{R_{11}}(\min))J_0^2(m_{R_{12}}(\min))J_1^2(m_{CD}(\max))$	$\left(\frac{P_{CD}}{P_{T_1}}\right)_{\min} = 2J_0^2(m_{R_{11}}(\max))J_0^2(m_{R_{12}}(\max))J_1^2(m_{CD}(\min))$
Ranging Tone 1	$\left(\frac{P_{R_{11}}}{P_{T_1}}\right)_{\max} = 2J_1^2(m_{R_{11}}(\max))J_0^2(m_{R_{12}}(\min))J_0^2(m_{CD}(\min))$	$\left(\frac{P_{R_{11}}}{P_{T_1}}\right)_{\min} = 2J_1^2(m_{R_{11}}(\min))J_0^2(m_{R_{12}}(\max))J_0^2(m_{CD}(\max))$
Ranging Tone 2	$\left(\frac{P_{R_{12}}}{P_{T_1}}\right)_{\max} = 2J_0^2(m_{R_{11}}(\min))J_1^2(m_{R_{12}}(\max))J_0^2(m_{CD}(\min))$	$\left(\frac{P_{R_{12}}}{P_{T_1}}\right)_{\min} = 2J_0^2(m_{R_{11}}(\max))J_1^2(m_{R_{12}}(\min))J_0^2(m_{CD}(\max))$

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-3c:Minima and Maxima of Uplink Modulation Losses: Square-Wave
Telecommand with Square-Wave Ranging

Carrier	$\left(\frac{P_{C_1}}{P_{T_1}}\right)_{\max} = \cos^2(m_{R_{11}}(\min))\cos^2(m_{R_{12}}(\min))\cos^2(m_{CD}(\min))$	$\left(\frac{P_{C_1}}{P_{T_1}}\right)_{\min} = \cos^2(m_{R_{11}}(\max))\cos^2(m_{R_{12}}(\max))\cos^2(m_{CD}(\max))$
Tele- command	$\left(\frac{P_{CD}}{P_{T_1}}\right)_{\max} = \cos^2(m_{R_{11}}(\min))\cos^2(m_{R_{12}}(\min))\sin^2(m_{CD}(\max))$	$\left(\frac{P_{CD}}{P_{T_1}}\right)_{\min} = \cos^2(m_{R_{11}}(\max))\cos^2(m_{R_{12}}(\max))\sin^2(m_{CD}(\min))$
Ranging Tone 1	$\left(\frac{P_{R_{11}}}{P_{T_1}}\right)_{\max} = \sin^2(m_{R_{11}}(\max))\cos^2(m_{R_{12}}(\min))\cos^2(m_{CD}(\min))$	$\left(\frac{P_{R_{11}}}{P_{T_1}}\right)_{\min} = \sin^2(m_{R_{11}}(\min))\cos^2(m_{R_{12}}(\max))\cos^2(m_{CD}(\max))$
Ranging Tone 2	$\left(\frac{P_{R_{12}}}{P_{T_1}}\right)_{\max} = \cos^2(m_{R_{11}}(\min))\sin^2(m_{R_{12}}(\max))\cos^2(m_{CD}(\min))$	$\left(\frac{P_{R_{12}}}{P_{T_1}}\right)_{\min} = \cos^2(m_{R_{11}}(\max))\sin^2(m_{R_{12}}(\min))\cos^2(m_{CD}(\max))$

TABLE 4.1.5-3d:Minima and Maxima of Uplink Modulation Losses: Square-Wave
Telecommand with Sine-Wave Ranging

Carrier	$\left(\frac{P_{C_1}}{P_{T_1}}\right)_{\max} = J_0^2(m_{R_{11}}(\min))J_0^2(m_{R_{12}}(\min))\cos^2(m_{CD}(\min))$	$\left(\frac{P_{C_1}}{P_{T_1}}\right)_{\min} = J_0^2(m_{R_{11}}(\max))J_0^2(m_{R_{12}}(\max))\cos^2(m_{CD}(\max))$
Tele- command	$\left(\frac{P_{CD}}{P_{T_1}}\right)_{\max} = J_0^2(m_{R_{11}}(\min))J_0^2(m_{R_{12}}(\min))\sin^2(m_{CD}(\max))$	$\left(\frac{P_{CD}}{P_{T_1}}\right)_{\min} = J_0^2(m_{R_{11}}(\max))J_0^2(m_{R_{12}}(\max))\sin^2(m_{CD}(\min))$
Ranging Tone 1	$\left(\frac{P_{R_{11}}}{P_{T_1}}\right)_{\max} = 2J_1^2(m_{R_{11}}(\max))J_0^2(m_{R_{12}}(\min))\cos^2(m_{CD}(\min))$	$\left(\frac{P_{R_{11}}}{P_{T_1}}\right)_{\min} = 2J_1^2(m_{R_{11}}(\min))J_0^2(m_{R_{12}}(\max))\cos^2(m_{CD}(\max))$
Ranging Tone 2	$\left(\frac{P_{R_{12}}}{P_{T_1}}\right)_{\max} = 2J_0^2(m_{R_{11}}(\min))J_1^2(m_{R_{12}}(\max))\cos^2(m_{CD}(\min))$	$\left(\frac{P_{R_{12}}}{P_{T_1}}\right)_{\min} = 2J_0^2(m_{R_{11}}(\max))J_1^2(m_{R_{12}}(\min))\cos^2(m_{CD}(\max))$

4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

- 4. Compute the tolerances of the modulation losses using the following formulas:
 - (a) Favorable Tolerance: $F_x = \frac{P_x}{P_{T_1}} (\max) \frac{P_x}{P_{T_1}} (nominal);$ and (b) Adverse Tolerance: $A_x = \frac{P_x}{P_{T_1}} (\min) - \frac{P_x}{P_{T_1}} (nominal);$ where $x = C_1$, CD, R_{11} or R_{12} .
- 5. Compute the mean and variance of the uplink modulation losses, using the PDF assigned to each modulation loss in accordance with CCSDS Recommendation 401 (4.1.4) B-1.

ALGORITHM 2 : Computation of the Mean and Variance of Downlink Modulation Losses

- 1. Compute the maxima and minima of downlink ranging and telemetry modulation indices by adding the favorable and adverse tolerances to the nominal values of the modulation indices.
- **2**. Compute the minima and maxima of actual modulation indices τ_1 , τ_{21} , τ_{22} , τ_3 for feed-through command, ranging, and noise, respectively (see parameter definitions in table 4.1.5-1b).
- NOTE Signal-to-noise ratios α_R , α_C depend on uplink parameters and the ranging channel's bandwidth; therefore, α_R , α_C maximization or minimization is obtained by substituting the maxima and/or minima of uplink parameters in the α_R , α_C expressions as shown in table 4.1.5-4.

4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-4: Maxima and Minima of Actual Downlink Modulation Indices

$\tau_{1\max} = \frac{m_{R_2}(\max)\sqrt{\alpha_C(\max)}}{\sqrt{\alpha_R(\min) + \alpha_C(\max) + 1}}$	$\tau_{1\min} = \frac{m_{R_2}(\min)\sqrt{\alpha_C(\min)}}{\sqrt{\alpha_R(\max) + \alpha_C(\min) + 1}}$
$\tau_{21\max} = \frac{m_{R_2}(\max)\sqrt{\alpha_{R_{11}}(\max)}}{\sqrt{\alpha_C(\min) + \alpha_{R_{11}}(\max) + \alpha_{R_{12}}(\min) + 1}}$	$\tau_{21\min} = \frac{m_{R_2}(\min)\sqrt{\alpha_{R_{11}}(\min)}}{\sqrt{\alpha_C(\max) + \alpha_{R_{11}}(\min) + \alpha_{R_{12}}(\max) + 1}}$
$\tau_{22\max} = \frac{m_{R_2}(\max)\sqrt{\alpha_{R_{12}}(\max)}}{\sqrt{\alpha_C(\min) + \alpha_{R_{12}}(\max) + \alpha_{R_{11}}(\min) + 1}}$	$\tau_{22\min} = \frac{m_{R_2}(\min)\sqrt{\alpha_{R_{12}}(\min)}}{\sqrt{\alpha_C(\max) + \alpha_{R_{12}}(\min) + \alpha_{R_{11}}(\max) + 1}}$
$\tau_{3\max} = \frac{m_{R_2}(\max)}{\sqrt{2(\alpha_C(\min) + \alpha_R(\min) + 1)}}$	$\tau_{3\min} = \frac{m_{R_2}(\min)}{\sqrt{2(\alpha_C(\max) + \alpha_R(\max) + 1)}}$

3. Compute the nominal downlink modulation losses by substituting the nominal (design) values in the expressions in tables 4.1.5-5a, 4.1.5-5b, 4.1.5-5c for sine wave command, and 4.1.5-5d, 4.1.5-5e, and 4.1.5-5f for square wave command, assuming that only the first harmonic of the square wave ranging signal passes through the transponder's ranging filter or that a sine-wave ranging signal is used.

TABLE 4.1.5-5a:Downlink Modulation Losses, Sine-Wave Command, Downlink Carrier,
Two-Channel Square-Wave Telemetry, and Two-Tone Ranging

Carrier	$\frac{P_{C_2}}{P_{T_2}} = \cos^2(m_{TM1})\cos^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-(\tau_3^2)}$
Telemetry Channel 1	$\frac{P_{TMI}}{P_{T_2}} = \sin^2(m_{TMI})\cos^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-(\tau_3^2)}$
Telemetry Channel 2	$\frac{P_{TM2}}{P_{T_2}} = \cos^2(m_{TM1})\sin^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-(\tau_3^2)}$
Ranging Channel 1	$\frac{P_{R_{21}}}{P_{T_2}} = 2\cos^2(m_{TM1})\cos^2(m_{TM2})J_0^2(\tau_1)J_1^2(\tau_{21})J_0^2(\tau_{22})e^{-(\tau_3^2)}$
Ranging Channel 2	$\frac{P_{R_{22}}}{P_{T_2}} = 2\cos^2(m_{TMI})\cos^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_1^2(\tau_{22})e^{-(\tau_3^2)}$

4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-5b:Downlink Modulation Losses, Sine-Wave Command, Downlink Carrier,
Two-Channel Sine-Wave Telemetry, and Two-Tone Ranging

Carrier	$\frac{P_{C_2}}{P_{T_2}} = J_0^2(m_{TM1})J_0^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Telemetry Channel 1	$\frac{P_{TMI}}{P_{T_2}} = 2J_1^2(m_{TMI})J_0^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Telemetry Channel 2	$\frac{P_{TM2}}{P_{T_2}} = 2J_0^2(m_{TM1})J_1^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Ranging Channel 1	$\frac{P_{R_{21}}}{P_{T_2}} = 2J_0^2(m_{TMI})J_0^2(m_{TM2})J_0^2(\tau_1)J_1^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Ranging Channel 2	$\frac{P_{R_{22}}}{P_{T_2}} = 2J_0^2(m_{TMI})J_0^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_1^2(\tau_{22})e^{-\tau_3^2}$

TABLE 4.1.5-5c:Downlink Modulation Losses, Sine-Wave Command, Downlink Carrier,
Two-Channel Square and Sine-Wave Telemetry, and Two-Tone Ranging

Carrier	$\frac{P_{C_2}}{P_{T_2}} = \cos^2(m_{TMI})J_0^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Telemetry Channel 1	$\frac{P_{TM1}}{P_{T_2}} = \sin^2(m_{TM1}) J_0^2(m_{TM2}) J_0^2(\tau_1) J_0^2(\tau_{21}) J_0^2(\tau_{22}) e^{-\tau_3^2}$
Telemetry Channel 2	$\frac{P_{TM2}}{P_{T_2}} = 2\cos^2(m_{TM1})J_1^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Ranging Channel 1	$\frac{P_{R_{21}}}{P_{T_2}} = 2\cos^2(m_{TMI})J_0^2(m_{TM2})J_0^2(\tau_1)J_1^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Ranging Channel 2	$\frac{P_{R_{22}}}{P_{T_2}} = 2\cos^2(m_{TMI})J_0^2(m_{TM2})J_0^2(\tau_1)J_0^2(\tau_{21})J_1^2(\tau_{22})e^{-\tau_3^2}$

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-5d:Downlink Modulation Losses, Square-Wave Command, Downlink Carrier,
Two-Channel Square-Wave Telemetry, and Two-Tone Ranging

Carrier	$\frac{P_{C_2}}{P_{T_2}} = \cos^2(m_{TM1})\cos^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-(\tau_3^2)}$
Telemetry Channel 1	$\frac{P_{TMI}}{P_{T_2}} = \sin^2(m_{TMI})\cos^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-(\tau_3^2)}$
Telemetry Channel 2	$\frac{P_{TM2}}{P_{T_2}} = \cos^2(m_{TM1})\sin^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-(\tau_3^2)}$
Ranging Channel 1	$\frac{P_{R_{21}}}{P_{T_2}} = 2\cos^2(m_{TM1})\cos^2(m_{TM2})\cos^2(\tau_1)J_1^2(\tau_{21})J_0^2(\tau_{22})e^{-(\tau_3^2)}$
Ranging Channel 2	$\frac{P_{R_{22}}}{P_{T_2}} = 2\cos^2(m_{TMI})\cos^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_1^2(\tau_{22})e^{-(\tau_3^2)}$

TABLE 4.1.5-5e:Downlink Modulation Losses, Square-Wave Command, Downlink Carrier,
Two-Channel Sine-Wave Telemetry, and Two-Tone Ranging

Carrier	$\frac{P_{C_2}}{P_{T_2}} = J_0^2(m_{TM1})J_0^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Telemetry Channel 1	$\frac{P_{TMI}}{P_{T_2}} = 2J_1^2(m_{TMI})J_0^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Telemetry Channel 2	$\frac{P_{TM2}}{P_{T_2}} = 2J_0^2(m_{TM1})J_1^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Ranging Channel 1	$\frac{P_{R_{21}}}{P_{T_2}} = 2J_0^2(m_{TMI})J_0^2(m_{TM2})\cos^2(\tau_1)J_1^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Ranging Channel 2	$\frac{P_{R_{22}}}{P_{T_2}} = 2J_0^2(m_{TMI})J_0^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_1^2(\tau_{22})e^{-\tau_3^2}$

4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-5f:Downlink Modulation Losses, Square-Wave Command, Downlink Carrier,
Two-Channel Square and Sine Wave Telemetry, and Two-Tone Ranging

Carrier	$\frac{P_{C_2}}{P_{T_2}} = \cos^2(m_{TMI}) J_0^2(m_{TM2}) \cos^2(\tau_1) J_0^2(\tau_{21}) J_0^2(\tau_{22}) e^{-\tau_3^2}$
Telemetry Channel 1	$\frac{P_{TMI}}{P_{T_2}} = \sin^2(m_{TMI})J_0^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Telemetry Channel 2	$\frac{P_{TM2}}{P_{T_2}} = 2\cos^2(m_{TM1})J_1^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Ranging Channel 1	$\frac{P_{R_{21}}}{P_{T_2}} = 2\cos^2(m_{TMI})J_0^2(m_{TM2})\cos^2(\tau_1)J_1^2(\tau_{21})J_0^2(\tau_{22})e^{-\tau_3^2}$
Ranging Channel 2	$\frac{P_{R_{22}}}{P_{T_2}} = 2\cos^2(m_{TM1})J_0^2(m_{TM2})\cos^2(\tau_1)J_0^2(\tau_{21})J_1^2(\tau_{22})e^{-\tau_3^2}$

4. Compute lower and upper bounds of the downlink modulation loss minima and maxima by substituting the minima and maxima of modulation indices m_{TM1} , m_{TM2} , τ_1 , τ_{21} , τ_{22} , τ_3 in the expressions of tables 4.1.5-6a, 4.1.5-6b, and 4.1.5-6c for sine wave command, and 4.1.5-6d, 4.1.5-6e, and 4.1.5-6f for square wave command. These lower and upper bounds (denoted lb and ub, respectively) of modulation loss minima and maxima as computed in tables 4.1.5-6a, 4.1.5-6b, 4.1.5-6c, 4.1.5-6c, 4.1.5-6e, and 4.1.5-6f differ with respect to the actual minima and maxima of the modulation losses because τ_1 , τ_{21} , τ_{22} , τ_3 are not independent variables. However, extensive computations demonstrated that the difference is always less than 0.1 dB, for the applicable ranges of modulation indices m_{TM1} , m_{TM2} , τ_1 , τ_{21} , τ_{22} , τ_3 .

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-6a:Downlink Modulation Loss Maxima and Minima: Sine-Wave Command,
Two-Channel Square-Wave Telemetry, and Two-Tone Ranging

Convior	$\frac{P_{C_2}}{P_{T_2}}(ub) = \cos^2(m_{TMI}(\min))\cos^2(m_{TM2}(\min))J_0^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
Carrier	$\frac{P_{C_2}}{P_{T_2}}(lb) = \cos^2(m_{TM1}(\max))\cos^2(m_{TM2}(\max))J_0^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)}$
Telemetry i, k=1, 2	$\frac{P_{TMi}}{P_{T_2}}(ub) = \sin^2(m_{TMi}(\max))\cos^2(m_{TMi}(\min))J_0^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
i≠k	$\frac{P_{TMi}}{P_{T_2}}(lb) = \sin^2(m_{TMi}(\min))\cos^2(m_{TMk}(\max))J_0^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)}$
Ranging i, k=1, 2	$\frac{P_{R_{2i}}}{P_{T_2}}(ub) = 2\cos^2(m_{TMI}(\min))\cos^2(m_{TM2}(\min))J_0^2(\tau_1(\min))J_1^2(\tau_{2i}(\max))J_0^2(\tau_{2k}(\min))e^{-\tau_3^2(\min)}$
i≠k	$\frac{P_{R_{2i}}}{P_{T_2}}(lb) = 2\cos^2(m_{TMI}(\max))\cos^2(m_{TM2}(\max))J_0^2(\tau_1(\max))J_1^2(\tau_{2i}(\min))J_0^2(\tau_{2k}(\max))e^{-\tau_3^2(\max)}$

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-6b:Downlink Modulation Loss Maxima and Minima: Sine-Wave Command,
Two-Channel Sine-Wave Telemetry, and Two-Tone Ranging

Carrier	$\frac{P_{C_2}}{P_{T_2}}(ub) = J_0^2(m_{TMI}(\min))J_0^2(m_{TM2}(\min))J_0^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
Carrier	$\frac{P_{C_2}}{P_{T_2}}(lb) = J_0^2 (m_{TMI}(\max)) J_0^2 (m_{TM2}(\max)) J_0^2 (\tau_1(\max)) J_0^2 (\tau_{21}(\max)) J_0^2 (\tau_{22}(\max)) e^{-\tau_3^2(\max)}$
Telemetry i, k=1, 2 i≠k	$\frac{P_{TMi}}{P_{T_2}}(ub) = 2J_1^2 (m_{TMi}(\max)) J_0^2 (m_{TMk}(\min)) J_0^2 (\tau_1(\min)) J_0^2 (\tau_{21}(\min)) J_0^2 (\tau_{22}(\min)) e^{-\tau_3^2(\min)}$
	$\frac{P_{TMi}}{P_{T_2}}(lb) = 2J_1^2(m_{TMi}(\min))J_0^2(m_{TMk}(\max))J_0^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)})$
Ranging i, k=1, 2	$\frac{P_{R_{2i}}}{P_{T_2}}(ub) = 2J_0^2(m_{TMI}(\min))J_0^2(m_{TM2}(\min))J_0^2(\tau_1(\min))J_1^2(\tau_{2i}(\max))J_0^2(\tau_{2k}(\min))e^{-\tau_3^2(\min)}$
i≠k	$\frac{P_{R_{2i}}}{P_{T_2}}(lb) = 2J_0^2 (m_{TMI}(\max)) J_0^2 (m_{TM2}(\max)) J_0^2 (\tau_1(\max)) J_1^2 (\tau_{2i}(\min)) J_0^2 (\tau_{2k}(\max)) e^{-\tau_3^2(\max)}$

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-6c:Downlink Modulation Loss Maxima and Minima: Sine-Wave Command,
Two-Channel Square and Sine-Wave Telemetry, and Two-Tone Ranging

I	
Carrier	$\frac{P_{C_2}}{P_{T_2}}(ub) = \cos^2(m_{TMI}(\min))J_0^2(m_{TM2}(\min))J_0^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
	$\frac{P_{C_2}}{P_{T_2}}(lb) = \cos^2(m_{TMI}(\max))J_0^2(m_{TM2}(\max))J_0^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)})$
Telemetry	$\frac{P_{TM1}}{P_{T_2}}(ub) = \sin^2(m_{TMI}(\max))J_0^2(m_{TM2}(\min))J_0^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
Channel 1 Square Wave	$\frac{P_{TM1}}{P_{T_2}}(lb) = \sin^2(m_{TM1}(\min))J_0^2(m_{TM2}(\max))J_0^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)}$
Telemetry	$\frac{P_{TM2}}{P_{T_2}}(ub) = 2\cos^2(m_{TMI}(\min))J_1^2(m_{TM2}(\max))J_0^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
Channel 2 Sine Wave	$\frac{P_{TM2}}{P_{T_2}}(lb) = 2\cos^2(m_{TMI}(\max))J_1^2(m_{TM2}(\min))J_0^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)}$
Ranging i, k=1, 2	$\frac{P_{R_{2i}}}{P_{T_2}}(ub) = 2\cos^2(m_{TMI}(\min))J_0^2(m_{TM2}(\min))J_0^2(\tau_1(\min))J_1^2(\tau_{2i}(\max))J_0^2(\tau_{2k}(\min))e^{-\tau_3^2(\min)}$
i≠k	$\frac{P_{R_{2i}}}{P_{T_2}}(lb) = 2\cos^2(m_{TMI}(\max))J_0^2(m_{TM2}(\max))J_0^2(\tau_1(\max))J_1^2(\tau_{2i}(\min))J_0^2(\tau_{2k}(\max))e^{-\tau_3^2(\max)}$

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-6d:Downlink Modulation Loss Maxima and Minima: Square-Wave Command,
Two-Channel Square-Wave Telemetry, and Two-Tone Ranging

Corrige	$\frac{P_{C_2}}{P_{T_2}}(ub) = \cos^2(m_{TMI}(\min))\cos^2(m_{TM2}(\min))\cos^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
Carrier	$\frac{P_{C_2}}{P_{T_2}}(lb) = \cos^2(m_{TMI}(\max))\cos^2(m_{TM2}(\max))\cos^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)}$
Telemetry i, k=1, 2	$\frac{P_{TMi}}{P_{T_2}}(ub) = \sin^2(m_{TMi}(\max))\cos^2(m_{TMk}(\min))\cos^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
i≠k	$\frac{P_{TMi}}{P_{T_2}}(lb) = \sin^2(m_{TMi}(\min))\cos^2(m_{TMk}(\max))\cos^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)})$
Ranging i, k=1, 2	$\frac{P_{R_{2i}}}{P_{T_2}}(ub) = 2\cos^2(m_{TMI}(\min))\cos^2(m_{TM2}(\min))\cos^2(\tau_1(\min))J_1^2(\tau_{2i}(\max))J_0^2(\tau_{2k}(\min))e^{-\tau_3^2(\min)}$
i≠k	$\frac{P_{R_{2i}}}{P_{T_2}}(lb) = 2\cos^2(m_{TMI}(\max))\cos^2(m_{TM2}(\max))\cos^2(\tau_1(\max))J_1^2(\tau_{2i}(\min))J_0^2(\tau_{2k}(\max))e^{-\tau_3^2(\max)}$

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-6e:Downlink Modulation Loss Maxima and Minima: Square-Wave Command,
Two-Channel Sine-Wave Telemetry, and Two-Tone Ranging

Convior	$\frac{P_{C_2}}{P_{T_2}}(ub) = J_0^2(m_{TMI}(\min))J_0^2(m_{TM2}(\min))\cos^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
Carrier	$\frac{P_{C_2}}{P_{T_2}}(lb) = J_0^2 (m_{TMI}(\max)) J_0^2 (m_{TM2}(\max)) \cos^2(\tau_1(\max)) J_0^2(\tau_{21}(\max)) J_0^2(\tau_{22}(\max)) e^{-\tau_3^2(\max)}$
Telemetry i, k=1, 2 i≠k	$\frac{P_{TMi}}{P_{T_2}}(ub) = 2J_1^2 (m_{TMi}(\max)) J_0^2 (m_{TMk}(\min)) \cos^2(\tau_1(\min)) J_0^2(\tau_{21}(\min)) J_0^2(\tau_{22}(\min)) e^{-\tau_3^2(\min)}$
	$\frac{P_{TMi}}{P_{T_2}}(lb) = 2J_1^2(m_{TMi}(\min))J_0^2(m_{TMk}(\max))\cos^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)}$
Ranging i, k=1, 2	$\frac{P_{R_{2i}}}{P_{T_2}}(ub) = 2J_0^2(m_{TMI}(\min))J_0^2(m_{TM2}(\min))\cos^2(\tau_1(\min))J_1^2(\tau_{2i}(\max))J_0^2(\tau_{2k}(\min))e^{-\tau_3^2(\min)}$
i≠k	$\frac{P_{R_{2i}}}{P_{T_2}}(lb) = 2J_0^2 (m_{TMI}(\max)) J_0^2 (m_{TM2}(\max)) cos^2 (\tau_1(\max)) J_1^2 (\tau_{2i}(\min)) J_0^2 (\tau_{2k}(\max)) e^{-\tau_3^2(\max)}$

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4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)

TABLE 4.1.5-6f:Downlink Modulation Loss Maxima and Minima: Square-Wave Command,
Two-Channel Square and Sine-Wave Telemetry, and Two-Tone Ranging

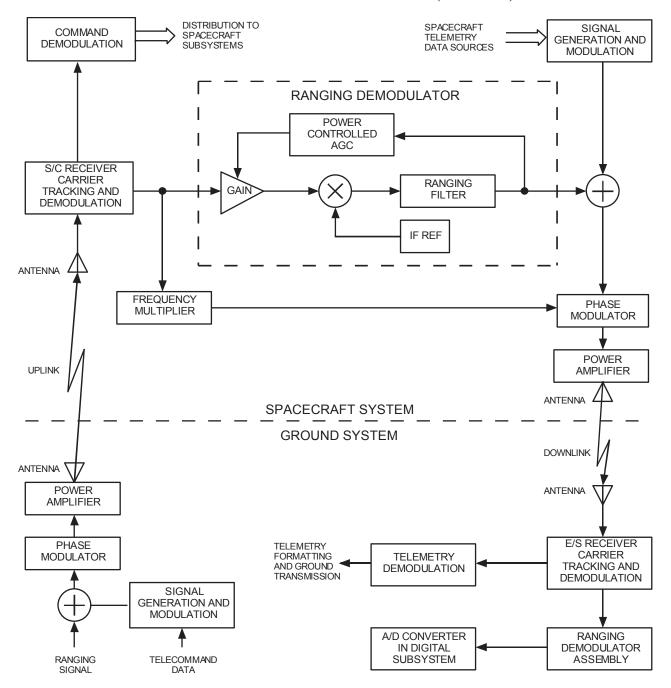
Carrier	$\frac{P_{C_2}}{P_{T_2}}(ub) = \cos^2(m_{TMI}(\min))J_0^2(m_{TM2}(\min))\cos^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$ $\frac{P_{C_2}}{P_{C_2}}(ub) = \cos^2(m_{TMI}(\min))J_0^2(m_{TM2}(\min))\cos^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
	$\frac{P_{C_2}}{P_{T_2}}(lb) = \cos^2(m_{TMI}(\max))J_0^2(m_{TM2}(\max))\cos^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)}$
Telemetry Channel 1 Square	$\frac{P_{TM1}}{P_{T_2}}(ub) = \sin^2(m_{TM1}(\max))J_0^2(m_{TM2}(\min))\cos^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)}$
Wave	$\frac{P_{TM1}}{P_{T_2}}(lb) = \sin^2(m_{TM1}(\min))J_0^2(m_{TM2}(\max))\cos^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)})$
Telemetry	$\frac{P_{TM2}}{P_{T_2}}(ub) = 2\cos^2(m_{TM1}(\min))J_1^2(m_{TM2}(\max))\cos^2(\tau_1(\min))J_0^2(\tau_{21}(\min))J_0^2(\tau_{22}(\min))e^{-\tau_3^2(\min)})$
Channel 2 Sine Wave	$\frac{P_{TM2}}{P_{T_2}}(lb) = 2\cos^2(m_{TM1}(\max))J_1^2(m_{TM2}(\min))\cos^2(\tau_1(\max))J_0^2(\tau_{21}(\max))J_0^2(\tau_{22}(\max))e^{-\tau_3^2(\max)})$
Ranging i, k=1, 2	$\frac{P_{R_{2i}}}{P_{T_2}}(ub) = 2\cos^2(m_{TMI}(\min))J_0^2(m_{TM2}(\min))\cos^2(\tau_1(\min))J_1^2(\tau_{2i}(\max))J_0^2(\tau_{2k}(\min))e^{-\tau_3^2(\min)}$
i≠k	$\frac{P_{R_{2i}}}{P_{T_2}}(lb) = 2\cos^2(m_{TMI}(\max))J_0^2(m_{TM2}(\max))\cos^2(\tau_1(\max))J_1^2(\tau_{2i}(\min))J_0^2(\tau_{2k}(\max))e^{-\tau_3^2(\max)}$

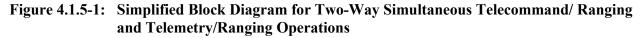
- 5. Compute the tolerances of downlink modulation losses, using formulas as in Algorithm 1 Part 4 with $x = C_2$, TM1, TM2, R_{21} , or R_{22} .
- 6. Compute the mean and variance of the downlink modulation losses, using the PDF assigned to each modulation loss.

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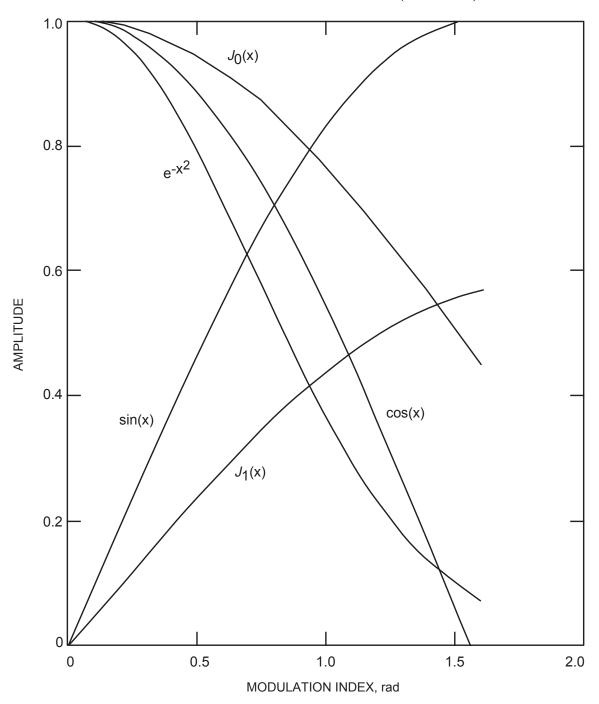
4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)

ANNEX TO RECOMMENDATION 4.1.5 (Continued)





4.1.5 COMPUTATIONAL TECHNIQUE FOR THE MEAN AND VARIANCE OF THE MODULATION LOSSES FOUND IN THE CCSDS TELECOMMUNICATION LINK DESIGN CONTROL TABLE (Continued)



ANNEX TO RECOMMENDATION 4.1.5 (Continued)

Figure 4.1.5-2: Bessel, Trigonometric, and Exponential Curves for 0 to $\pi/2$ Radians

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4.2.1 COMPUTATIONAL METHOD FOR DETERMINING THE OCCUPIED BANDWIDTH OF UNFILTERED PCM/PM SIGNALS

The CCSDS,

considering

- (a) that the occupied bandwidth is defined as that band of frequencies which contain 99 percent of the total radiated power (ITU-RR-1.153);
- (b) that the occupied bandwidth of unfiltered modulated signals provides a useful indication as to whether filtering may be necessary to optimally use the allocated frequency band;
- (c) that a simple, closed-form expression for calculating the occupied bandwidth of PCM/PM signals, using either NRZ or bi-phase-L formats, is not available;
- (d) that approximations for computing occupied bandwidth of PCM/PM signals, having an accuracy of better than 90 percent over the specified ranges of modulation indices, have been developed and are compared with theoretical values in figure 4.2.1-1;

recommends

that the occupied bandwidth of PCM/PM signals containing 99 percent of the total radiated power can be calculated, with an accuracy of better than 90 percent, using the following approximations:

BW	=	$2 \times (26.2m - 5.16)R_{CS}$	for bi-phase-L ¹ format: (0.4 rad \leq m \leq 1.4 rad);
BW	=	$2 \times (8.93 \text{m} - 1.75) \text{R}_{\text{CS}}$	for NRZ format: (0.4 rad $\leq m \leq 1.4$ rad).

NOTES

- 1 BW = Occupied Bandwidth is the band of frequencies containing 99 percent of the total radiated power,
- 2 R_{CS} = Coded Symbol Rate,
- $3 \quad m = Modulation Index (in radians).$

¹ For the purpose of this recommendation, the coded symbol rates are defined prior to bi-phase-L encoding.

4.2.1 COMPUTATIONAL METHOD FOR DETERMINING THE OCCUPIED BANDWIDTH OF UNFILTERED PCM/PM SIGNALS (Continued)

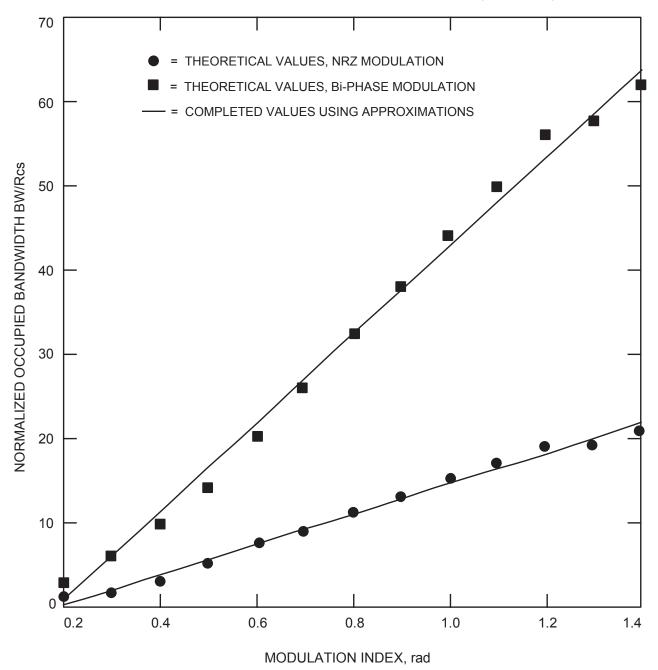
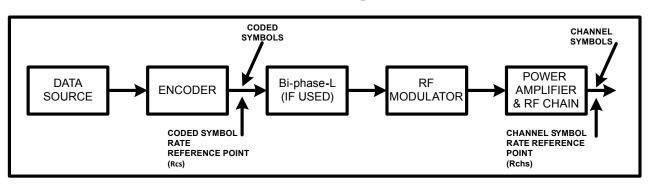


Figure 4.2.1-1: Comparison of Theoretical and Computed Approximate Values for Occupied Bandwidth



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Figure 4.2.1-2: Telemetry Rates Definition

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4.2.2 COMPUTATIONAL METHOD FOR DETERMINING THE OCCUPIED BANDWIDTH OF UNFILTERED PCM/PSK/PM MODULATION WITH A SINE-WAVE SUBCARRIER

The CCSDS,

considering

- (a) that prior to the design of spacecraft and the assignment of frequencies, the *Occupied Bandwidth* must be known;
- (b) that the Occupied Bandwidth is defined as the frequency band containing 99 percent of the emitted power (ITU-RR-1.153);
- (c) that for PCM/PSK/PM modulation with an NRZ data format, a simple, exact closed form expression to calculate the Occupied Bandwidth is not available over the full range of applicable modulation indices;
- (d) that an approximation having better than 10 percent accuracy has been developed for a representative range of modulation indices;
- (e) that the Occupied Bandwidth can be computed with high precision using numerical integration techniques and can be plotted for easy use;

recommends

(1) that the *Occupied Bandwidth*, B, for PCM/PSK/PM with a sine-wave subcarrier be estimated by:

В	=	$4n \bullet R$	CS	for $0.8 < m < 1.35$ and $n > 7$ (in Hz)
where	:	n R _{CS} m	= = =	subcarrier frequency-to-coded-symbol rate ratio coded symbol rate modulation index (radians peak);

(2) that B for any other combination of m and n be determined by using figure 4.2.2-1.

4.2.2 COMPUTATIONAL METHOD FOR DETERMINING THE OCCUPIED BANDWIDTH OF UNFILTERED PCM/PSK/PM MODULATION WITH A SINE-WAVE SUBCARRIER (Continued)

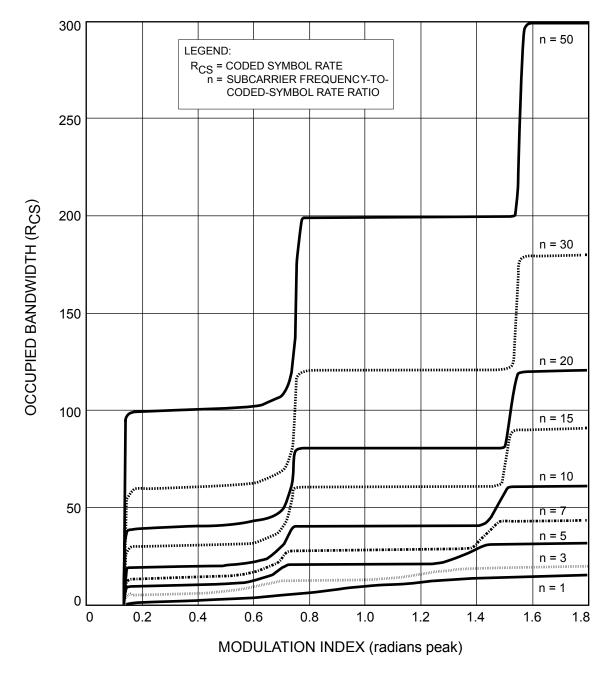


Figure 4.2.2-1: Occupied Bandwidth of Unfiltered PCM/PSK/PM Signal with a Sine-Wave Subcarrier

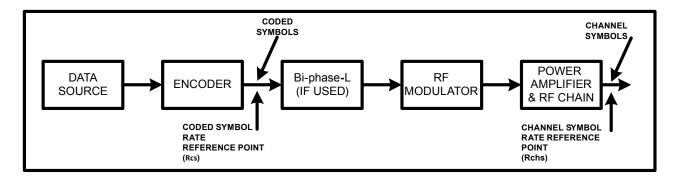


Figure 4.2.2-2: Telemetry Rates Definition

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4.2.3 COMPUTATIONAL METHOD FOR DETERMINING THE OCCUPIED BANDWIDTH OF UNFILTERED PCM/PSK/PM MODULATION WITH A SQUARE-WAVE SUBCARRIER

The CCSDS,

considering

- (a) that prior to the design of spacecraft and the assignment of frequencies, the Occupied Bandwidth must be known;
- (b) that the Occupied Bandwidth is defined as the frequency band containing 99 percent of the emitted power (ITU-RR-1.153);
- (c) that for PCM/PSK/PM modulation with an NRZ data format, a simple, exact closed form expression to calculate the Occupied Bandwidth is not available over the full range of applicable modulation indices;
- (d) that an approximation having better than 10-percent accuracy has been developed for a representative range of modulation indices;
- (e) that the Occupied Bandwidth can be computed with high precision using numerical integration techniques and can be plotted for easy use;

recommends

(1) that the Occupied Bandwidth, B, for PCM/PSK/PM with a square-wave subcarrier be estimated by:

$B = [(-43.2 m^3 +$	103 m ²	– 2 m –	1) n + 11] • R_{CS}	for $m > 0.5$ radians (in Hz)
where:	n R _{CS} m	= = =	subcarrier frequency-t coded symbol rate modulation index (rad	to-coded-symbol rate ratio lians peak);

(2) that B for any other combination of m and n be determined by using figure 4.2.3-1.

4.2.3 COMPUTATIONAL METHOD FOR DETERMINING THE OCCUPIED BANDWIDTH OF UNFILTERED PCM/PSK/PM MODULATION WITH A SQUARE-WAVE SUBCARRIER (Continued)

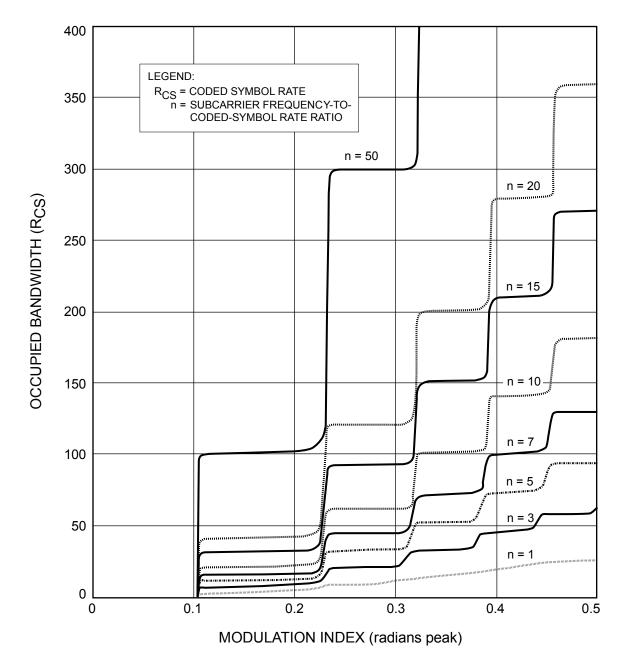


Figure 4.2.3-1: Occupied Bandwidth of Unfiltered PCM/PSK/PM Signal with a Square-Wave Subcarrier

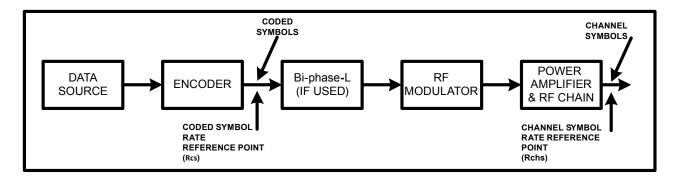


Figure 4.2.3-2: Telemetry Rates Definition

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5.0 TERMINOLOGY AND GLOSSARY

Section 5 is included to assist the reader in interpreting the Recommendations found in Sections 2, 3, and 4 of this document. It does so by providing an explanation of key words, terms, phrases, abbreviations, and acronyms used in these Recommendations. Presently, there are two subsections:

5.1 Terminology 5.2 Glossary

Section 5.1, Terminology, defines specific words, terms, and phrases which have special, but uniform, meanings throughout the text. Additionally, this section also includes quantitative values for some terms which are intended to assist the reader in interpreting the Recommendations.

Section 5.2, Glossary, contains the full name for the abbreviations and acronyms used throughout this document. If a reader is uncertain as to the meaning any abbreviation or acronym, this Section should be consulted. Here, entries are arranged alphabetically.

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5.1 TERMINOLOGY

Autotrack	A system which causes Earth station's antenna to automatically follow [track] a moving spacecraft.
Bit Rate	The baseband data rate exclusive of coding for either error correction or spectrum shaping purposes.
Category A Missions	Those missions whose altitude above the Earth is less than 2×10^6 km.
Category B Missions	Those missions whose altitude above the Earth is greater than, or equal to, 2×10^6 km.
Loop Bandwidth	The resultant phase locked bandwidth when the signal-to-noise ratio in the phase locked loop is 10 dB.
Loop Threshold	That signal level producing a signal-to-noise ratio of 10 dB in the phase locked loop's bandwidth.
Libration Point	A point of equal potential gravitational fields between two or more large bodies such as the sun and the Earth.
Link Design Control Table	A set of tables used to display the operating parameters of a telecommunications link and to calculate the expected performance of that link.
Link and Weather Not Combined	With a Link Design Control Table, calculations are made assuming clear and dry weather conditions. Thereafter, the values obtained under such ideal conditions are adjusted using a correction factor representing the loss due to weather effects.
Modulo-2 Addition	Also called an <i>exclusive or</i> , this term refers to the manner in which a pair of bits are added such that like bits result in a 0 and unlike bits produce a 1.
Occupied Bandwidth	(ITU-RR-1.153): "The width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage $\beta/2$ of the total mean power of a given emission." [Unless otherwise specified by the CCIR for the appropriate class of emission, the value of $\beta/2$ should be taken as 0.5 percent.]

Earth Stations and Spacecraft

5.1 TERMINOLOGY (Continued)

Radiocommunication Service	(ITU-RR-1.19) "A Service involving the transmission, emission and/or reception of radio waves for specific telecommunication purposes."
Ranging Measurement	A process for establishing, usually by a time delay measurement, the one-way distance between an Earth station and a spacecraft.
Coded Symbol Rate	The baseband bit rate following error correction coding excluding spectrum modification encoding like Trellis encoding but including bi-phase-L encoding unless a note to the contrary is present in the recommendation under consideration.

5.2 GLOSSARY OF TERMS

ACQ or Acq	acquisition
AGC	automatic gain control
AM	amplitude modulation
BER	bit error rate
bi-phase-L	bi-phase-level modulation
BLO	phase locked loop bandwidth (single sided)
b/s	bits per second
BW	bandwidth
Cat	category
Category A Missions	those missions whose altitude above the Earth is less than or equal to 2×106 km
Category B Missions	those missions whose altitude above the Earth is greater than 2×106 km
CCSDS	Consultative Committee for Space Data Systems
Cmd	telecommand
CNES	Centre National D'Etudes Spatiales
COHER or Coh	coherent
CSA	Canadian Space Agency
dB	decibel(s)
dBi	decibel(s) relative to an isotropically radiated signal
dB/K	decibel(s) per degree Kelvin
dBm	decibel(s) relative to one milliwatt
dBW	decibel(s) relative to one Watt
DCT	design control table [link]
deg	degree
DLR	Deutsches Zentrum für Luft- und Raumfahrt e.V.
DNRZ	differential non-return to zero
DPLL	digital PLL
DRVID	differenced range vs. integrated doppler
DTTL	data transition tracking loop
Eb	energy per data bit
Eb/No	energy per data bit to Noise ratio in a 1 Hz bandwidth
EES	Earth Exploration Satellite
EESS	Earth Exploration-Satellite Service
EIRP	equivalent isotropically radiated power
ELEV	elevation
E/S	Earth-to-space
ESA	European Space Agency
exp	exponent
f or Freq	frequency
fc	RF carrier frequency

Earth Stations and Spacecraft

5.2 GLOSSARY OF TERMS (Continued)

fd	Doppler frequency shift
fsc	subcarrier frequency
FM	frequency modulation
FN or Fn	footnote
FSK	frequency shift keying
GHz	gigahertz
GND	ground
GPS	Global Positioning System
G/T	Antenna gain divided by the receiving system's noise temperature in degrees Kelvin (usually expressed in dB)
h	hours
Hz	Hertz
IEEE	Institute of Electrical and Electronic Engineers
IFRB	International Frequency Registration Board
INPE	Instituto De Pesquisas Espaciais
ISAS	Institute of Space and Astronautical Science
ISRO	Indian Space Research Organization
ITU	International Telecommunication Union
ITU/RR	International Telecommunication Union Radio Regulations
JAXA	Japan Aerospace Exploration Agency
k	kilo (thousands)
Κ	degrees Kelvin
kb/s	kilobits per second
kHz	kilohertz
km	kilometers
LCP	left circular polarization
LIM or Lim	limitation(s)
LIN or Lin	linear
L.O. or LO	local oscillator
М	mega (million)
m	meter(s)
MAX or Max	maximum
MHz	megahertz
MIN or Min	minimum
Mod	modulation
n	nano
ns	nanosecond(s)
NASA	National Aeronautics and Space Administration

5.2 GLOSSARY OF TERMS (Continued)

NOAA	National Oceanic and Atmospheric Administration
NRZ	non-return to zero
NRZ-L	non-return to zero-level
NRZ-M	non-return to zero-mark
NRZ-S	non-return to zero-space
Pc	carrier power
PCM	pulse code modulation
PDF	probability density function
PFD	power flux density
Pk or pk	peak
P _{LL}	phase locked loop
PM	phase modulation
PN	pseudo noise
ppm	parts per million
PRN	pseudo random noise
PSK	phase shift keying
PWR	power
QPSK	quadrature-phase shift keying [modulation]
OQPSK	offset quadrature-phase shift keying [modulation]
r	range
Rad	radian
RCP	right circular polarization
RCVR or Rcvr	receiver
Rec	receive
REF or Ref	reference
regen.	regenerative
resid.	residual
RF	radio frequency
RFI	radio frequency interference
RLIN	rotatable linear polarization
rms	root mean square
Rng	ranging
RSS	root sum square
S/C	spacecraft
s or sec	second(s)
S/E	space-to-Earth
SEP	Sun-Earth-probe [angle]
seq	sequential

Earth Stations and Spacecraft

5.2 GLOSSARY OF TERMS (Continued)

CEDU	
SFDU	Standard Formatted Data Unit (CCSDS)
SFCG	Space Frequency Coordination Group
SIG or sig	signal
Sim	simultaneous
SNR	signal-to-noise ratio
symbol/s	symbols per second
SRRC	square root raised cosine
SSC	Swedish Space Corporation
STA	station
STAB	stability
SUBCARR	subcarrier
TBD	to be determined
TDRSS	Tracking and Data Relay Satellite System
Tlm	telemetry
Trans or Tr	transmit
TTC	tracking, telemetry, and command
UTC	Universal Time Coordinated
VLBI	very long baseline interferometry
w/m2	Watts per square meter
XMIT or Xmit	transmit
yr	year
Δ	delta (change or variation)
φ	phase
μ	micro